



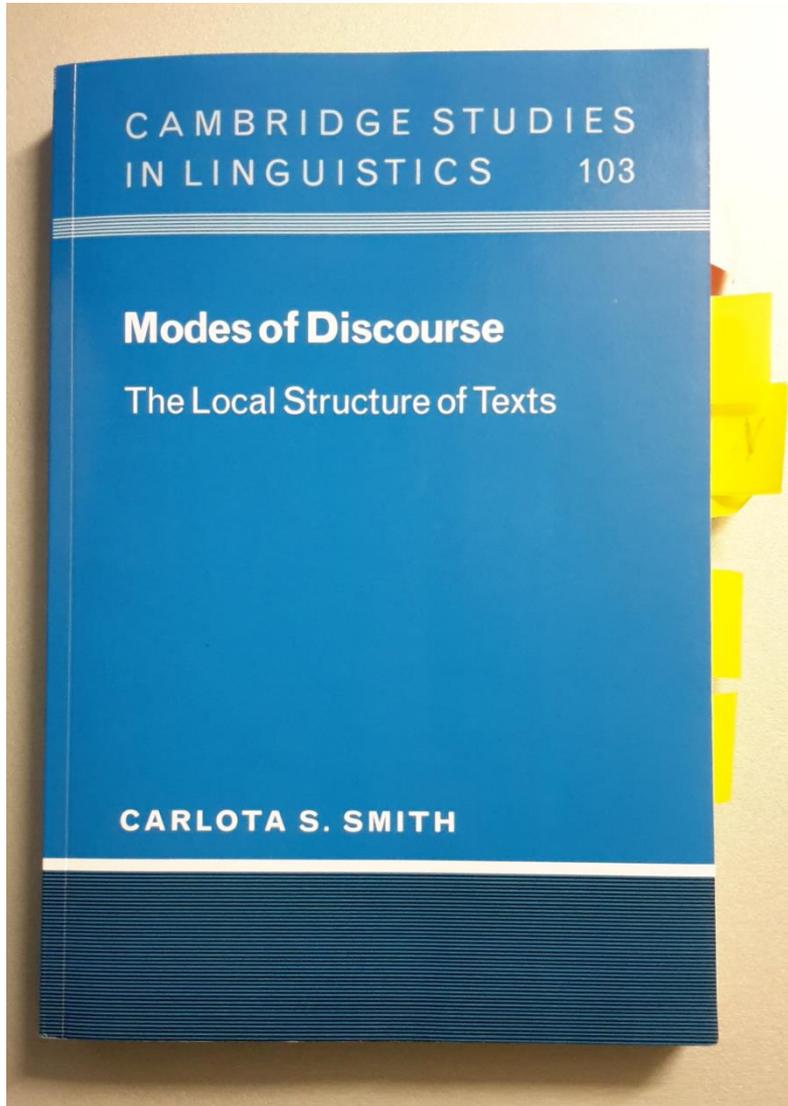
# Annotation and automatic classification of situation entity types

Kolloquium Übersetzungswissenschaft -- Saarbrücken, January 2016

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Annemarie Friedrich, Saarland University  
joint work with Alexis Palmer and Manfred Pinkal

# Carlota Smith: Modes of discourse (2003)



# Thanks!



Alexis Palmer



Manfred Pinkal



Melissa Peate  
Sorensen



Liesa Heuschkel



Kleio-Isidora Mavridou



Christine  
Bocionek



Fernando  
Ardente



Ruth Kühn



Ambika Kirkland



Damyana Gateva

# Discourse modes



Prof. Dr. Origin at Saarland University came into his office one morning and was very surprised by the results of an experiment he had started the day before. He called in his assistants to inspect the hen and the egg that were the subject of his experiments...

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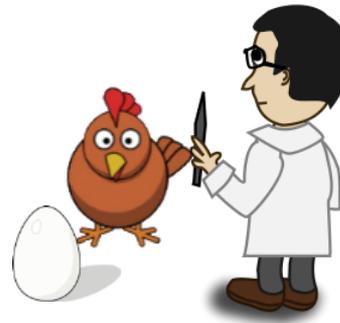


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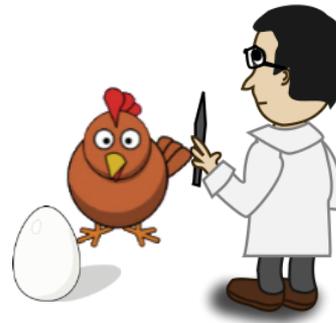
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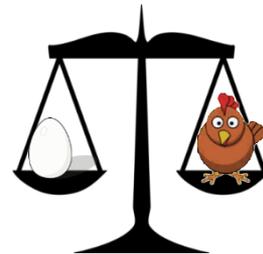
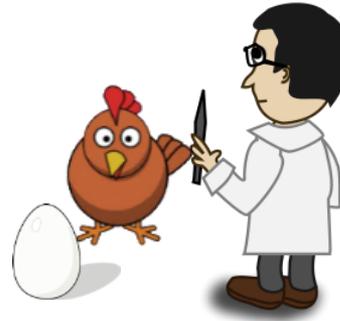
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**ARGUMENT  
COMMENTARY**



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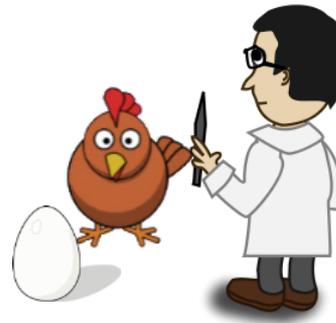
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**ARGUMENT  
COMMENTARY**

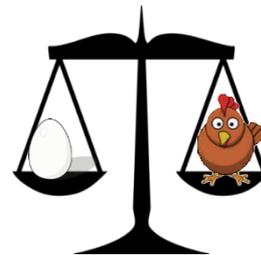


one text

≈ one genre

one passage

≈ one discourse  
mode



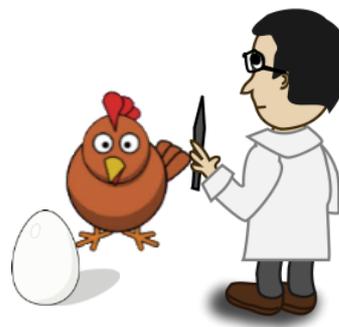
# Discourse modes & situation entity types



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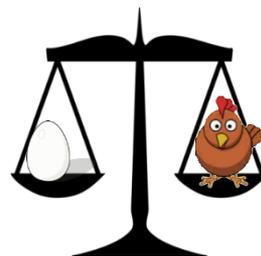
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NARRATIVE



INFORMATION



ARGUMENT  
COMMENTARY

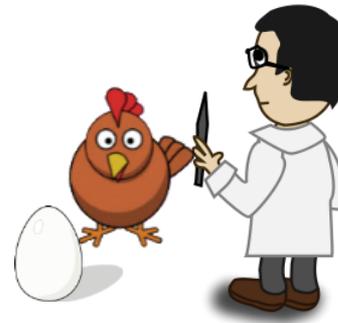


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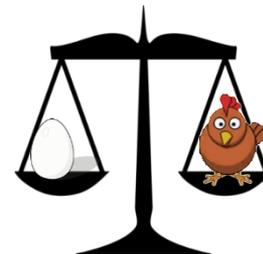


NARRATIVE

STATE  
EVENT



INFORMATION



ARGUMENT  
COMMENTARY

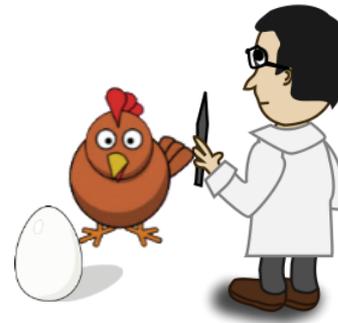


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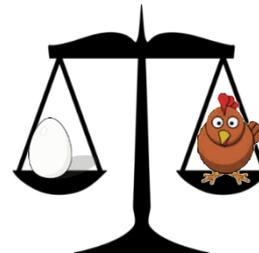
NARRATIVE

STATE  
EVENT



INFORMATION

GENERIC SENTENCE  
GENERALIZING SENTENCE



ARGUMENT  
COMMENTARY

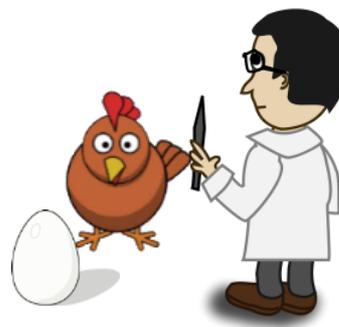
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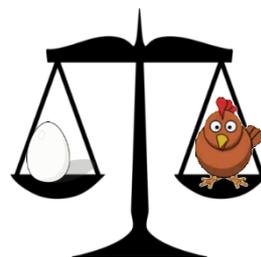
NARRATIVE

STATE  
EVENT



INFORMATION

GENERIC SENTENCE  
GENERALIZING SENTENCE



ARGUMENT  
COMMENTARY

STATE, EVENT, ABSTRACT  
ENTITIES, GENERIC /  
GENERALIZING SENTENCES

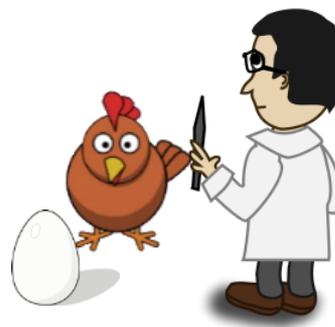
# Discourse modes & type of progression



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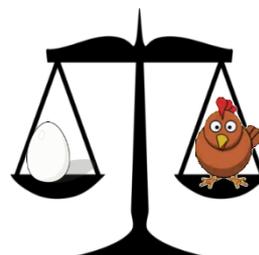
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NARRATIVE



INFORMATION



ARGUMENT  
COMMENTARY

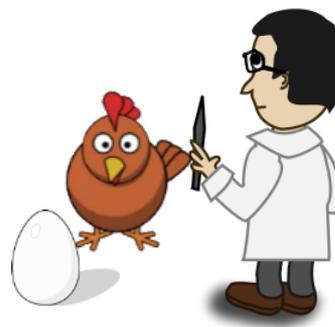
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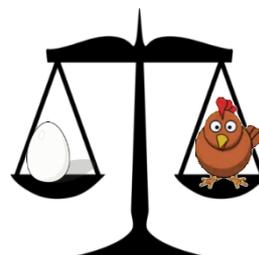


**NARRATIVE**

temporal  
situations related  
to one another



**INFORMATION**



**ARGUMENT  
COMMENTARY**

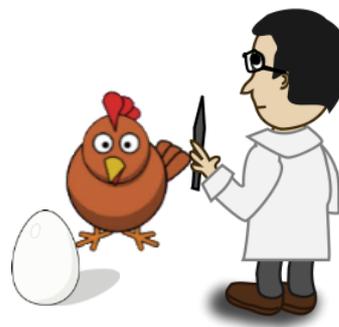
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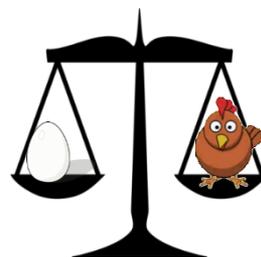
**NARRATIVE**

temporal  
situations related  
to one another



**INFORMATION**

metaphorical  
through domain



**ARGUMENT  
COMMENTARY**

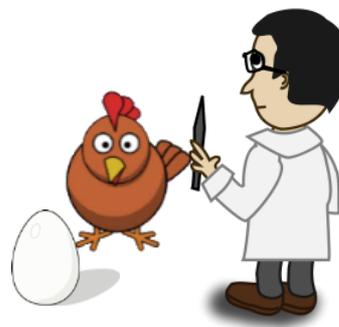
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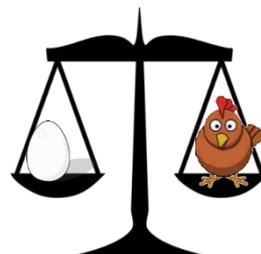
**NARRATIVE**

temporal  
situations related  
to one another



**INFORMATION**

metaphorical  
through domain



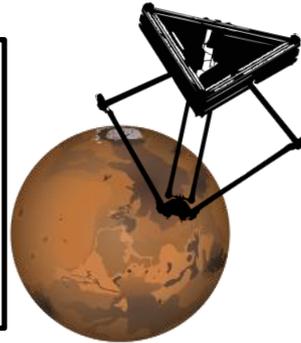
**ARGUMENT  
COMMENTARY**

metaphorical

# Additional discourse modes [Smith 2003]



On Monday, NASA **announced** that signs of liquid water **have been found** on Mars. The Mars Reconnaissance Orbiter spacecraft **found** evidence of the liquid on the Martian surface, in long dark spots on the Red Planet thought to be formed because of water flow.



## REPORT

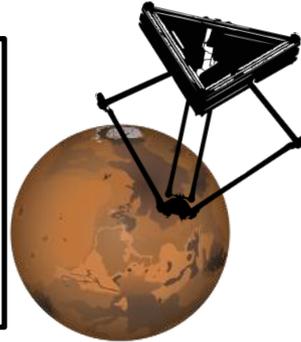
STATE, EVENT

temporal progression  
related to speech time.

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## REPORT

STATE, EVENT

temporal progression  
related to speech time.

The sand-hills here run down to the sea, and end in two spits of rock jutting out opposite each other, till you lose sight of them in the water. One is called the North Spit, and one the South.



## DESCRIPTION

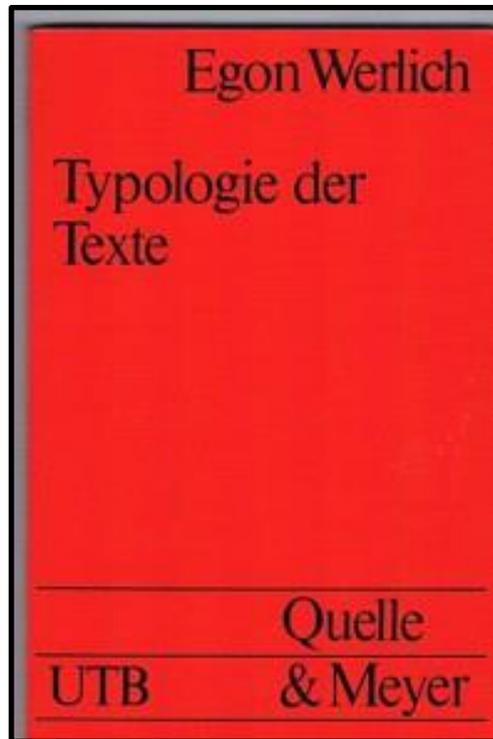
STATE, on-going EVENT

metaphorical progression  
through scene

# Discourse modes: related theories



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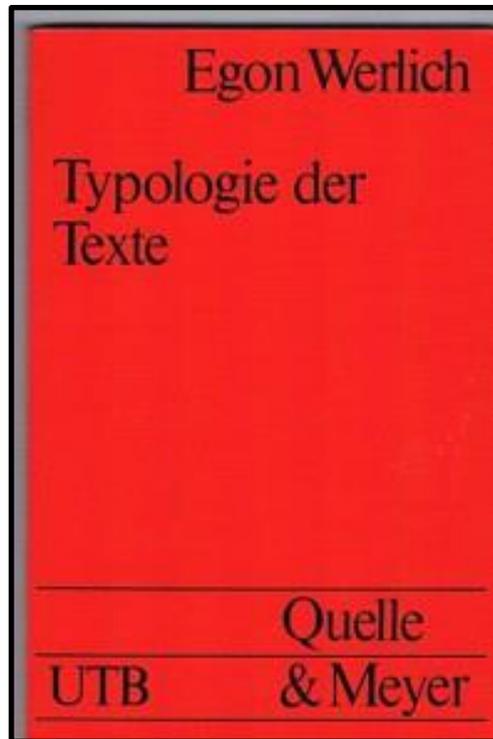


Egon Werlich, 1989

**text types**

narration, description,  
exposition, argumentation,  
instruction

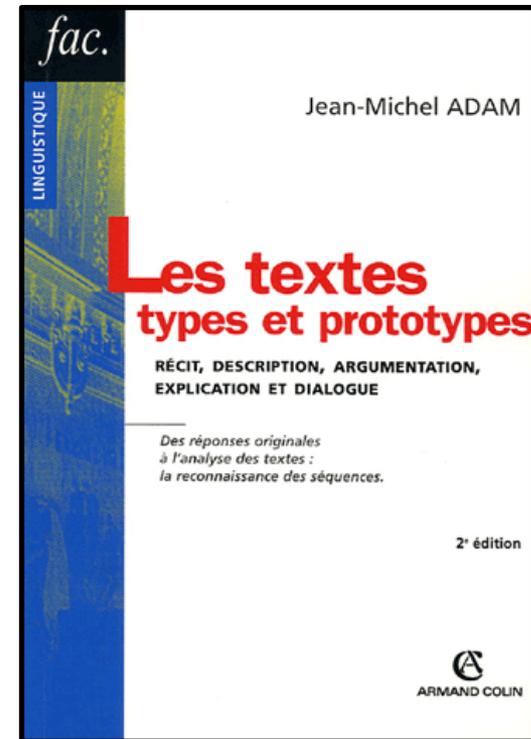
# Discourse modes: related theories



Egon Werlich, 1989

**text types**

narration, description,  
exposition, argumentation,  
instruction



Jean-Michel Adam, 2005

**typical sequences**

narrative, argumentative,  
descriptive, explicative,  
dialogued

# Discourse modes: relevance for NLP



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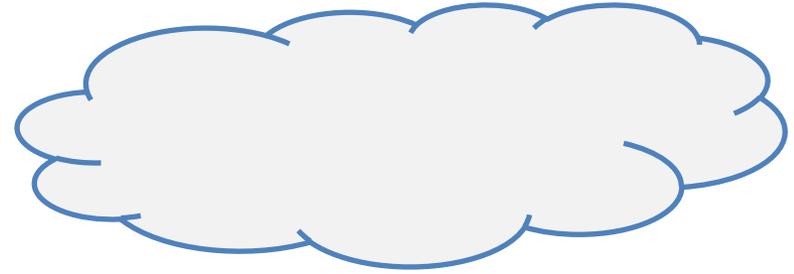
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- argumentation mining
  - narrow the search space for claims by focusing on argumentative passages
- genre distinctions
  - literary studies

# Situation entity types



situations / eventualities  
≈ evoked by finite clauses

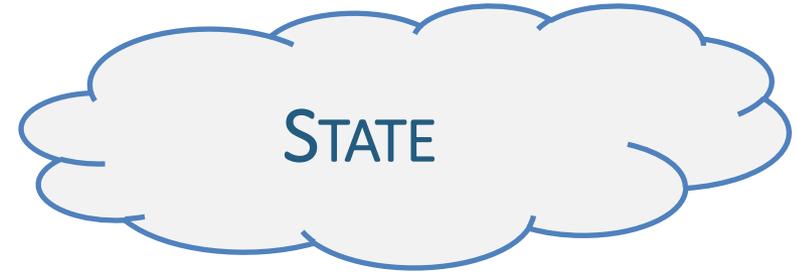


1. Yesterday, Mary bought a cat.
2. Now she owns four cats.
3. Susie often feeds Mary's cats.
4. Cats are very social animals.

# Situation entity types



situations / eventualities  
≈ evoked by finite clauses

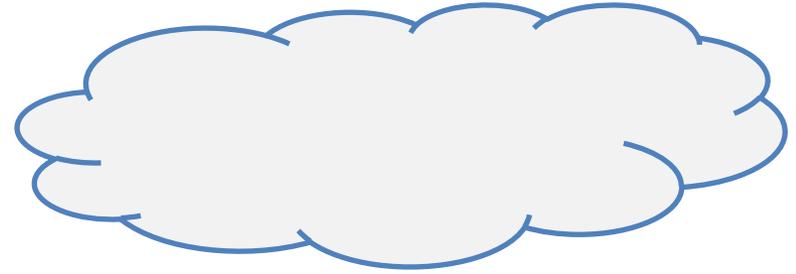


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# Situation entity types



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STATE

# Situation entity types



situations / eventualities  
≈ evoked by finite clauses



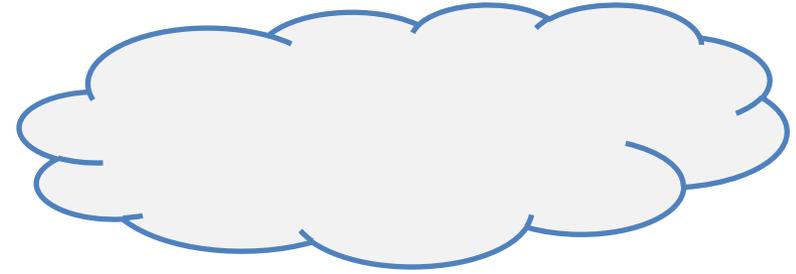
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situations / eventualities  
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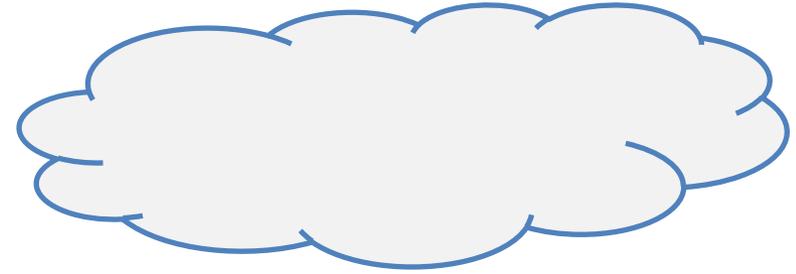
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GENERIC SENTENCE

# Situation entity types



situations / eventualities  
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GENERALIZING  
SENTENCE

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EVENT

2. Now she owns four cats.

STATE

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GENERIC SENTENCE

# Situation entity types



situations / eventualities  
≈ evoked by finite clauses

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EVENT

2. Now she owns four cats.

STATE

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GENERALIZING  
SENTENCE

4. Cats are very social animals.

GENERIC SENTENCE



# More situation entity types

ABSTRACT ENTITIES

here: clausal complements

frequent in  
ARGUMENT/COMMENTARY  
discourse mode

Susie **knows**

that Mary loves her cats a lot.

STATE

FACT

object of knowledge





# More situation entity types

## ABSTRACT ENTITIES

here: clausal complements

frequent in  
ARGUMENT/COMMENTARY  
discourse mode

Susie **knows**

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STATE

FACT

object of knowledge

Susie **believes**

that the cats also love Mary.

STATE

PROPOSITION

object of belief





# More situation entity types

## ABSTRACT ENTITIES

here: clausal complements

frequent in  
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Susie **knows**

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STATE

FACT

object of knowledge

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STATE

PROPOSITION

object of belief



Have you seen my cats?

Don't forget to feed the cats!

QUESTION

IMPERATIVE

[Palmer et al. 2007]

# Situation entity types: summary



<b>Eventualities</b>	STATE	Mary likes cats.
	EVENT	Mary fed the cats.
	- REPORT	..., Mary said.
<b>General Statives</b>	GENERALIZING SENTENCE	Mary often feeds my cats.
	GENERIC SENTENCE	Cats are always hungry.
<b>Abstract Entities</b>	FACT	I know <u>that Mary fed the cats.</u>
	PROPOSITION	I believe <u>that Mary fed the cats.</u>
<b>Speech Acts</b>	QUESTION	Does Mary like cats?
	IMPERATIVE	Don't forget to feed the cats!

# Situation entity types: summary



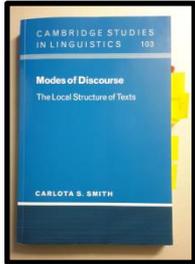
Eventualities	STATE	Mary likes cats.
	EVENT	Mary fed the cats.
	- REPORT	..., Mary said.
General Statives	GENERALIZING SENTENCE	Mary likes cats.
	GENERAL SENTENCE	Mary fed the cats.
Abstract	FACT	The ship was in motion. STATE
	PROPOSITION	The ship moved. EVENT
	QUESTION	
	IMPERATIVE	Don't forget to feed the cats!

Writer / speaker chooses how to present things:  
 The ship was in motion. STATE  
 The ship moved. EVENT



Carlota Smith: The Parameter of Aspect (1997).

# Situation entity annotation

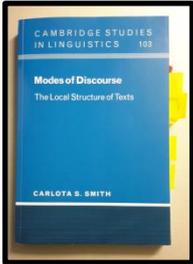


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Many examples, but no formal definition of the different situation entity types.



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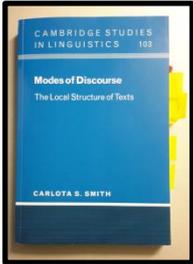
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Alexis Palmer, Elias Ponvert, Jason Baldridge, and Carlota Smith.  
**A sequence model for situation entity classification.** ACL 2007.

- first labeled data set for SEs, ~6000 clauses
- no annotation manual, Cohen's  $\kappa = 0.54$



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Many examples, but no formal definition of the different situation entity types.

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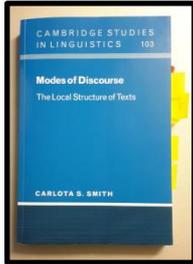
- first labeled data set for SEs, ~6000 clauses
- no annotations

What are the **most important differences** between Smith's situation entity types?



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Situation entity annotation. LAW 2014.

# Situation entity annotation



Carlota Smith: Modes of Discourse (2003).

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What are the **most important differences** between Smith's situation entity types?

convey **annotation scheme + guidelines** to annotators



Annemarie Friedrich and Alexis Palmer.  
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# Situation entity types: feature-based annotation



What are the **main differences** between the different situation entity types?

<b>Eventualities</b>	STATE	Mary likes cats.
	EVENT	Mary fed the cats.
	- REPORT	..., Mary said.
<b>General Statives</b>	GENERALIZING SENTENCE	Mary often feeds my cats.
	GENERIC SENTENCE	Cats are always hungry.
<b>Abstract Entities</b>	FACT	I know <u>that Mary fed the cats.</u>
	PROPOSITION	I believe <u>that Mary fed the cats.</u>
<b>Speech Acts</b>	QUESTION	Does Mary like cats?
	IMPERATIVE	Don't forget to feed the cats!



# Situation entity types: feature-based annotation

What are the **main differences** between the different situation entity types?

Does the verb express an **event** or a **state**?  
**aspectual class**

Mary likes cats.

Mary fed the cats.

- REPORT

..., Mary said.

**General Statives**

GENERALIZING SENTENCE

Mary often feeds my cats.

GENERIC SENTENCE

Cats are always hungry.

**Abstract Entities**

FACT

I know that Mary fed the cats.

PROPOSITION

I believe that Mary fed the cats.

**Speech Acts**

QUESTION

Does Mary like cats?

IMPERATIVE

Don't forget to feed the cats!

# Situation entity types: feature-based annotation



What are the **main differences** between the different situation entity types?

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Does something happen repeatedly or once?

**habituality**

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		Mary fed the cats
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What are the **main differences** between the different situation entity types?

		Mary likes cats.
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<p>Does the sentence talk about a <b>particular referent</b> or a <b>kind/class</b>?</p> <p><b>genericity</b></p>		Mary often feeds the cats.
		Cats are always hungry.
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Does the verb express an **event** or a **state**?

**aspectual class**

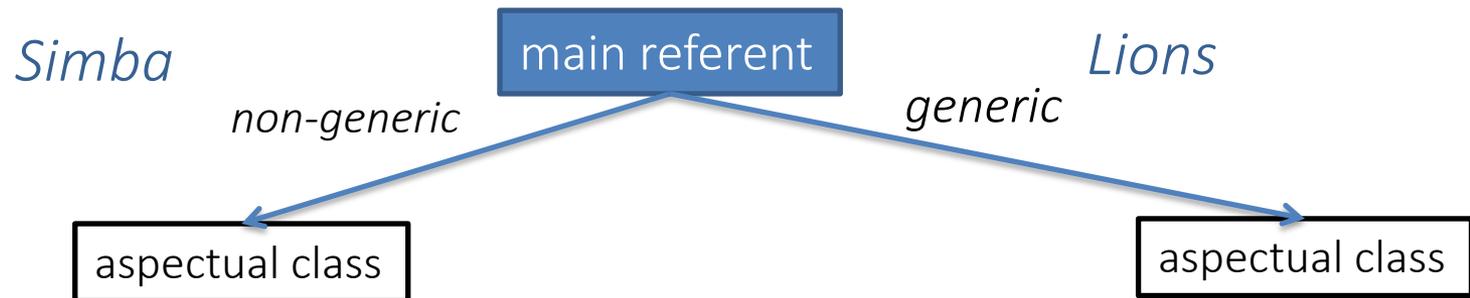
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**habituality**

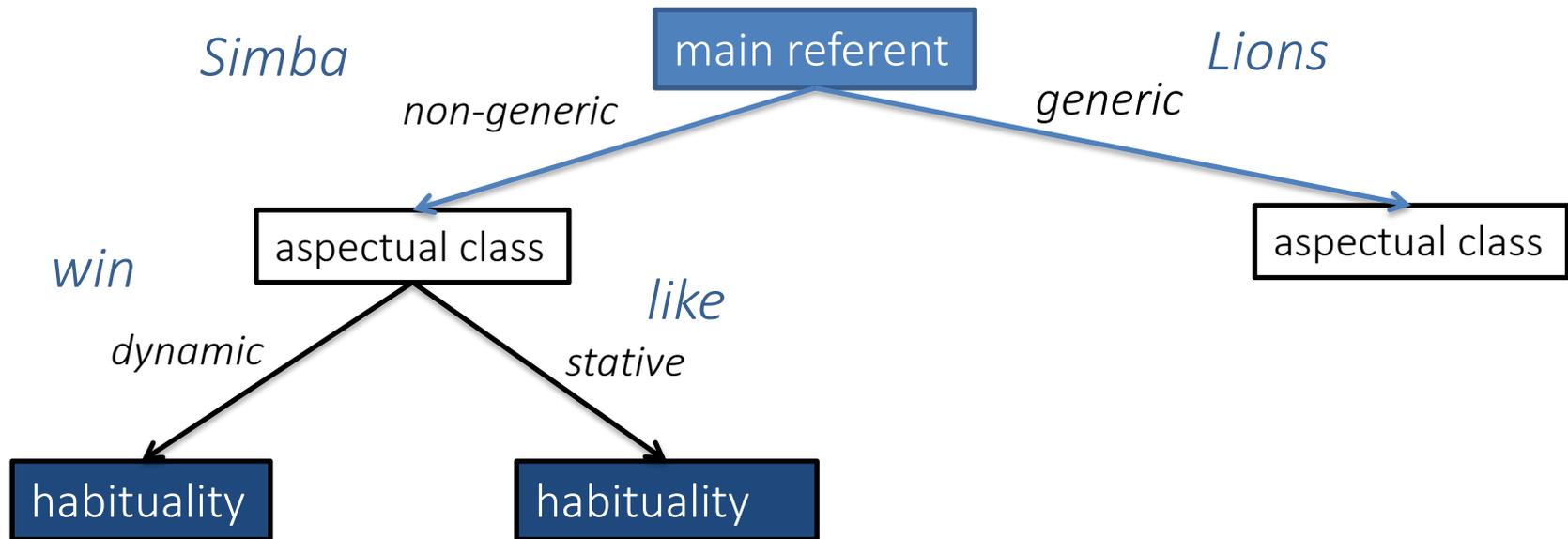
Does the sentence talk about a **particular referent** or a **kind/class**?

**genericity**

# A decision tree for labeling situation entities

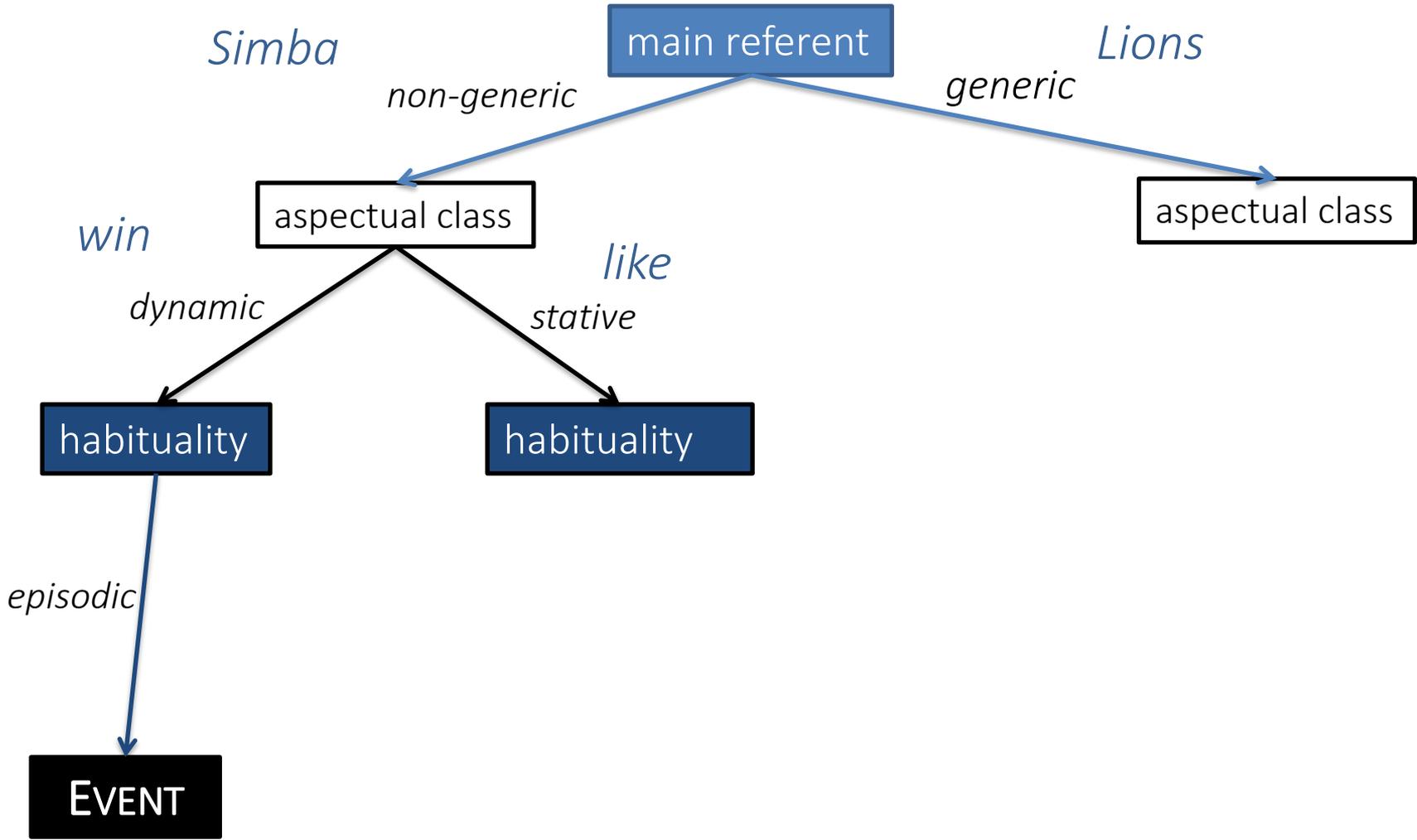


# A decision tree for labeling situation entities





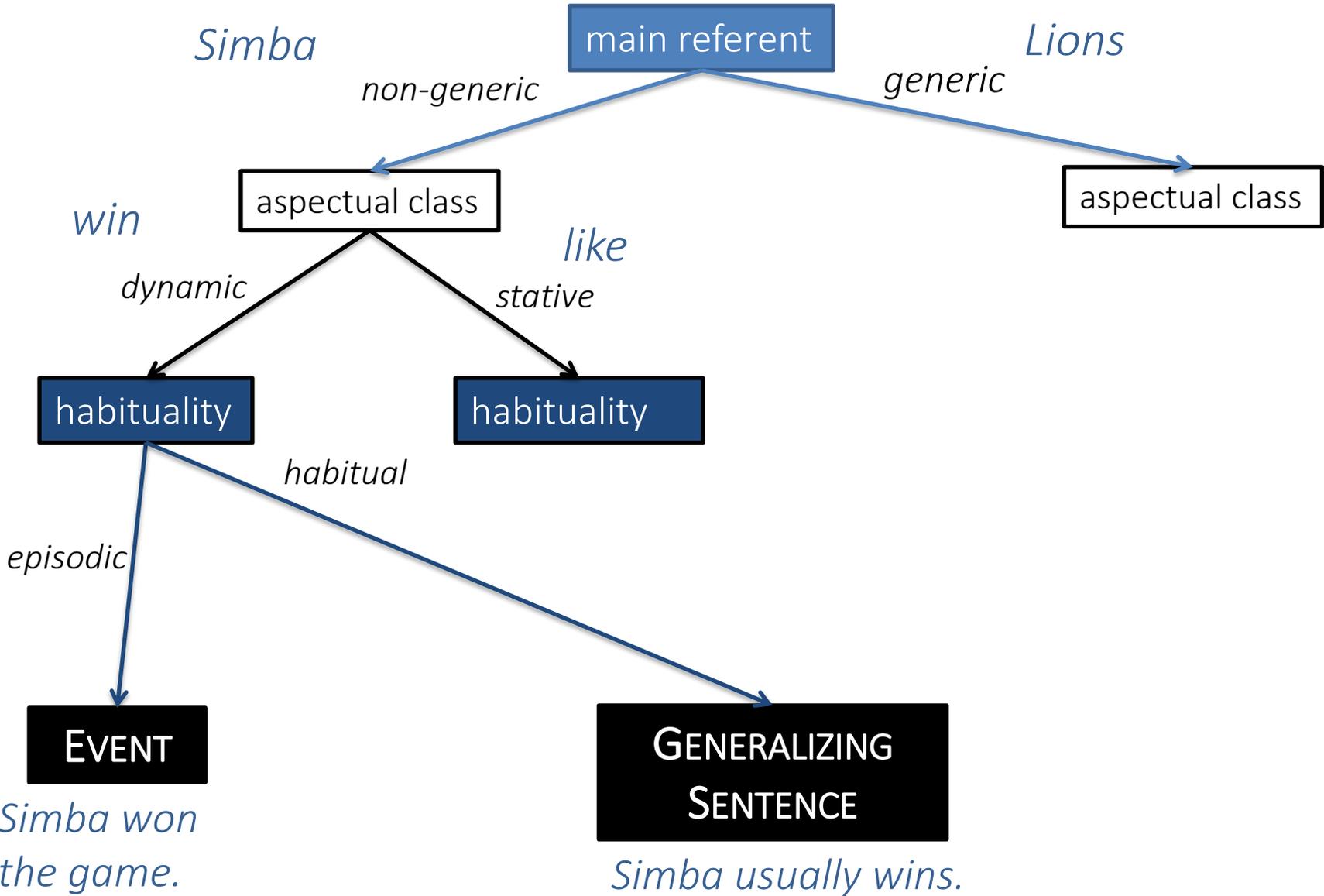
# A decision tree for labeling situation entities



*Simba won the game.*

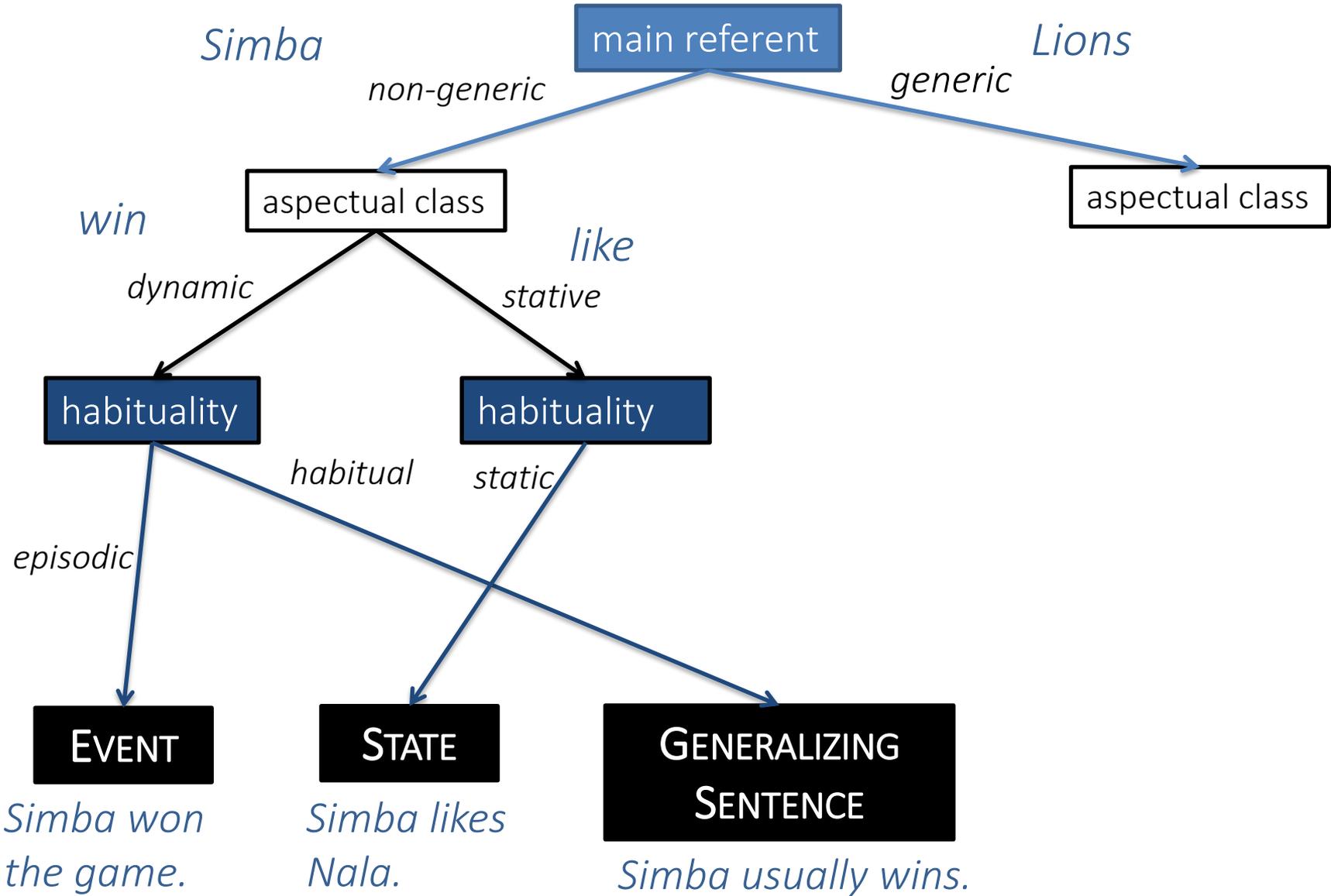


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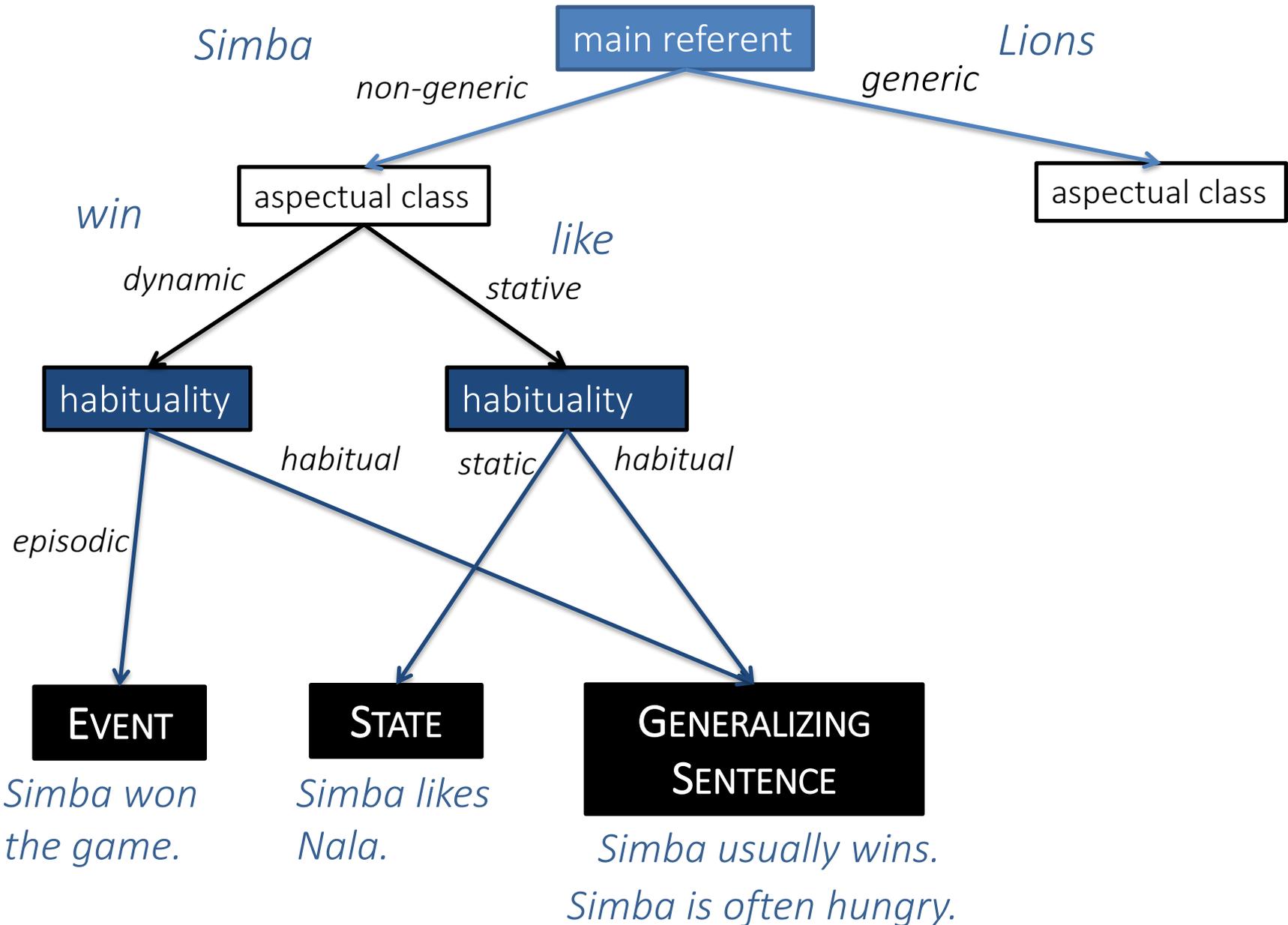


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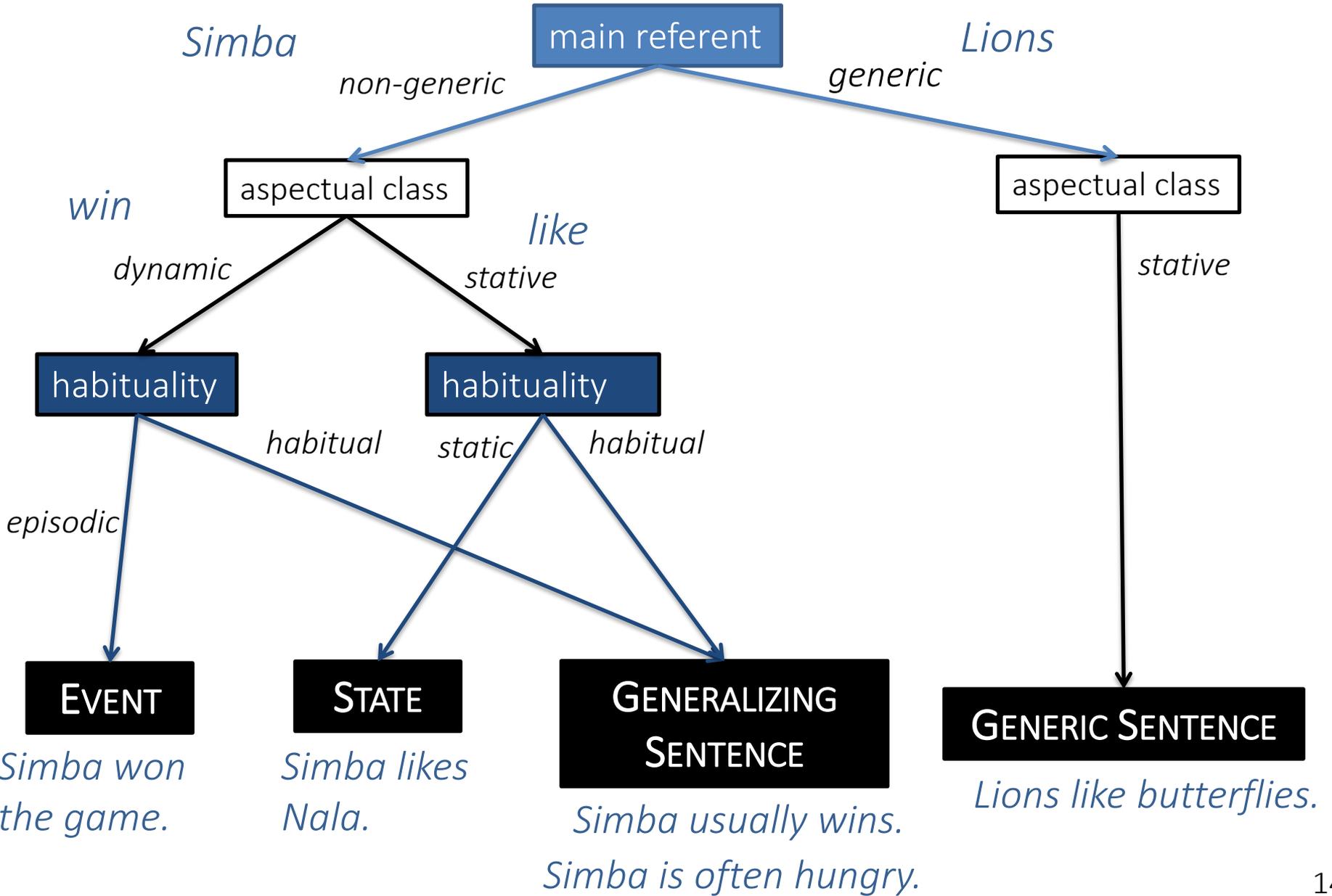


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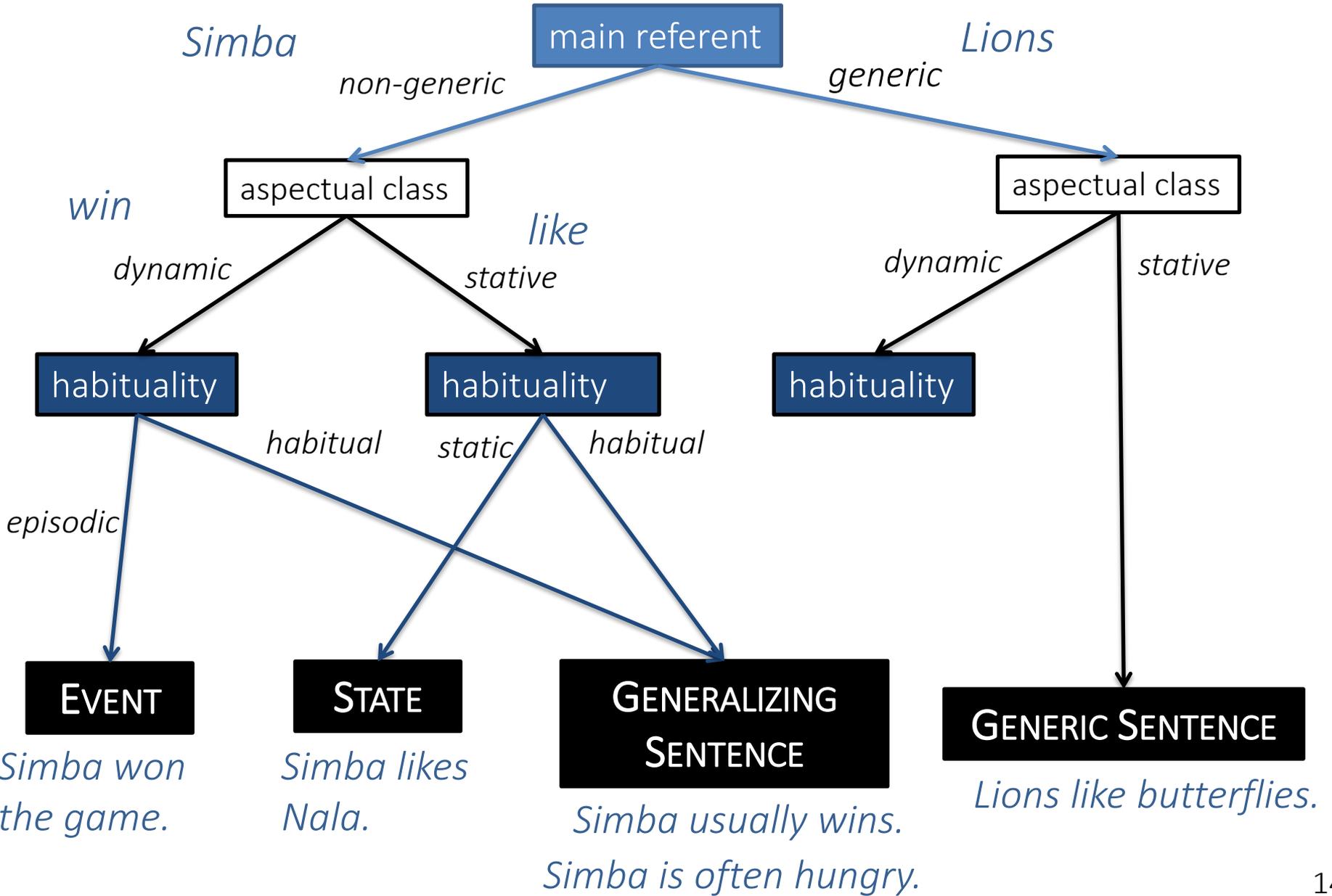


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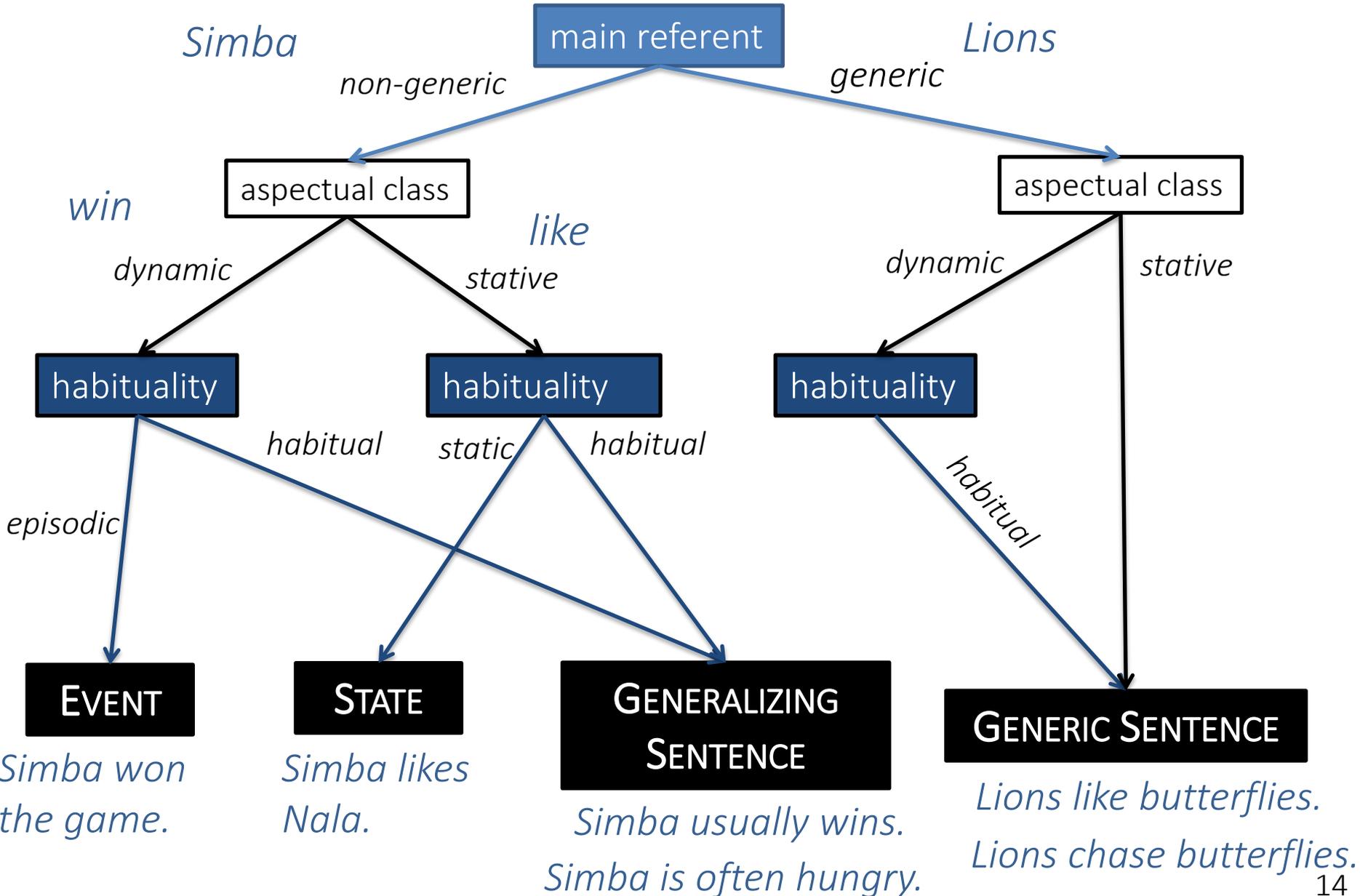


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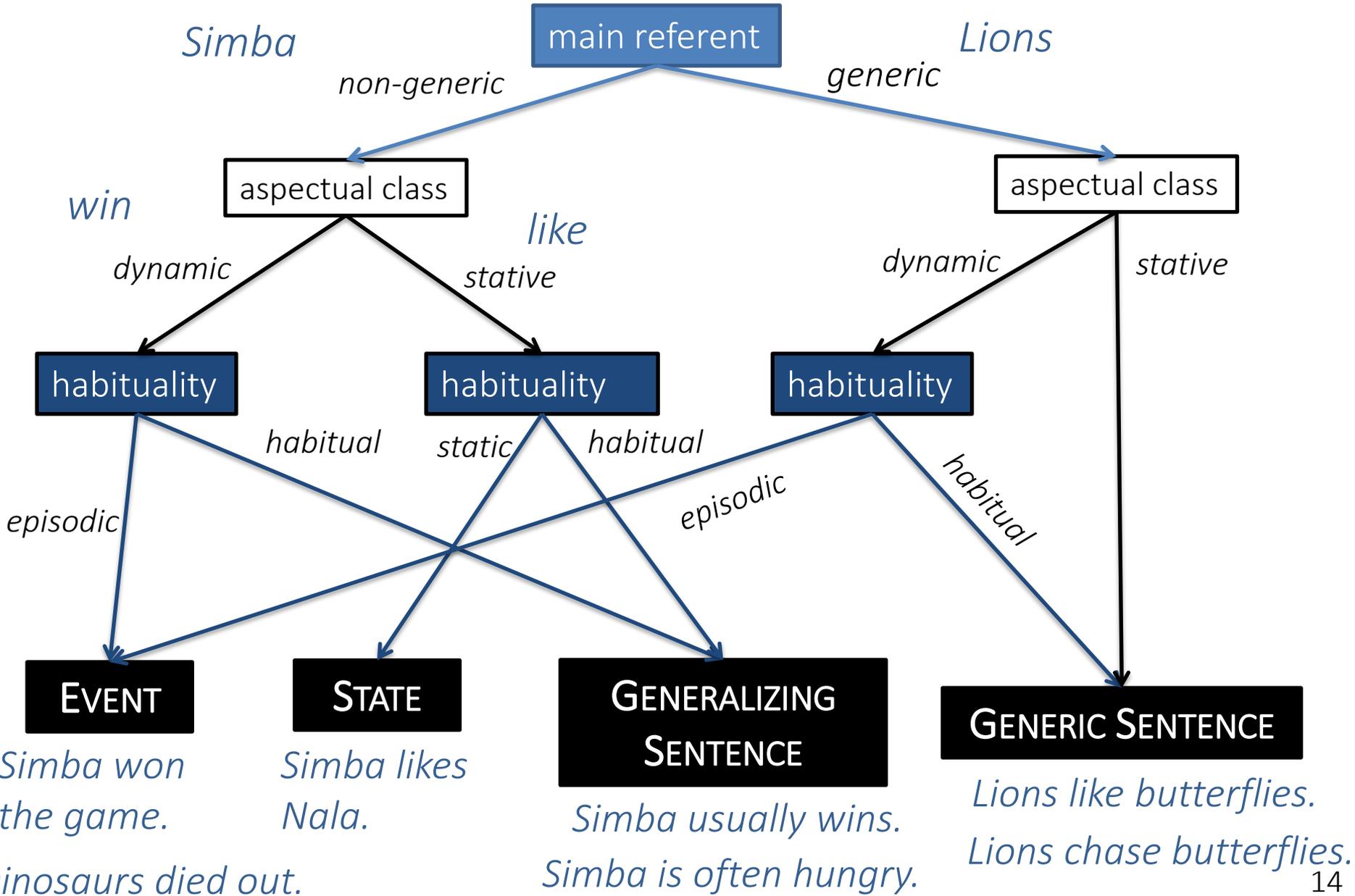


# A decision tree for labeling situation entities





# A decision tree for labeling situation entities





# Situation entity types: coercion

some linguistic phenomena coerce **EVENTs** to **STATES**:  
negation, modality, future / perfect,  
conditionality, subjectivity





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Susie **has not fed** the cats.

**If** Susie has forgotten the cats,  
they **might** be hungry now.





# Situation entity types: coercion

some linguistic phenomena coerce **EVENTs** to **STATES**:  
negation, modality, future / perfect,  
conditionality, subjectivity

Susie **will** feed the cats.

Susie **has not fed** the cats.

If Susie has forgotten the cats,  
they **might** be hungry now.



does not apply to general statives:

Susie **never** feeds Mary's cats.

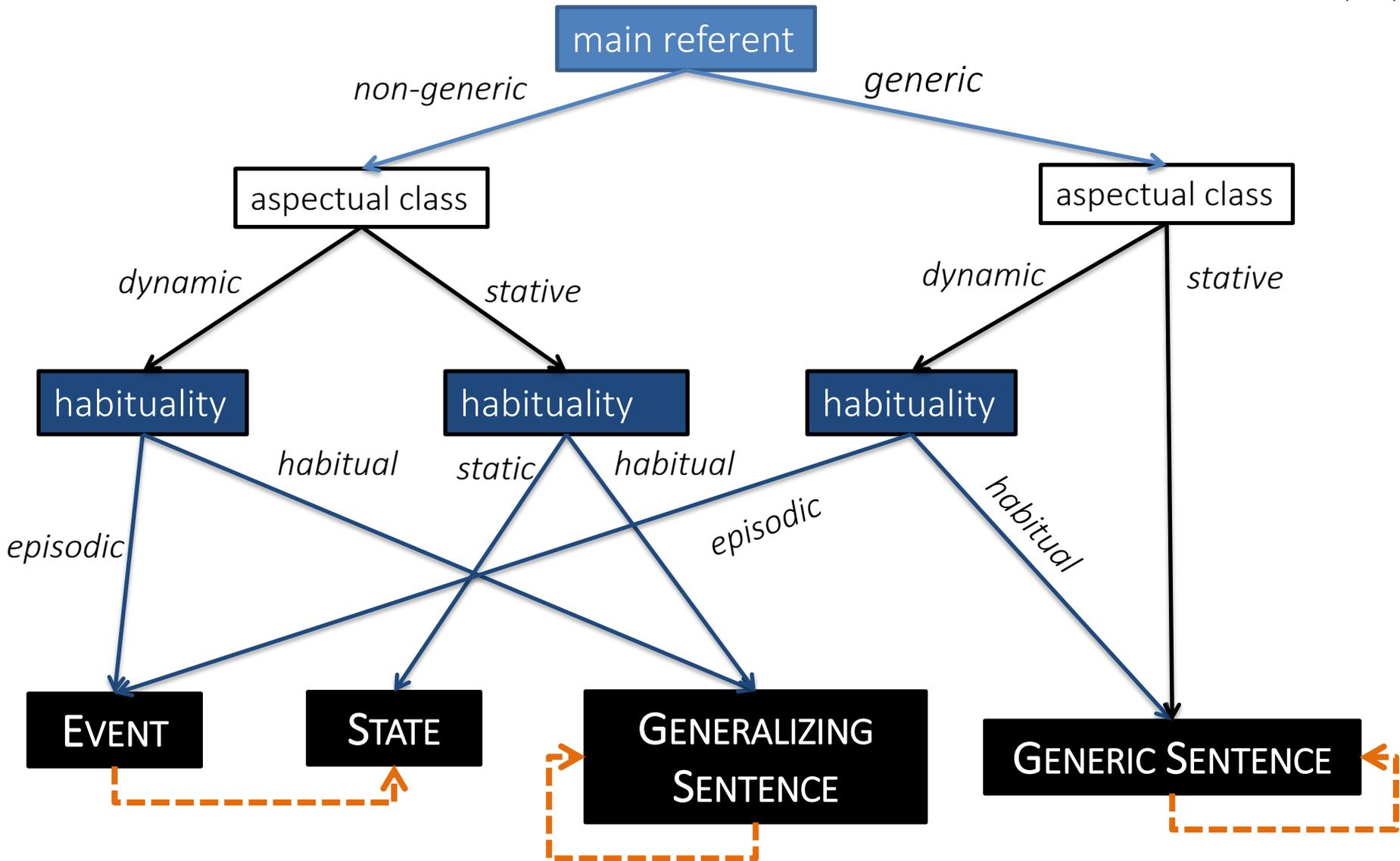
GENERALIZING SENTENCE

Cats **might** be the most popular pet.

GENERIC SENTENCE



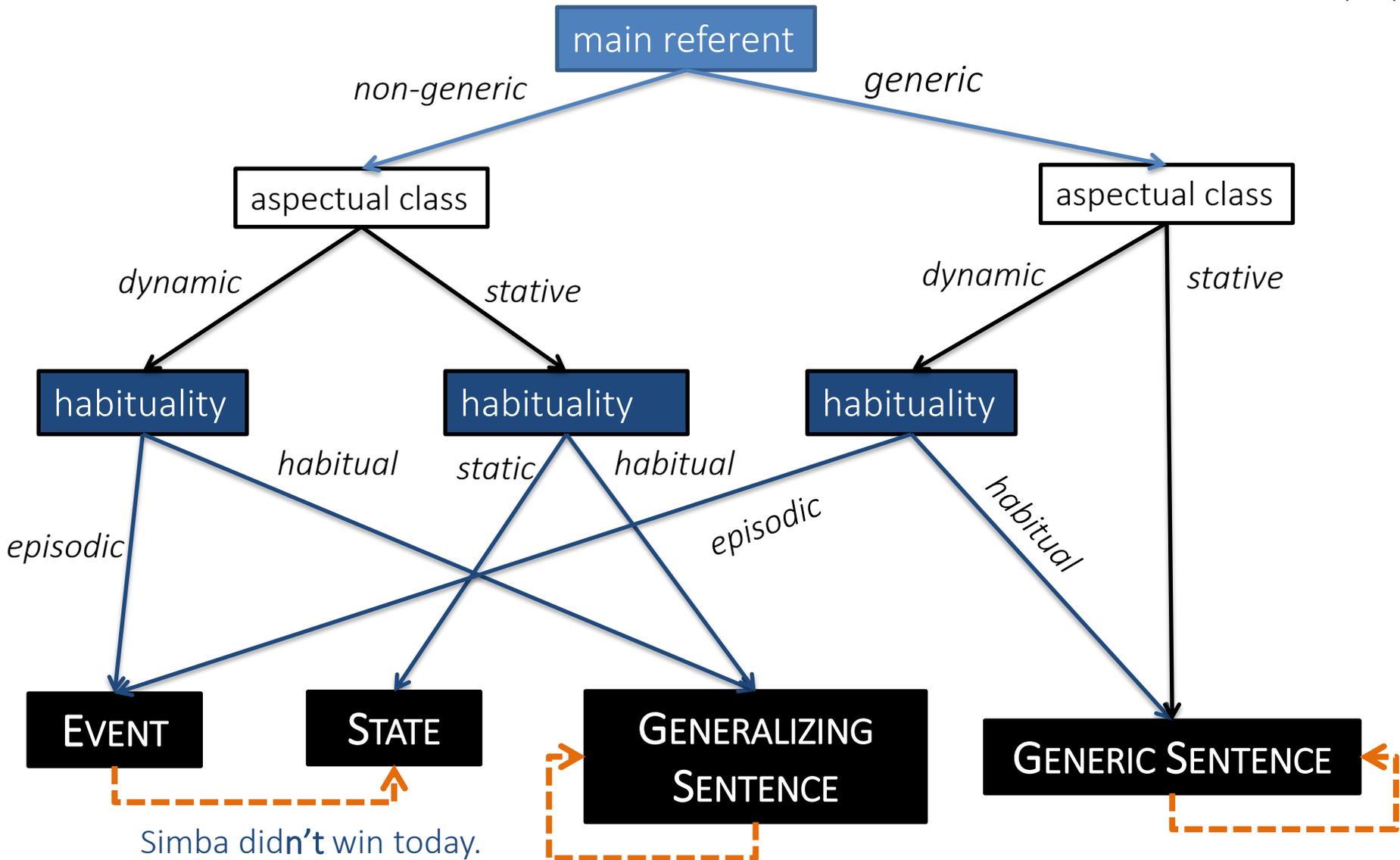
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*negation, modals, conditional, perfect, future*



# A decision tree for labeling situation entities

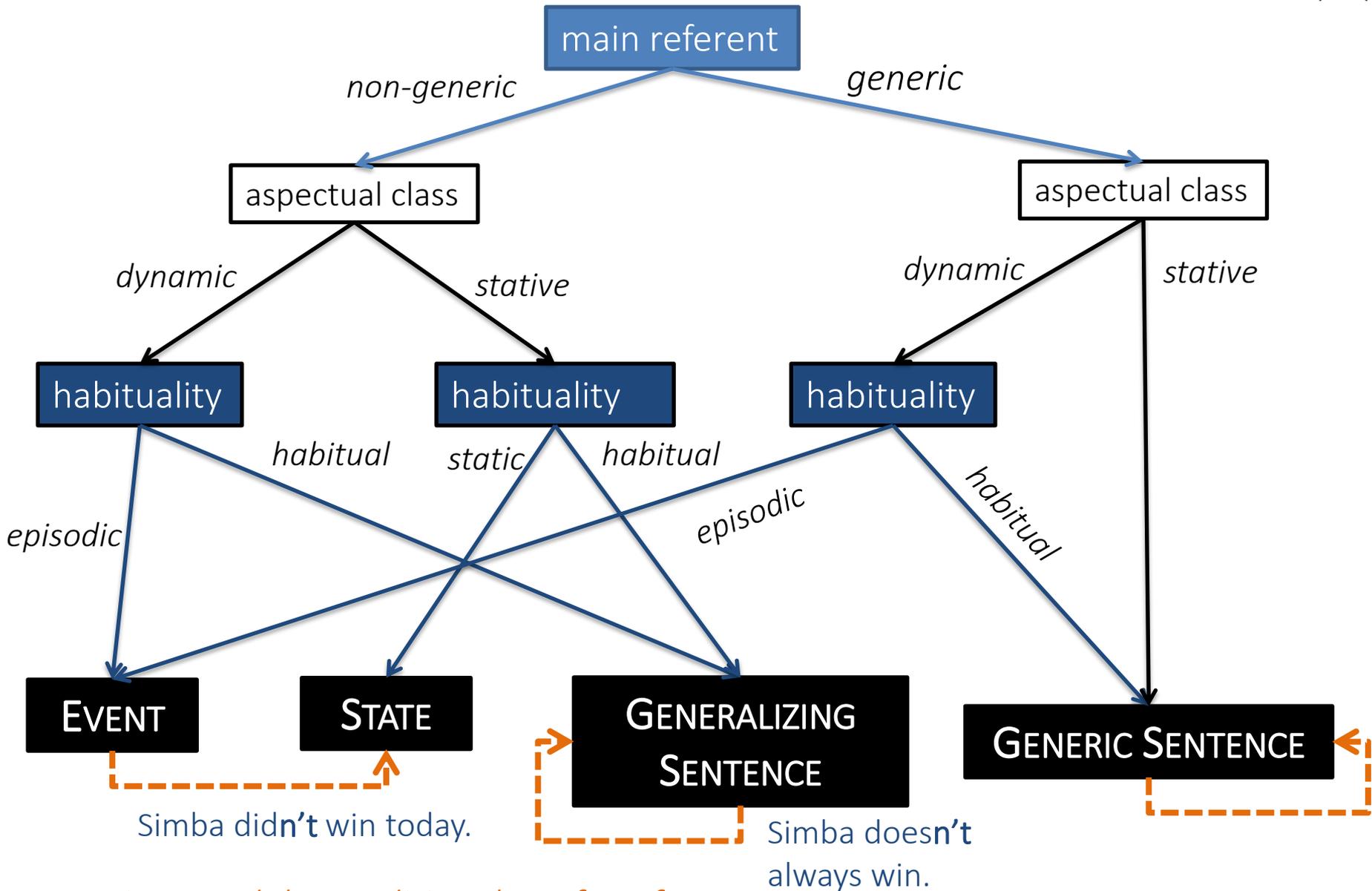


Simba didn't win today.

*negation, modals, conditional, perfect, future*



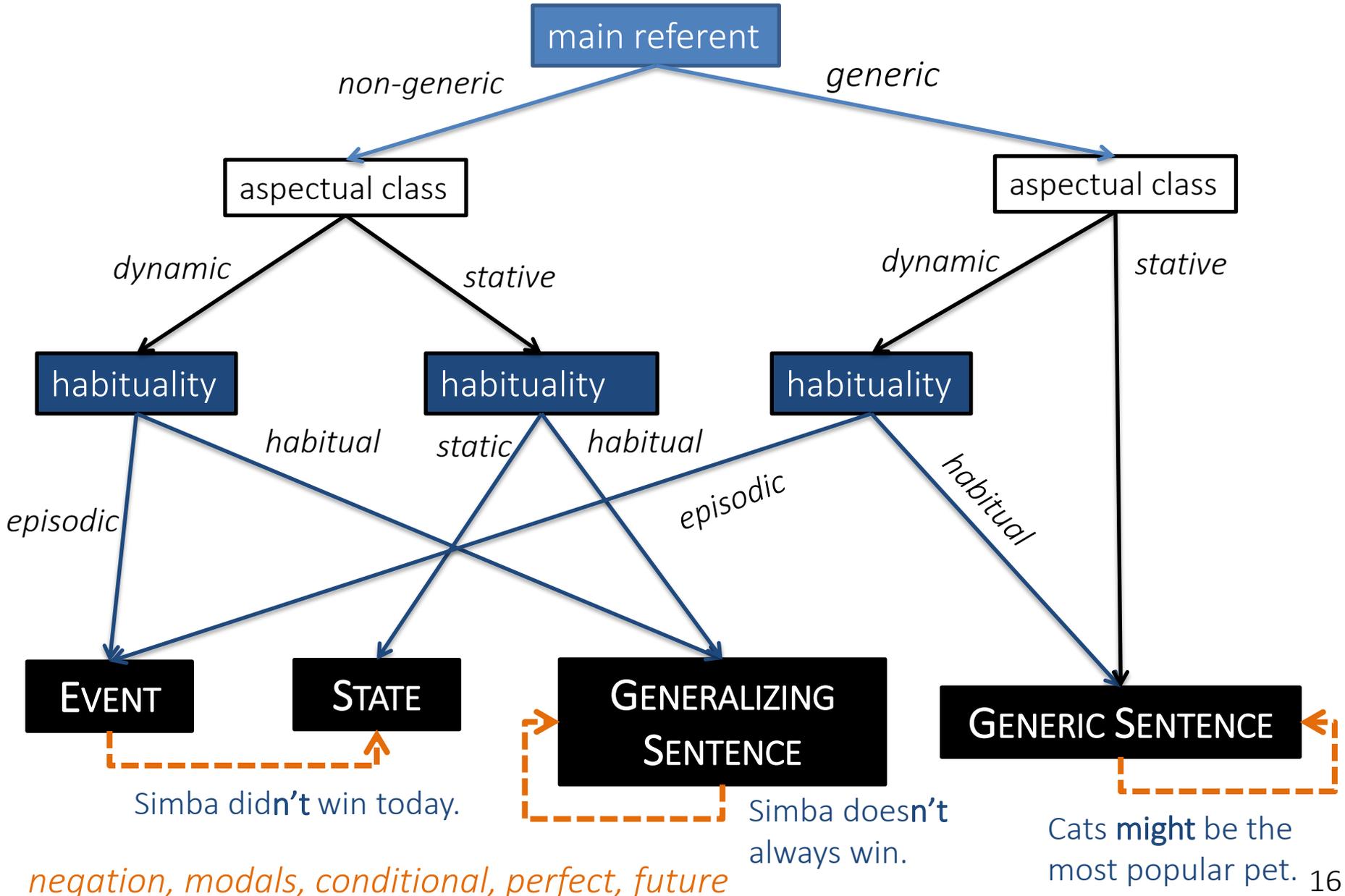
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*negation, modals, conditional, perfect, future*



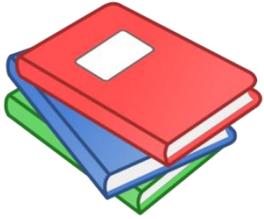
# A decision tree for labeling situation entities



# Data sets and annotation procedure



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## MASC

30,000 clauses

*essays, letters, fiction,  
technical, travel, news ...*

# Data sets and annotation procedure



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*botany, animals, sports,  
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segmentation into  
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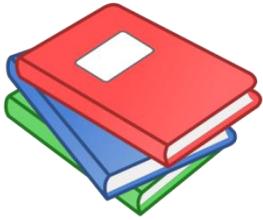
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## Annotators label

- situation entity type
- genericity of main referent
- lexical aspectual class of main verb
- habituality of main verb



# Data sets and annotation procedure



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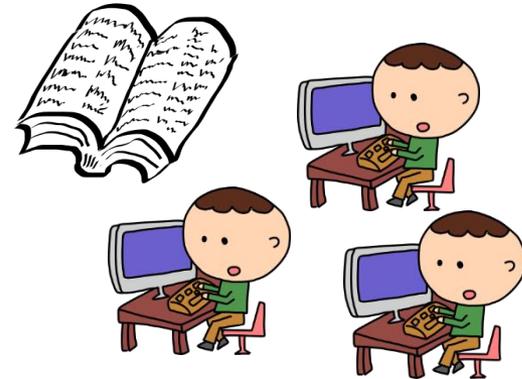


## Wikipedia

10,000 clauses

*botany, animals, sports,  
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training phase  
+ manual



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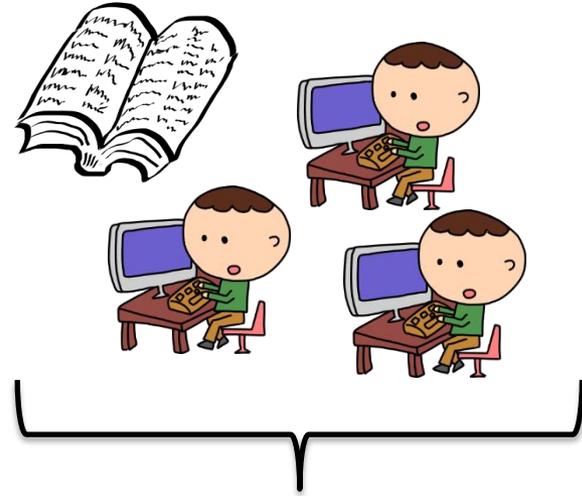
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**gold standard** = majority vote  
over labels of 3 annotators



# Data sets and annotation procedure



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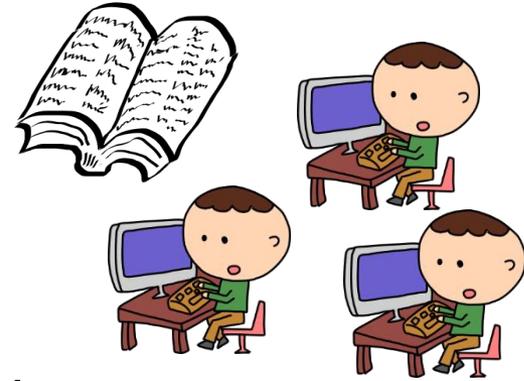


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Annotators label

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**gold standard** = majority vote  
over labels of 3 annotators

(about 10% of segments marked as  
“NO SITUATION”)



# Annotation of situation entity types and features



## SITUATION ENTITIES: ANNOTATION TOOL

USER: ANNE FRIEDRICH

HOME
LOGOUT
File: wikipedia\_wikiGenerics\_blobfish.txt

1	seg_prob	The blobfish ( <i>Psychrolutes marcidus</i> )
2	GEN_STAT, GENERIC	is a deep sea fish of the family Psychrolutidae.
3	GEN_STAT, GENERIC	It inhabits the deep waters off the coasts of mainland Australia and Tasmania, as well as the waters of New Zealand.
4	seg_prob	
5	GEN_STAT, GENERIC	Blobfish are typically shorter than 30 cm.
6	GEN_STAT, GENERIC	They live at depths between where the pressure is several dozen times higher than at sea level,
7	GEN_STAT, GENERIC	which would likely make gas bladders inefficient for maintaining buoyancy.
8	GEN_STAT, GENERIC	Instead, the flesh of the blobfish is primarily a gelatinous mass with a density slightly less than water;
9	GEN_STAT, GENERIC	this allows the fish to float above the sea floor
10	GEN_STAT, GENERIC	without expending energy on swimming.

### FEATURES

**Main Referent**

*not the grammatical subject*

non-generic       no main referent

generic       can't decide

**Aspectual Class of main verb**

stative     both

dynamic     can't decide

**Habituality of main verb**

episodic     static

habitual     can't decide

**SEGMENTATION PROBLEMS**

no situation

### SITUATION ENTITY TYPES

State

Event

Report

Event-Perfect-State

General Stative

Generalizing Sentence

Generic Sentence

Abstract Entity

Fact

Proposition

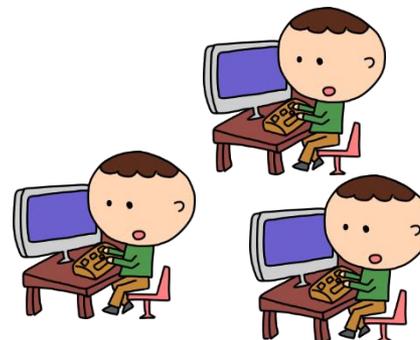
Resemblance

Speech Act

Imperative

Question

# Inter-annotator agreement

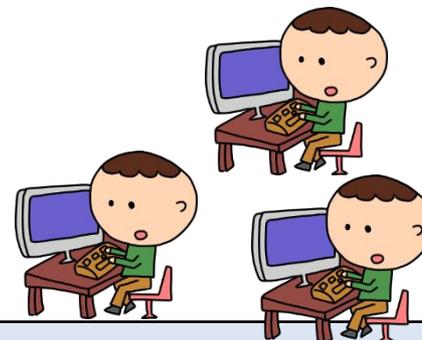


Fleiss' $\kappa$ : features			
Feature	labels	MASC	Wikipedia
aspectual class	stative, dynamic, both	0.69	0.64
main referent	generic, non-generic, cannot decide	0.55	0.67
habituality	episodic, static, habitual, cannot decide	0.72	0.65

# Inter-annotator agreement



Krippendorff's diagnostics:  
situation entity types



Fleiss'  $\kappa$

Fleiss' $\kappa$		
CATEGORY	MASC	Wikipedia
<b>all categories</b>	<b>0.64</b>	<b>0.63</b>
STATE	0.64	0.57
EVENT	0.72	0.72
REPORT	0.83	0.28
GENERIC SENTENCE	0.43	0.70
GENERALIZING SENTENCE	0.45	0.35
ABSTRACT ENTITY	0.40	0.19
QUESTION	0.85	0.85
IMPERATIVE	0.91	0.85

# Situation entity types: relevance for NLP



- identifying the discourse modes of a text passage

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  - identifying **generic noun phrases** [Reiter & Frank 2013]

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  - identifying **generic noun phrases** [Reiter & Frank 2013]
  - identifying **habitual vs. episodic sentences** [Mathew & Katz 2009]

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

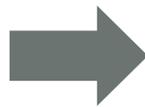
[EMNLP 2015]

is the main referent generic?

lexical aspectual class

recognize habituality

entire documents, segmented into clauses



automatic classification of situation entity types

[ongoing work]

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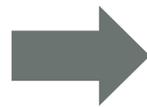
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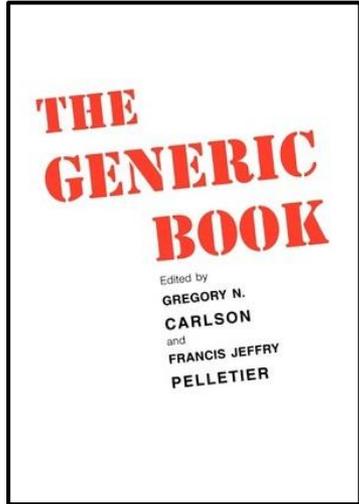
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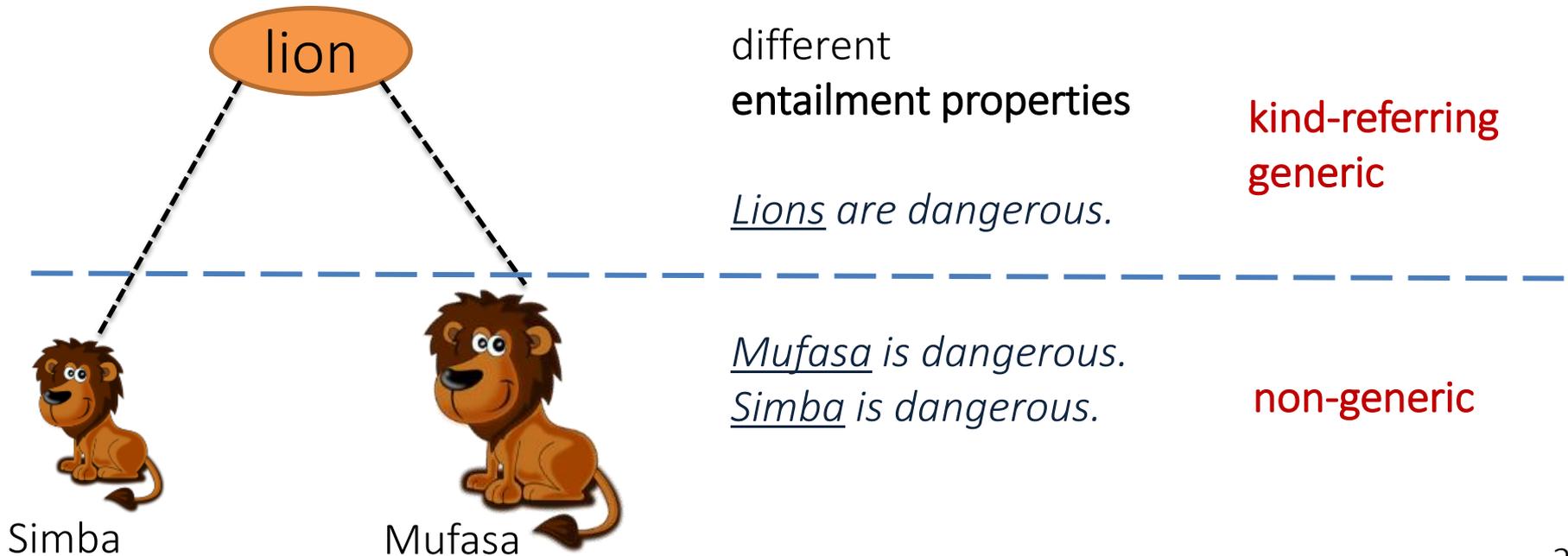
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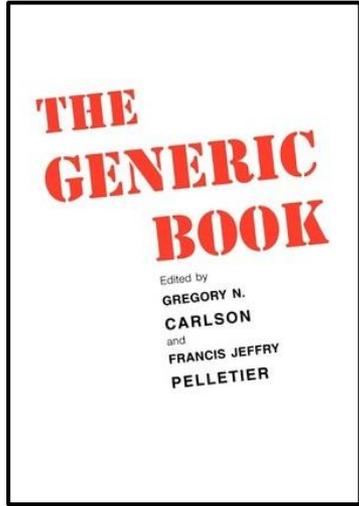
# Genericity



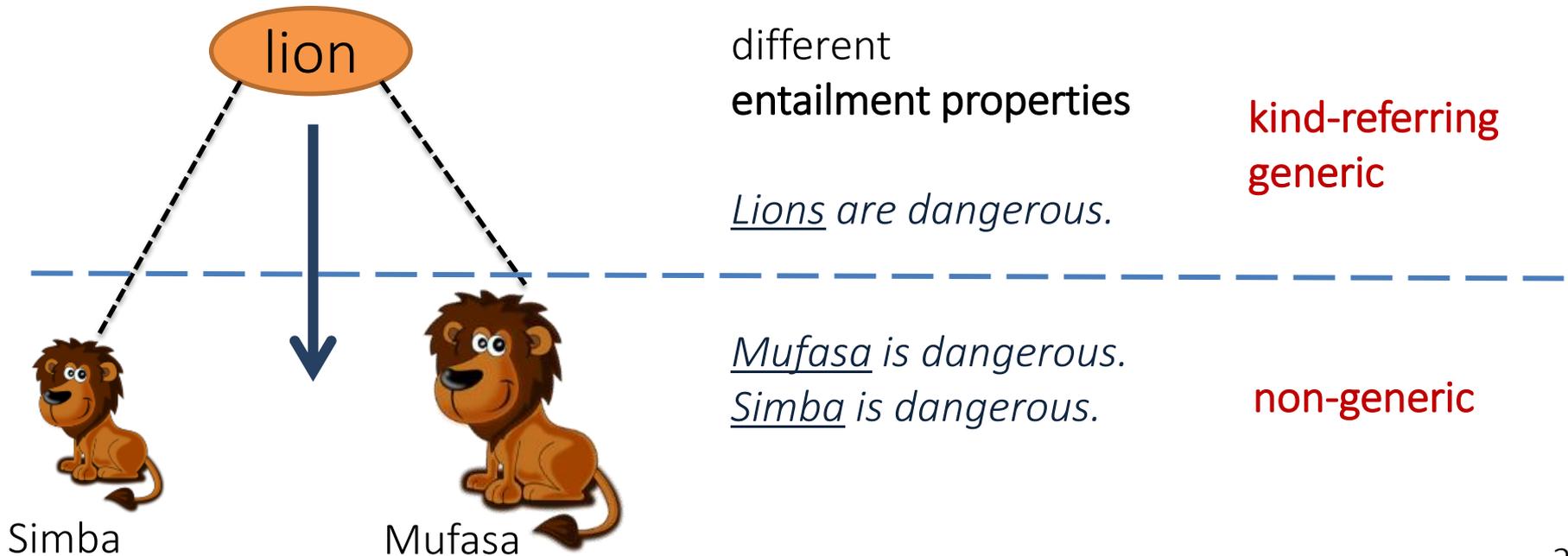
Krifka, Manfred, et al.  
Introduction to genericity.  
In *The Generic Book* (1995).



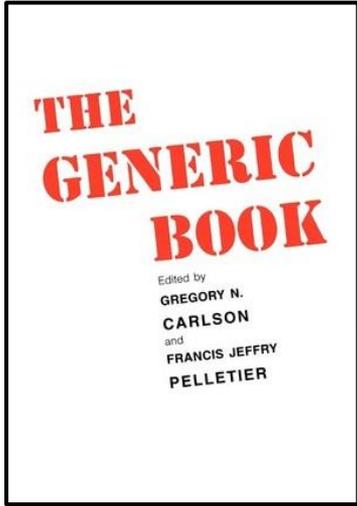
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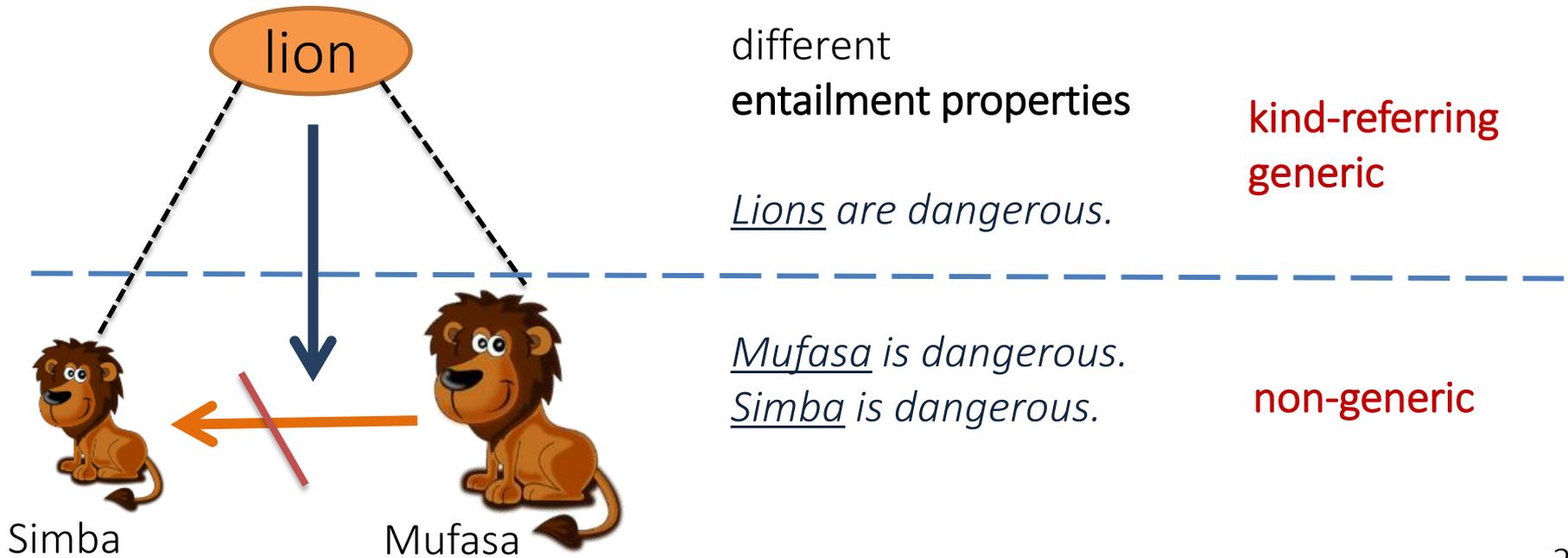
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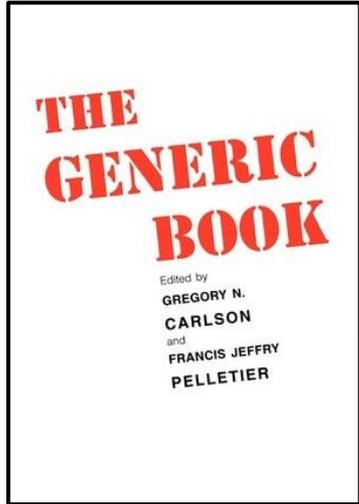
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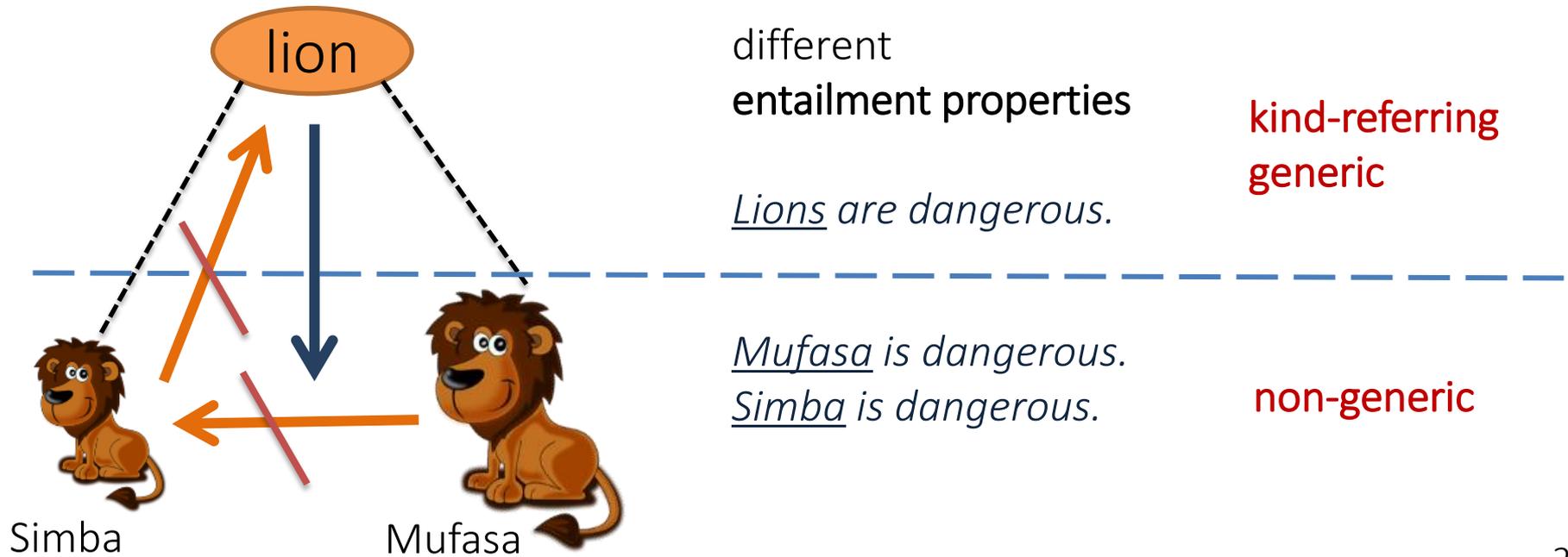
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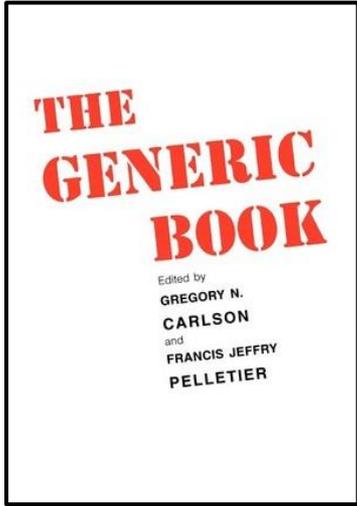
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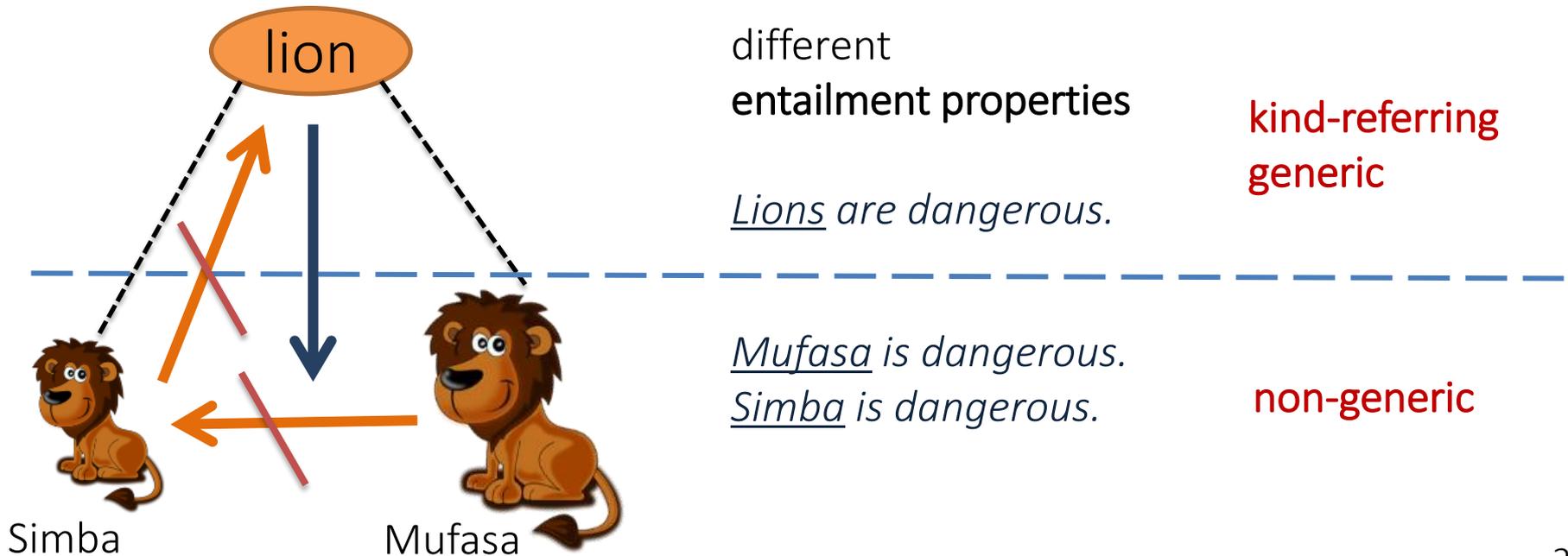


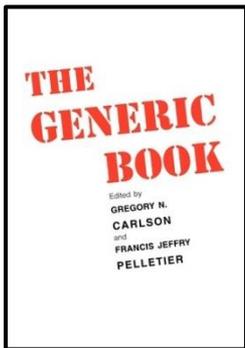
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- ✓ information / event extraction
- ✓ knowledge acquisition from text





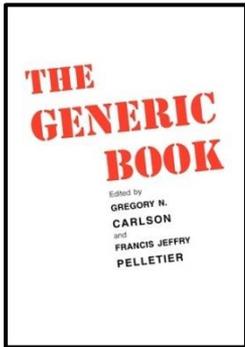
# Reference to kinds



	kind-referring	non-kind-referring
definite NPs	<u>The lion</u> is a predatory cat.	<u>The cat</u> chased the mouse.
indefinite NPs	<u>Lions</u> eat meat.	<u>Dogs</u> were barking outside.
quantified NPs	<u>Some (type of) dinosaur</u> is extinct.	<u>Some dogs</u> were barking outside.
proper names	<u>Panthera leo persica</u> was first described by the Austrian zoologist Meyer.	<u>John</u> likes ice cream.



# Reference to kinds

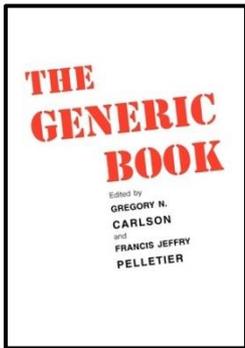


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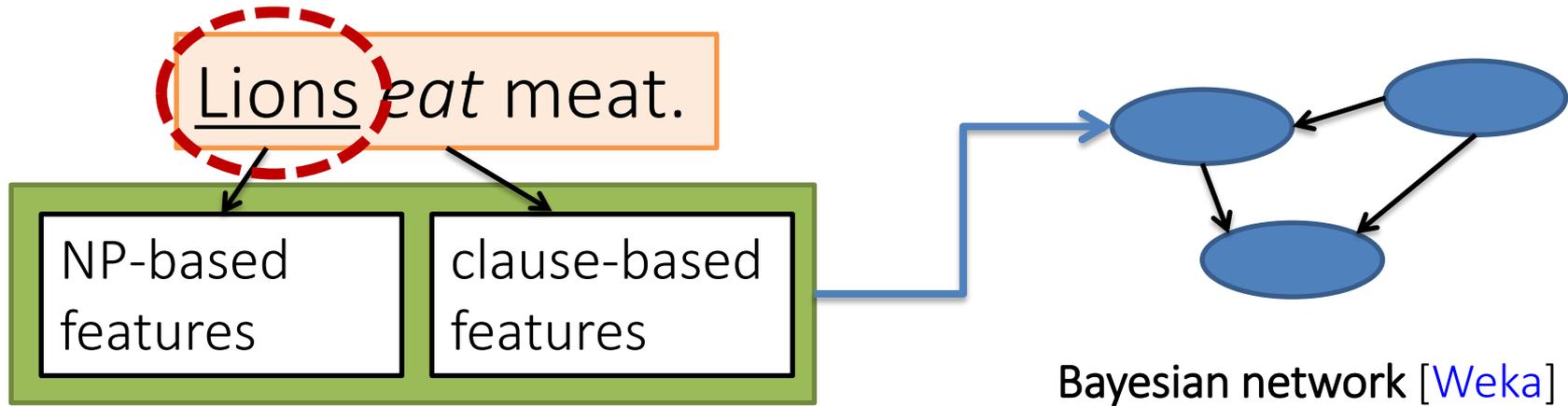
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clause / context matters



# Baseline: identifying generic noun phrases



Bayesian network [Weka]

Nils Reiter and Anette Frank. **Identifying generic noun phrases.** ACL 2010.

Data: ACE-2 & ACE-2005

→ largest corpora annotated with NP-level genericity to date, ~40k NPs

- SPC = specific / non-generic
- GEN = generic
- USP = underspecified



# Syntactic-semantic features

→ reimplementation of R&F using freely available resources

→ extracted from dependency parses (Stanford parser)

<https://github.com/annefried/sitent>

NP-based features	
number	sg, pl
person	1,2,3
countability	Celex: count, uncount,...
noun type	common, proper, pronoun
determiner type	def, indef, demon
part-of-speech	POS of head
bare plural	true, false
WordNet based features	senses, lexical filename,...

Clause-based features	
dependency relations	between (subject) head and governor etc.
tense	past, present, future
progressive	true, false
perfective	true, false
voice	active, passive
part-of-speech	POS of head
temporal modifier	true, false
number of modifiers	numeric
predicate	lemma of head
adjunct-degree	positive, comparative, superlative

# Discourse-sensitive approach



WIKIPEDIA  
The Free Encyclopedia

[Sugar maples ] also have a tendency to color unevenly in fall.

[The recent year's growth twigs ] are green and turn dark brown.



Annemarie Friedrich and Manfred Pinkal. **Discourse-sensitive automatic identification of generic expressions.** ACL 2015.

# Discourse-sensitive approach



WIKIPEDIA  
The Free Encyclopedia

[The recent year's growth twigs  
are green and turn dark brown. ]



Annemarie Friedrich and Manfred Pinkal. **Discourse-sensitive automatic identification of generic expressions.** ACL 2015.

# Discourse-sensitive approach



WIKIPEDIA  
The Free Encyclopedia

[Sugar maples **generic**] also have a tendency to color unevenly in fall.

[The recent year's growth twigs are green and turn dark brown. ]



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WIKIPEDIA  
The Free Encyclopedia

[Sugar maples **generic**] also have a tendency to color unevenly in fall.

[The recent year's growth twigs **generic**] are green and turn dark brown.



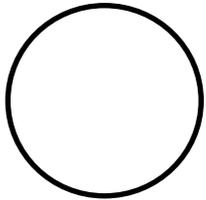
genericity labeling of noun phrases in entire texts  
→ sequence labeling task

Annemarie Friedrich and Manfred Pinkal. **Discourse-sensitive automatic identification of generic expressions**. ACL 2015.

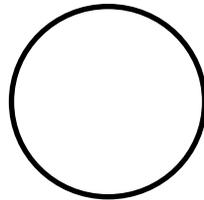
# Conditional random field (CRF)



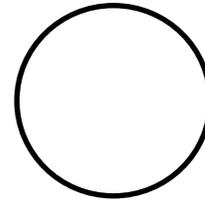
GENERIC



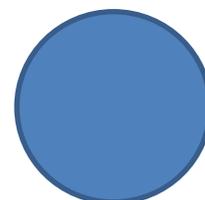
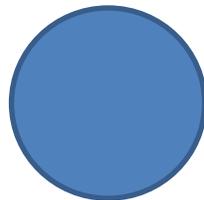
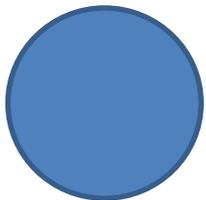
GENERIC



GENERIC



*label  
sequence  $\vec{y}$*



*observation  
sequence  $\vec{x}$*

Acer saccharum is  
a deciduous tree.

Sugar maples also  
have a tendency to  
color unevenly in  
fall.

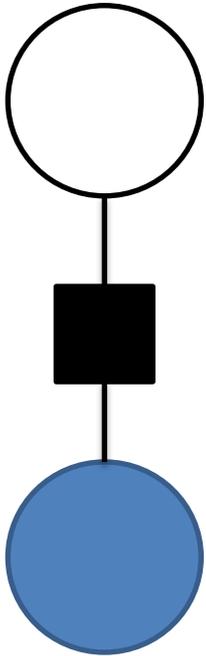
The recent year's  
growth twigs are  
green.



# Conditional random field (CRF)

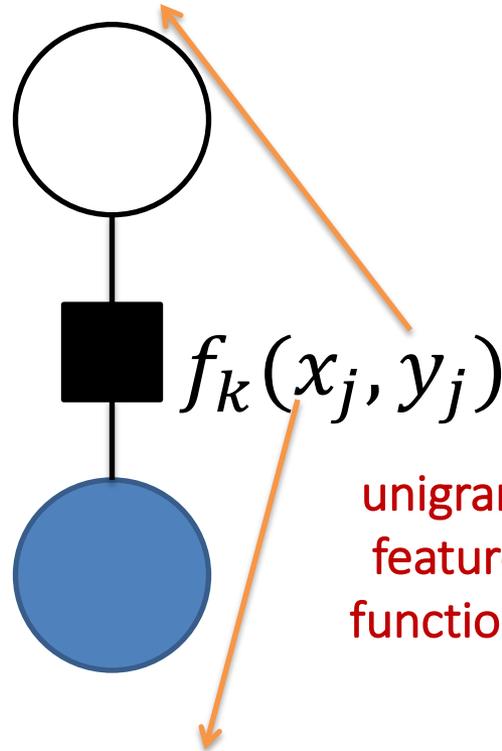


GENERIC



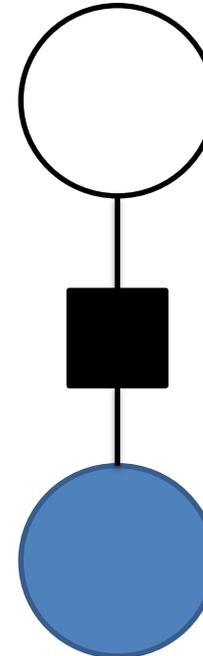
Acer saccharum is a deciduous tree.

GENERIC



Sugar maples also have a tendency to color unevenly in fall.

GENERIC



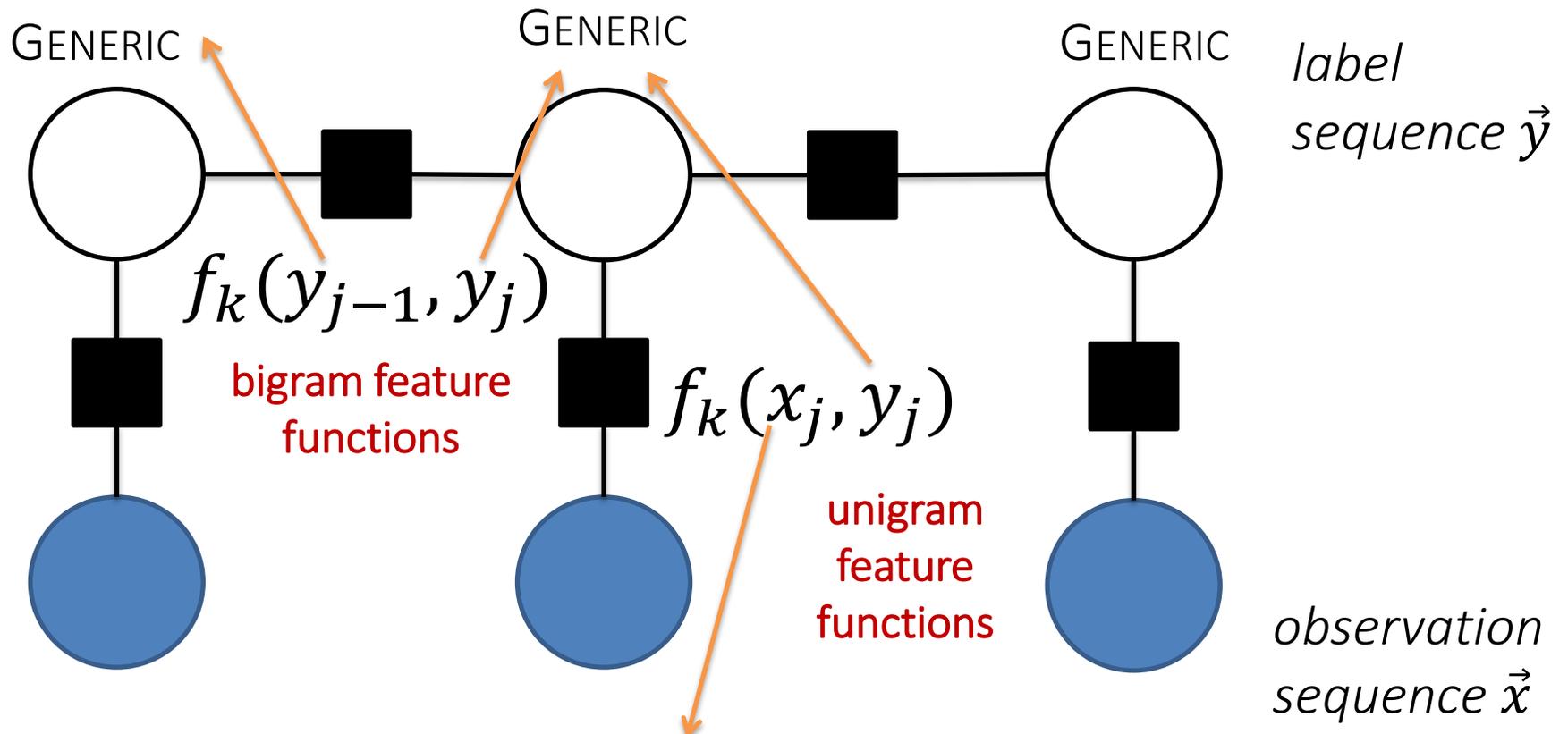
The recent year's growth twigs are green.

*label sequence  $\vec{y}$*

*observation sequence  $\vec{x}$*



# Conditional random field (CRF)



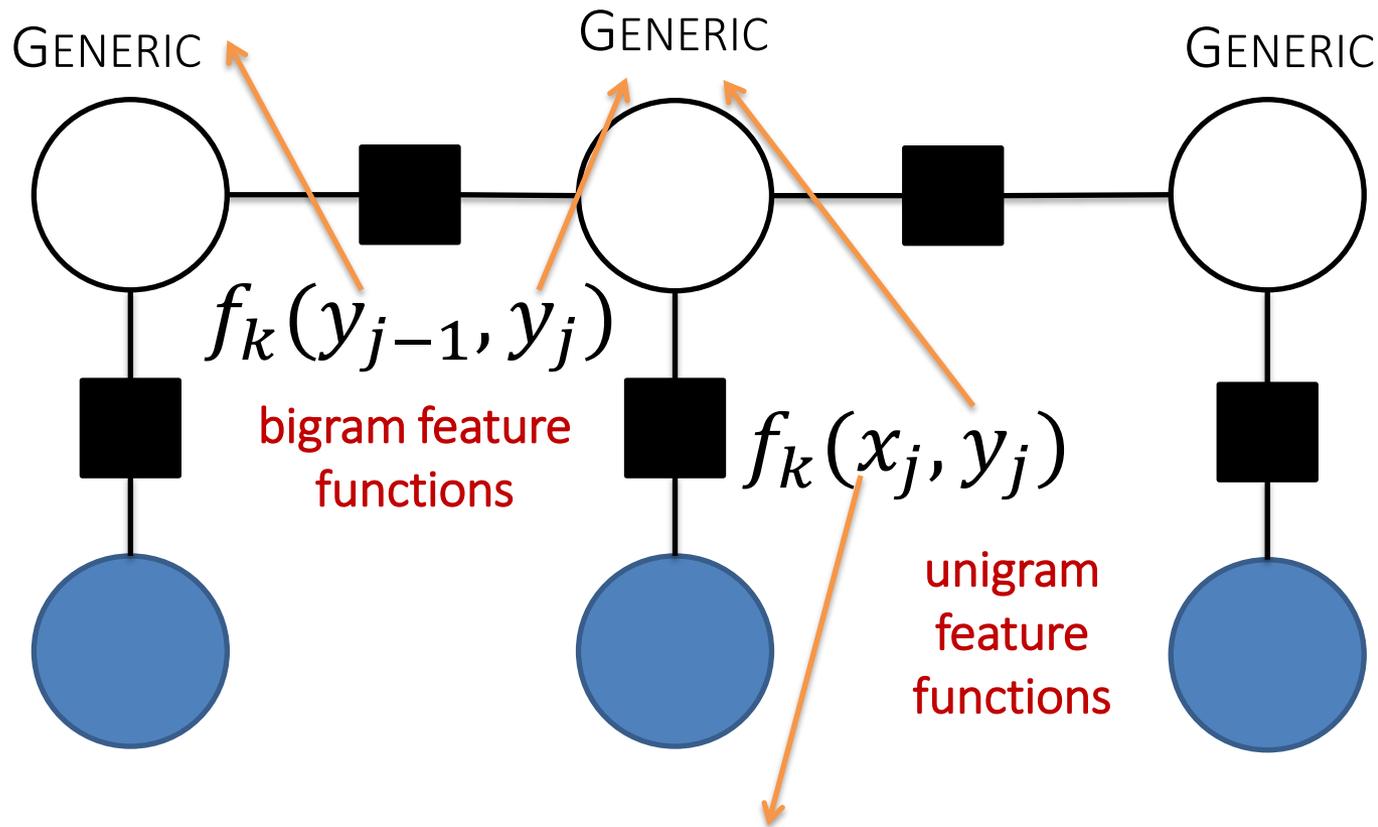
Acer saccharum is a deciduous tree.

Sugar maples also have a tendency to color unevenly in fall.

The recent year's growth twigs are green.



# Conditional random field (CRF)



$$P(\vec{y}|\vec{x}) \sim \sum_k \lambda_k f_k$$

Acer saccharum is a deciduous tree.

Sugar maples also have a tendency to color unevenly in fall.

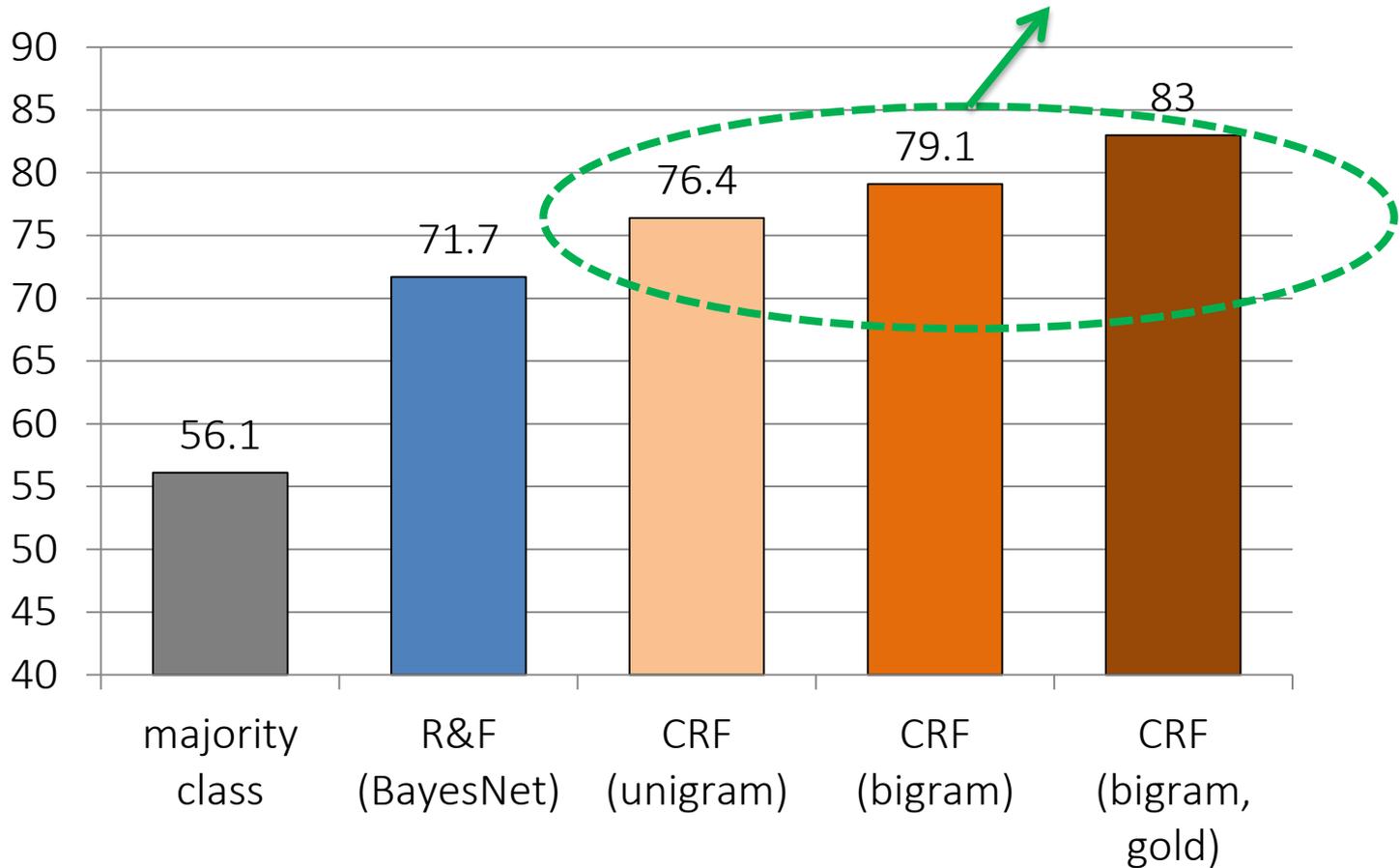
The recent year's growth twigs are green.



# Accuracy: Wikipedia data (main referent)



discourse / context information helps!



all differences statistically significant

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

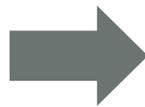
[EMNLP 2015]

is the main referent generic?

lexical aspectual class

recognize habituality

entire documents, segmented into clauses



automatic classification of situation entity types

[ongoing work]

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

[EMNLP 2015]

is the main referent generic?

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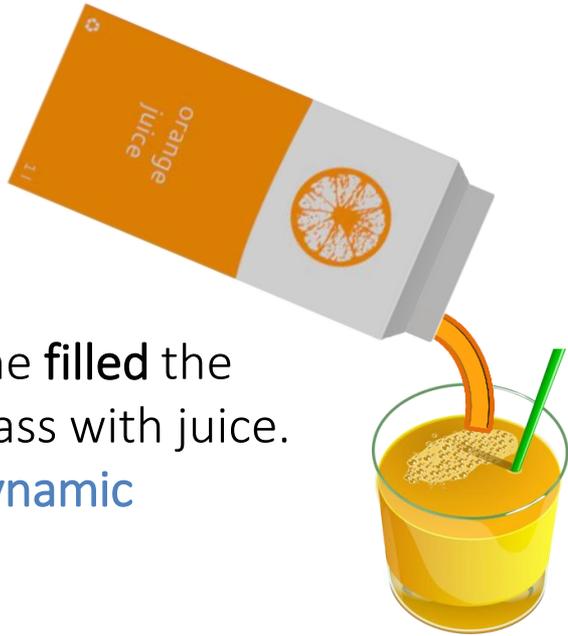


automatic classification of situation entity types

[ongoing work]



# Lexical aspectual class



She **filled** the  
glass with juice.  
dynamic



# Lexical aspectual class



She **filled** the  
glass with juice.  
**dynamic**



Juice **fills** the glass.  
**stative**



# Lexical aspectual class



She **filled** the glass with juice.  
**dynamic**



Juice **fills** the glass.  
**stative**

The glass **was filled** with juice.  
**both** interpretations possible



# Lexical aspectual class



She **filled** the glass with juice.  
**dynamic**



Juice **fills** the glass.  
**stative**

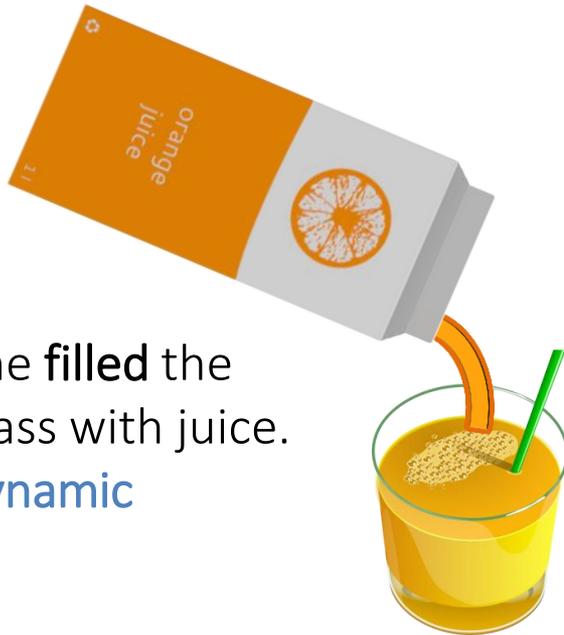
The glass **was filled** with juice.  
**both** interpretations possible

Vendler [1957]: time schemata of verbs  
lexical aspect / aktionsart

states	<i>love, own</i>	<b>stative</b>
activities	<i>run</i>	<b>dynamic</b>
accomplishments	<i>write a letter</i>	
achievements	<i>realize</i>	



# Lexical aspectual class



She **filled** the glass with juice.  
**dynamic**



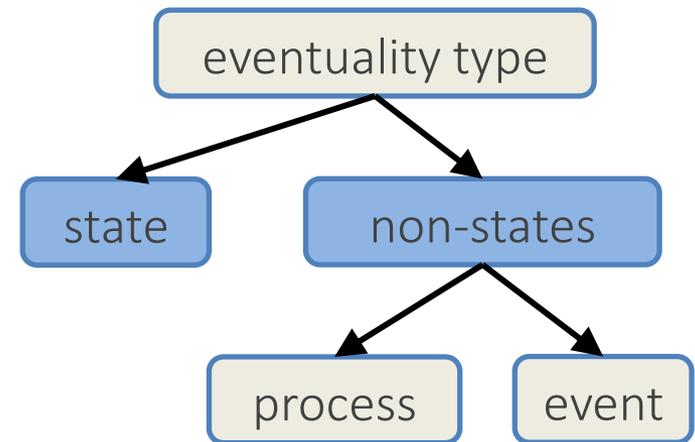
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achievements	<i>realize</i>	

Bach [1986]: time schemata of sentences





# Predicting fundamental lexical aspectual class

John will <b>love</b> this cake!	<b>stative</b>	<i>John love cake</i>
John has <b>kissed</b> Mary.	<b>dynamic</b>	<i>John kiss Mary</i>
John <b>drives</b> to work.	<b>dynamic</b>	<i>John drive to work</i>

Eric Siegel and Kathleen McKeown. **Learning methods to combine linguistic indicators.** *Computational Linguistics*, 2000.

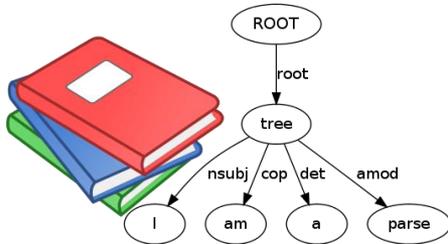


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## Linguistic indicators

large parsed text corpus  
(Gigaword)



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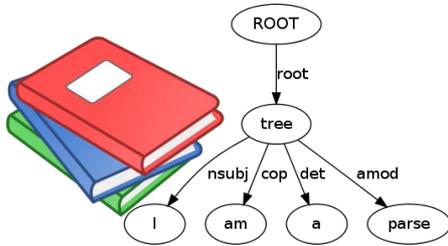


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## Linguistic indicators

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frequency	negated	no subject
present	perfect	evaluation adverb
past	progressive	continuous adverb
future	for-PP	manner adverb
particle	in-PP	temporal adverb

Eric Siegel and Kathleen McKeown. **Learning methods to combine linguistic indicators.** *Computational Linguistics*, 2000.

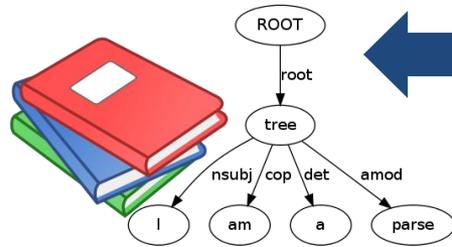


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large parsed text corpus  
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counts for each  
**verb type**

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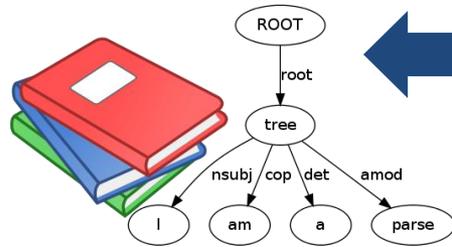


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particle	in-PP	temporal adverb

verb type: *drink* -- ling\_ind\_past = 0.0927

→ 9.27% of all instances of *drink* in corpus are in past tense

Eric Siegel and Kathleen McKeown. **Learning methods to combine linguistic indicators.** *Computational Linguistics*, 2000.

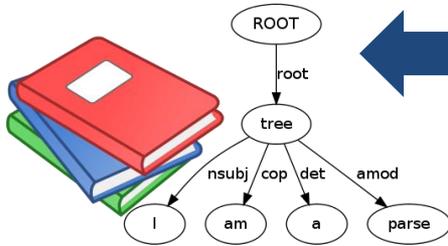


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verb type: *drink* -- ling\_ind\_past = 0.0927

→ 9.27% of all instances of *drink* in corpus are in past tense

→ 15 features for each **verb type**

Eric Siegel and Kathleen McKeown. **Learning methods to combine linguistic indicators.** *Computational Linguistics*, 2000.

# Fundamental lexical aspectual class



Eric Siegel and Kathleen McKeown, 2000.

She **filled** the glass with juice.

linguistic indicator  
features for fill:  
present 0.0927  
negation 0.00024  
... ..

training: labeled data  
She **likes** flowers. **stative**  
Mary **bought** a cat. **dynamic**

Random Forest  
classifier

**dynamic**

# Fundamental lexical aspectual class



Eric Siegel and Kathleen McKeown, 2000.

The glass is **filled** with juice.

She **filled** the glass with juice.

linguistic indicator  
features for fill:  
present 0.0927  
negation 0.00024  
... ..

training: labeled data

She **likes** flowers. **stative**

Mary **bought** a cat. **dynamic**

Random Forest  
classifier

**dynamic**

# Fundamental lexical aspectual class



Eric Siegel and Kathleen McKeown, 2000.

The glass is **filled** with juice.

She **filled** the glass with juice.

Classification always results in majority class of verb type. Dataset not available.

linguistic indicator  
features for fill:  
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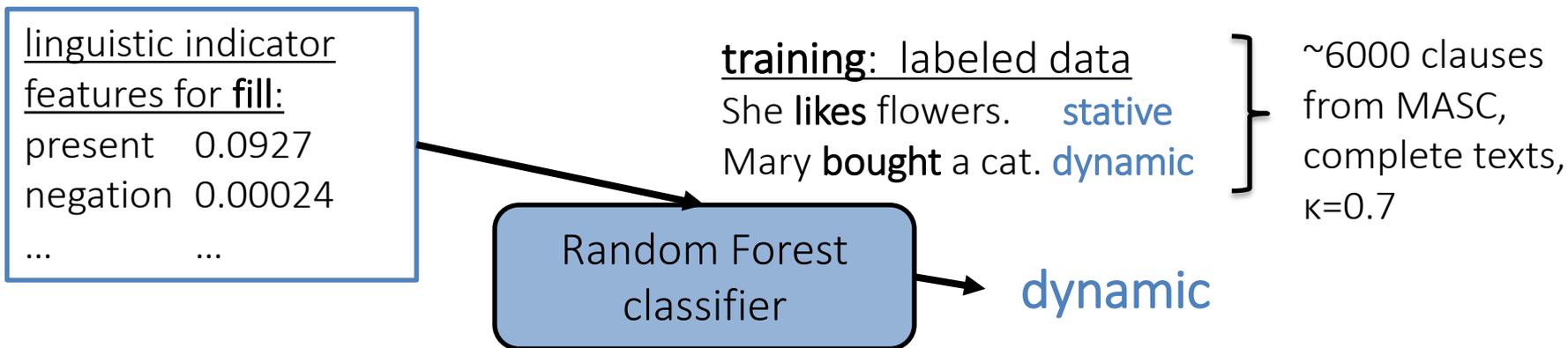


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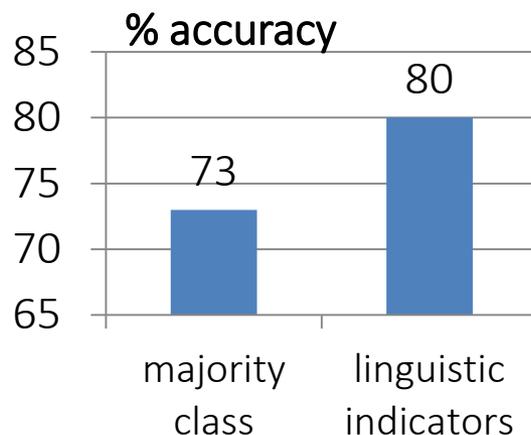
training: labeled data  
She **likes** flowers. **stative**  
Mary **bought** a cat. **dynamic**

} ~6000 clauses from MASC, complete texts,  $\kappa=0.7$

Random Forest classifier

dynamic

10-fold cross validation:  
UNSEEN VERBS



linguistic indicators generalize across verb types

Annemarie Friedrich and Alexis Palmer. **Automatic prediction of aspectual class of verbs in context.** ACL 2014.



# Fundamental lexical aspectual class

linguistic indicator  
features for fill:  
present 0.0927  
negation 0.00024  
... ..

instance-based  
features for clause:  
tense past  
subject noun.person  
voice active  
... ..

training/test: labeled data  
She likes flowers. stative  
Mary bought a cat. dynamic

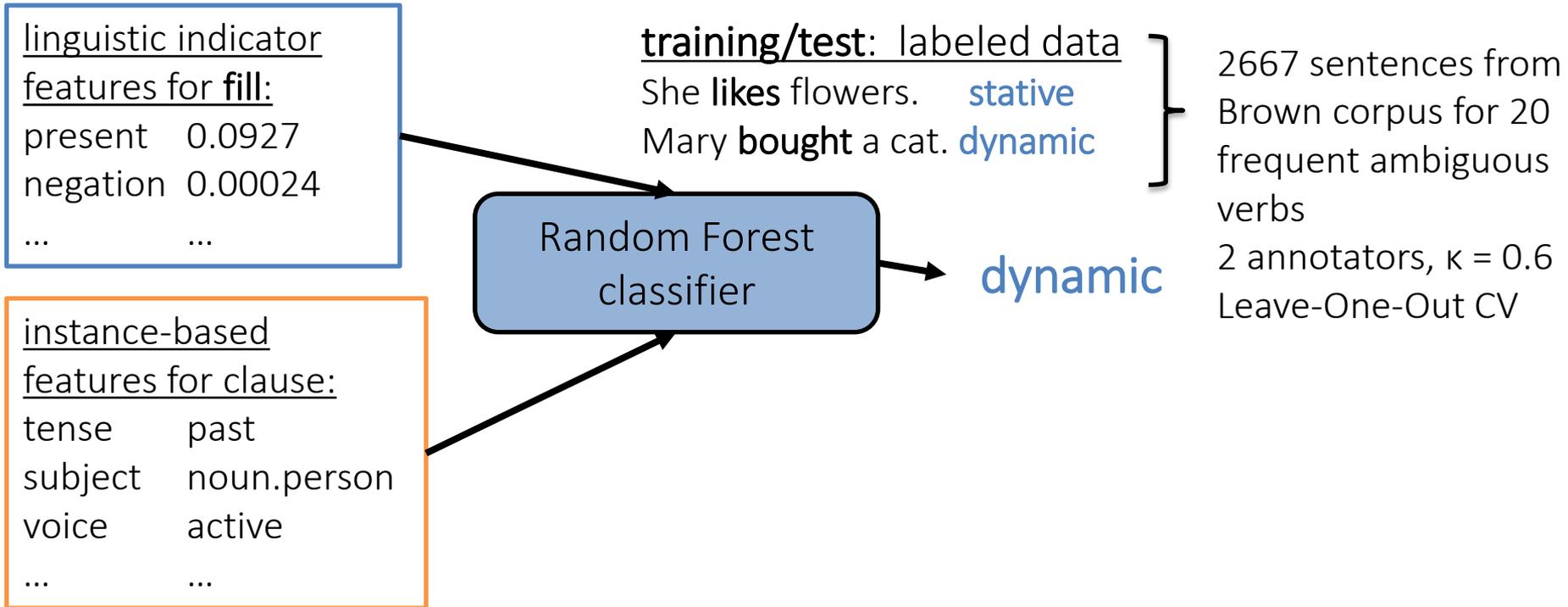
Random Forest  
classifier

dynamic

Annemarie Friedrich and Alexis Palmer. Automatic prediction of aspectual class of verbs in context. ACL 2014.



# Fundamental lexical aspectual class



Annemarie Friedrich and Alexis Palmer. Automatic prediction of aspectual class of verbs in context. ACL 2014.



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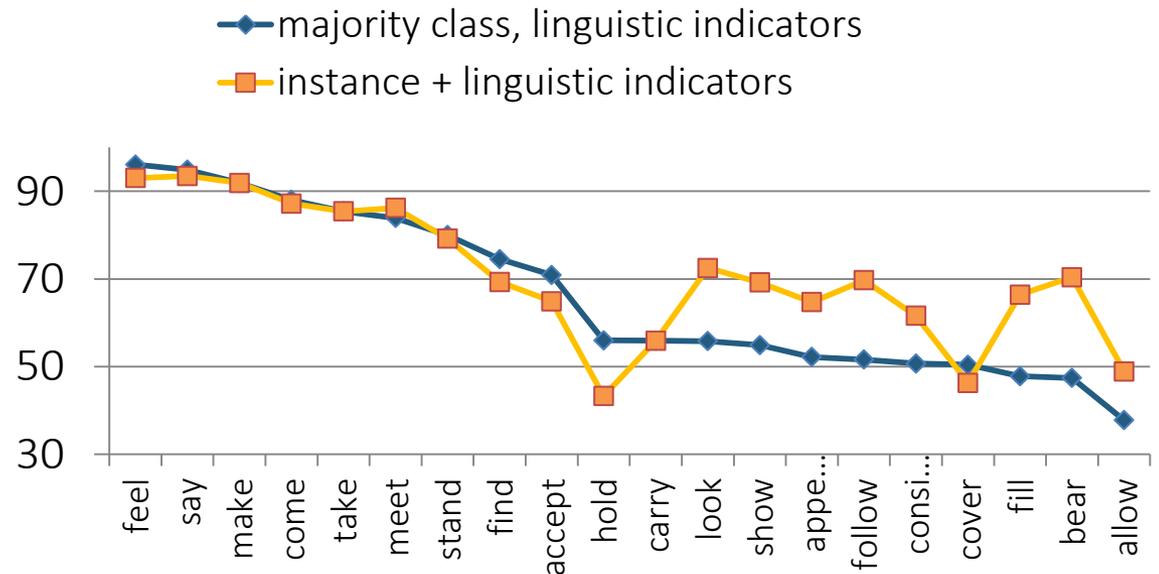
instance-based  
features for clause:  
 tense past  
 subject noun.person  
 voice active  
 ... ..

training/test: labeled data  
 She likes flowers. **stative**  
 Mary bought a cat. **dynamic**

2667 sentences from  
 Brown corpus for 20  
 frequent ambiguous  
 verbs  
 2 annotators,  $\kappa = 0.6$   
 Leave-One-Out CV

Random Forest  
 classifier

**dynamic**



Annemarie Friedrich and Alexis Palmer. **Automatic prediction of aspectual class of verbs in context.** ACL 2014.

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

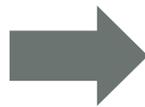
[EMNLP 2015]

is the main referent generic?

lexical aspectual class

recognize habituality

entire documents, segmented into clauses



automatic classification of situation entity types

[ongoing work]

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

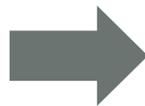
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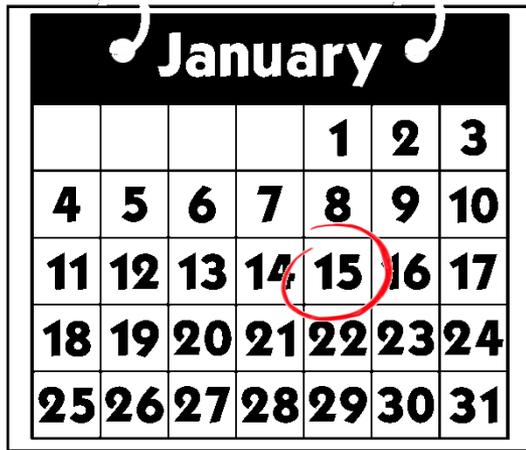
[ongoing work]



# Habituality

**episodic**

a particular event



A calendar for the month of January. The title "January" is centered at the top with musical notes on either side. The calendar grid shows dates from 1 to 31. The date 15 is circled in red.

January						
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

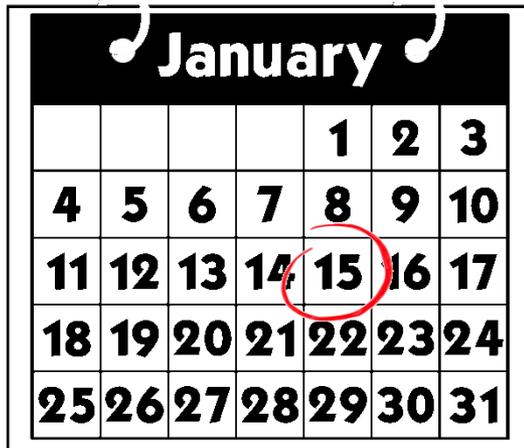
*John went swimming  
yesterday!*



# Habituality

## episodic

a particular event

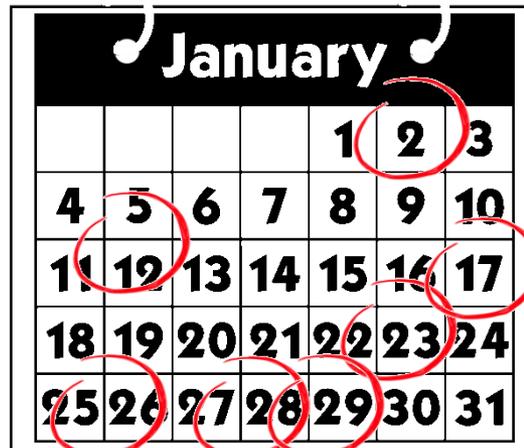


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18	19	20	21	22	23	24
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*John went swimming yesterday!*

## habitual

generalization over situations,  
exceptions are tolerated



January						
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

*Bill often goes swimming.*



# Habituality

## episodic

a particular event

January						
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
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*John went swimming yesterday!*

## habitual

generalization over situations, exceptions are tolerated

January						
				1	2	3
4	5	6	7	8	9	10
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18	19	20	21	22	23	24
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*Bill often goes swimming.*

Thomas Mathew and Graham Katz. Supervised categorization of habitual and episodic sentences. Sixth Midwest Computational Linguistics Colloquium, Bloomington, Indiana. 2009.



# Habituality

## episodic

a particular event

January						
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
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*John went swimming yesterday!*

## habitual

generalization over situations, exceptions are tolerated

January						
				1	2	
4	5	6	7	8		
11	12	13	14	15		
18	19	20	21	22	23	24
25	26	27	28	29	30	31

*Bill often goes swimming.*

Bill likes coffee.  
 Bill didn't go swimming.  
 Bill can swim.



Thomas Mathew and Graham Katz. Supervised categorization of habitual and episodic sentences. Sixth Midwest Computational Linguistics Colloquium, Bloomington, Indiana. 2009.

# A three-way classification of clausal aspect



clausal aspect

lexical aspect

episodic

Bill **drank** a coffee after lunch.

*dynamic*

Annemarie Friedrich and Manfred Pinkal. **Recognising habituals: a three-way classification of clausal aspect.** EMNLP 2015.

# A three-way classification of clausal aspect



clausal aspect		lexical aspect
episodic	Bill <b>drank</b> a coffee after lunch.	<i>dynamic</i>
habitual	Bill <i>usually</i> <b>drinks</b> coffee after lunch.	<i>dynamic</i>
	Italians <b>drink</b> coffee after lunch.	<i>dynamic</i>
	Sloths <i>sometimes</i> <b>sit</b> on top of branches.	<i>stative</i>
	John <i>never</i> <b>drinks</b> coffee.	<i>dynamic</i>

Annemarie Friedrich and Manfred Pinkal. **Recognising habituals: a three-way classification of clausal aspect.** EMNLP 2015.

# A three-way classification of clausal aspect



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	Italians <b>drink</b> coffee after lunch.	<i>dynamic</i>
	Sloths <i>sometimes</i> <b>sit</b> on top of branches.	<i>stative</i>
	John <i>never</i> <b>drinks</b> coffee.	<i>dynamic</i>
static	Bill <b>likes</b> coffee.	<i>stative</i>
	Bill <i>can</i> <b>swim</b> .	<i>dynamic</i>
	Bill <i>didn't</i> <b>drink</b> coffee yesterday.	<i>dynamic</i>
	Mary <i>has</i> <b>made</b> a cake.	<i>dynamic</i>

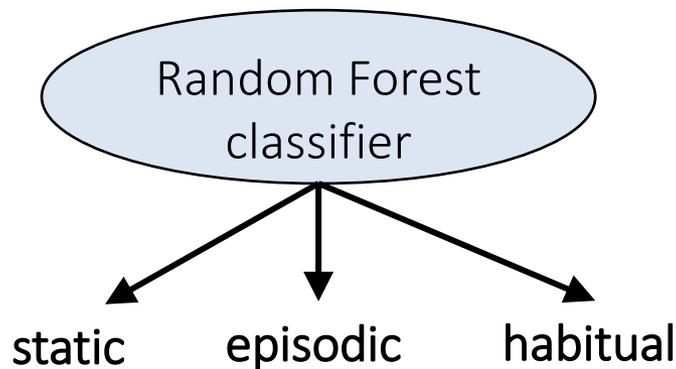
Annemarie Friedrich and Manfred Pinkal. **Recognising habituals: a three-way classification of clausal aspect.** EMNLP 2015.



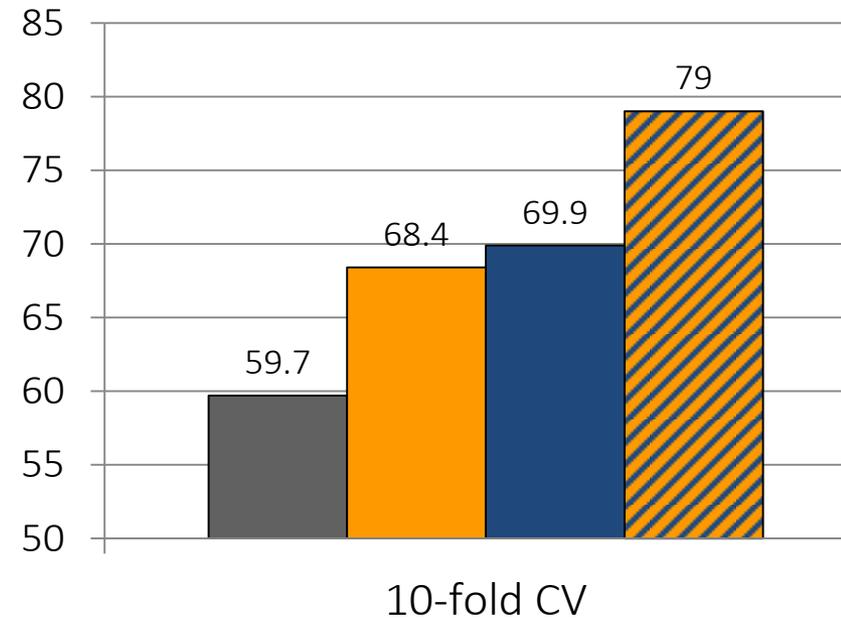
# Automatic classification of clausal aspect

102 texts, 10355 clauses  
3 annotators,  $\kappa=0.61$

60% **static**  
20% **episodic**  
20% **habitual**



- majority class
- instance-based
- type (linguistic indicators)
- instance+type



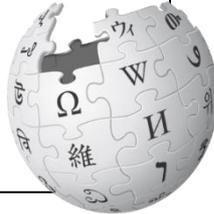
Annemarie Friedrich and Manfred Pinkal. **Recognising habituals: a three-way classification of clausal aspect.** EMNLP 2015.



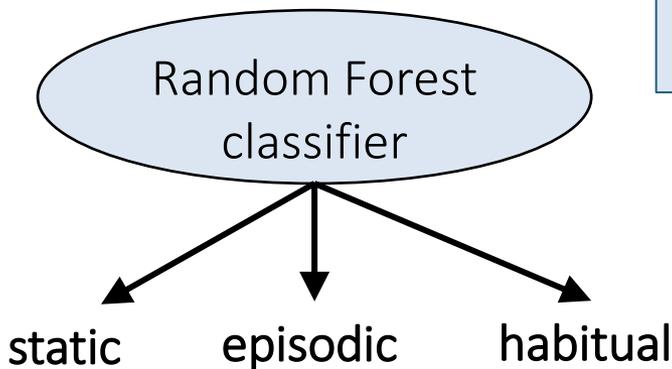
# Automatic classification of clausal aspect

102 texts, 10355 clauses  
3 annotators,  $\kappa=0.61$

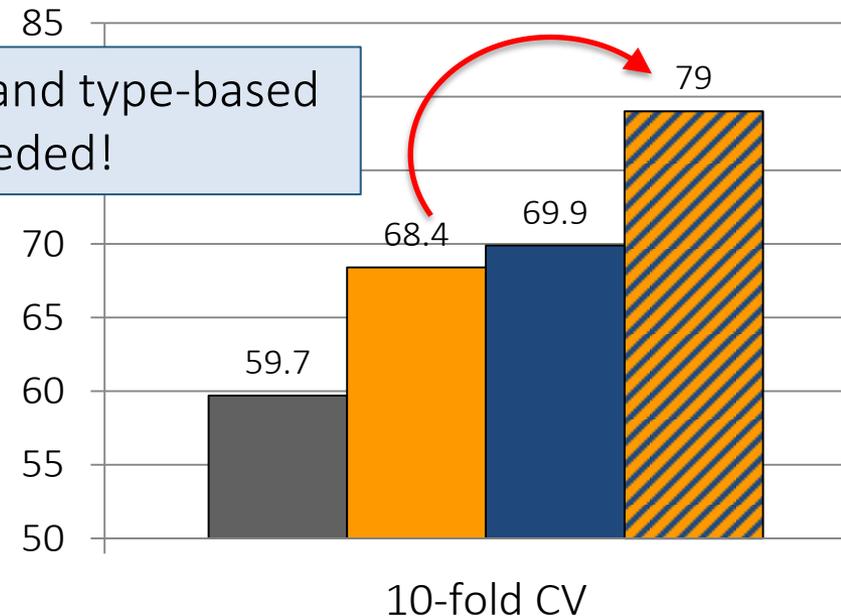
60% **static**  
20% **episodic**  
20% **habitual**



- majority class
- instance-based
- type (linguistic indicators)
- instance+type



Both instance- and type-based features are needed!

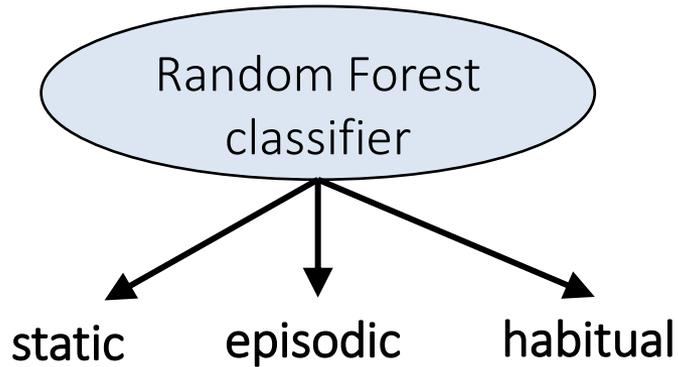


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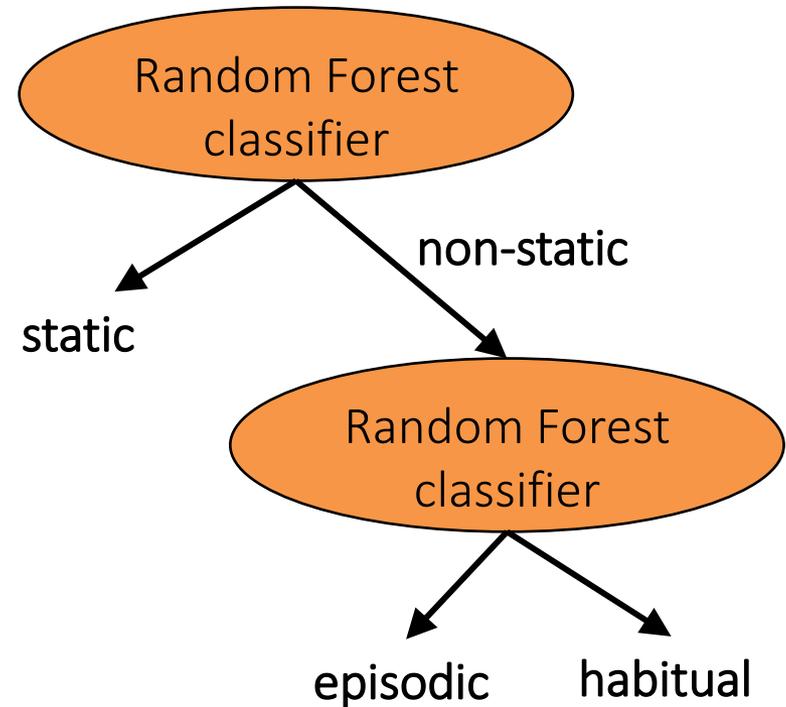
# Automatic classification of clausal aspect



## JOINT MODEL



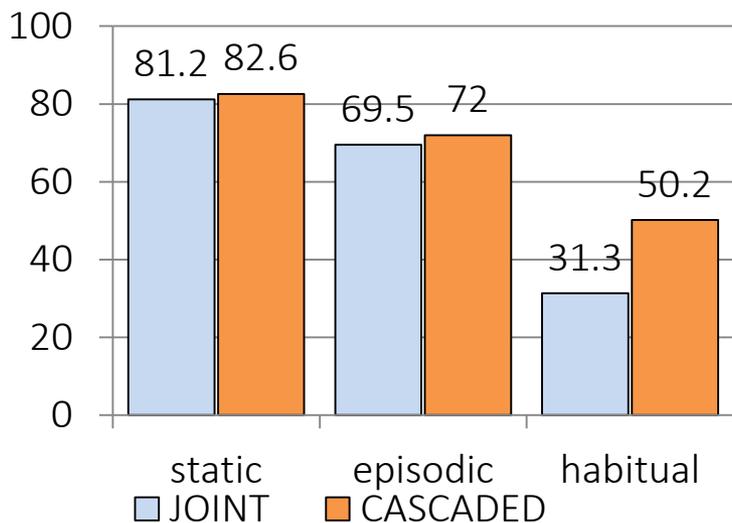
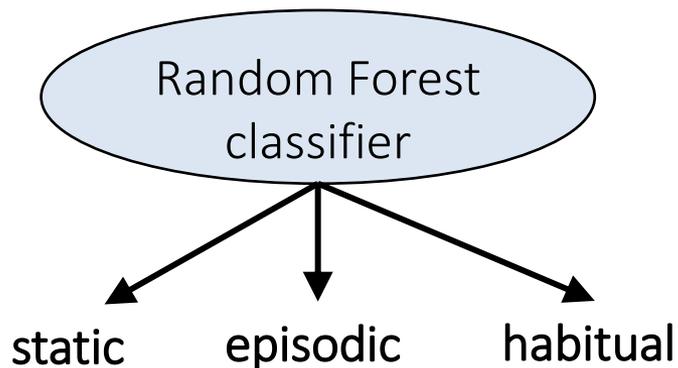
## CASCADED MODEL



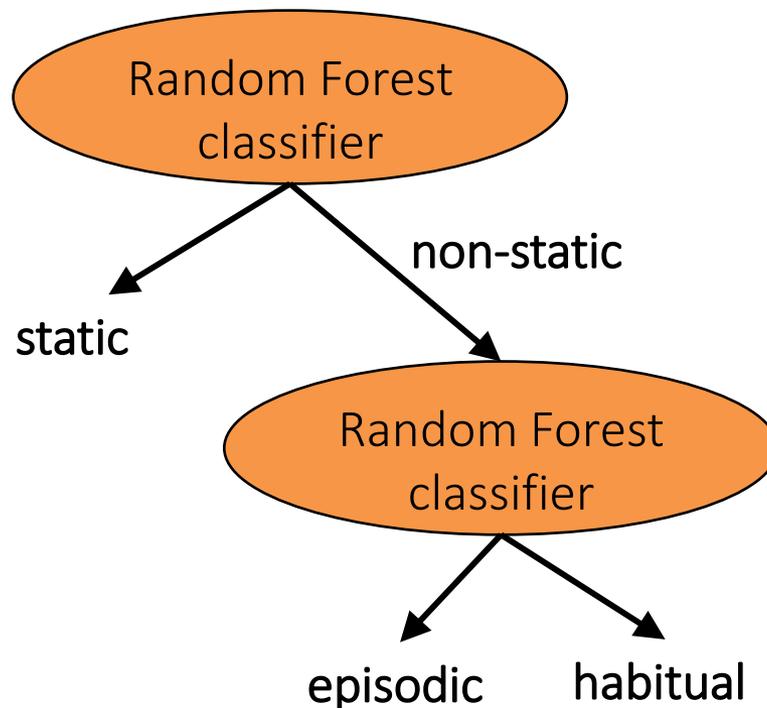
# Automatic classification of clausal aspect



## JOINT MODEL



## CASCADED MODEL



Cascaded model improves identification of habituals in free text.

# Computational modeling of situation entity types



[ACL 2014]

[ACL 2015, LAW 2015]

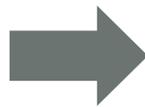
[EMNLP 2015]

is the main referent generic?

lexical aspectual class

recognize habituality

entire documents, segmented into clauses



automatic classification of situation entity types

[ongoing work]

# Computational modeling of situation entity types



[ACL 2014]

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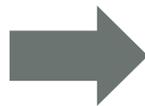
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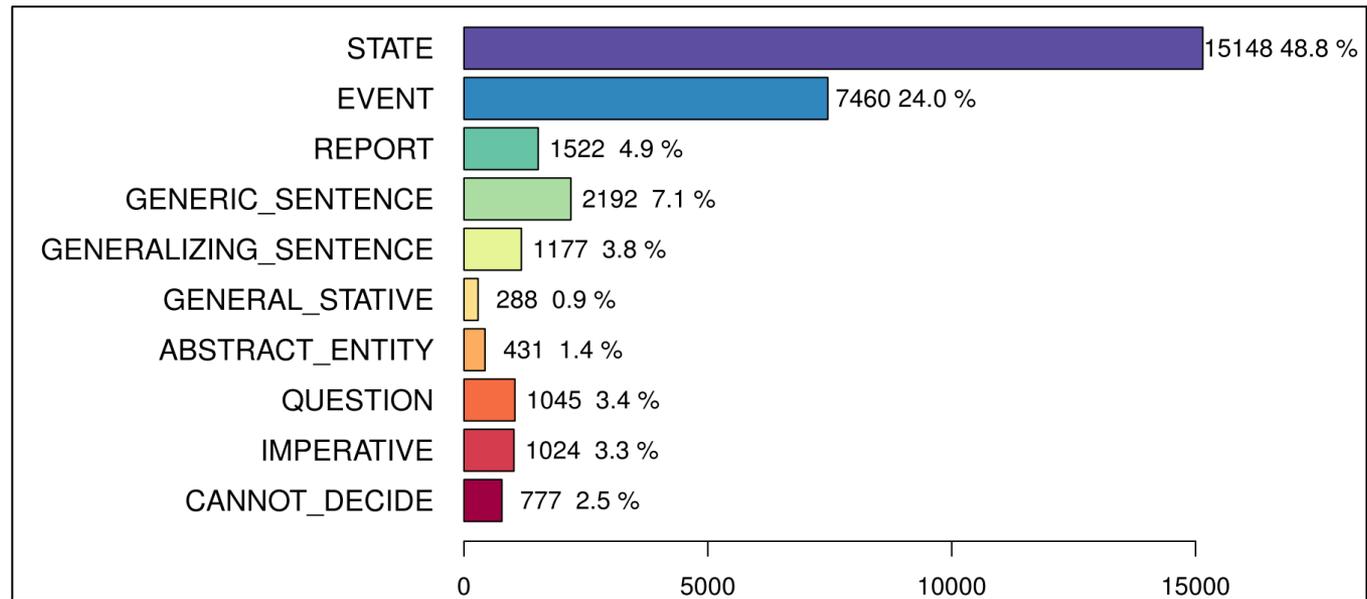
[ongoing work]

# Situation entity type distributions

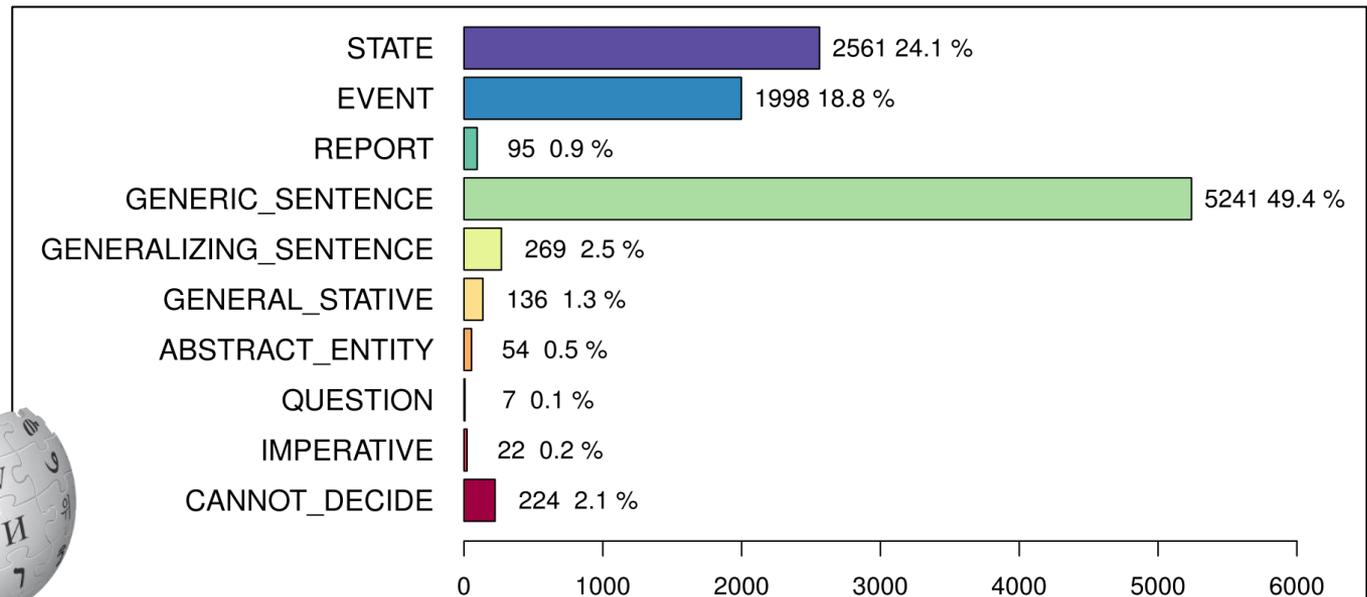
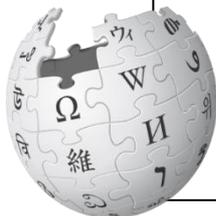


## MASC

- blog
- email
- essays
- ficlets
- fiction
- govt-docs
- jokes
- journal
- letters
- news
- technical
- travel



## Wikipedia



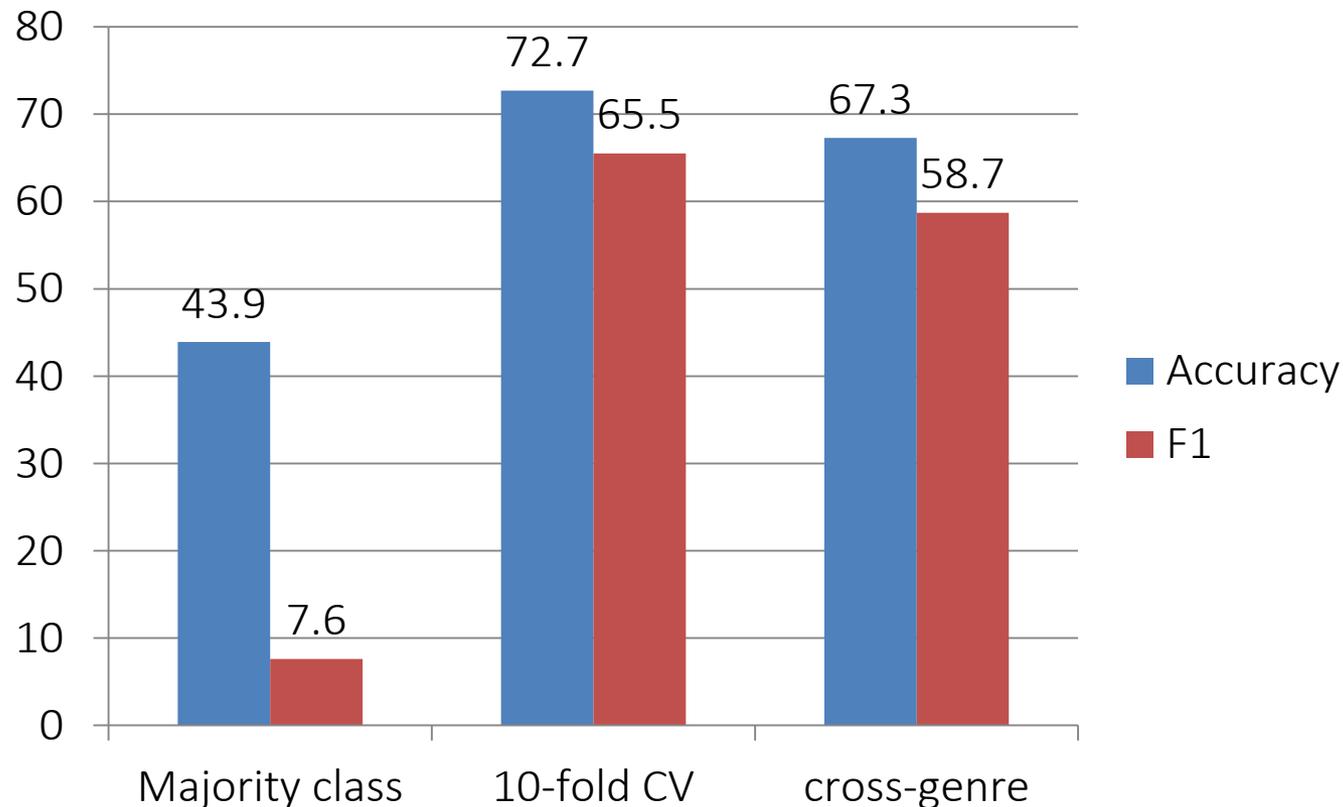
# Situation entity types (intermediate results)



development set, ~32550 clauses from MASC+Wiki

8-way classification task

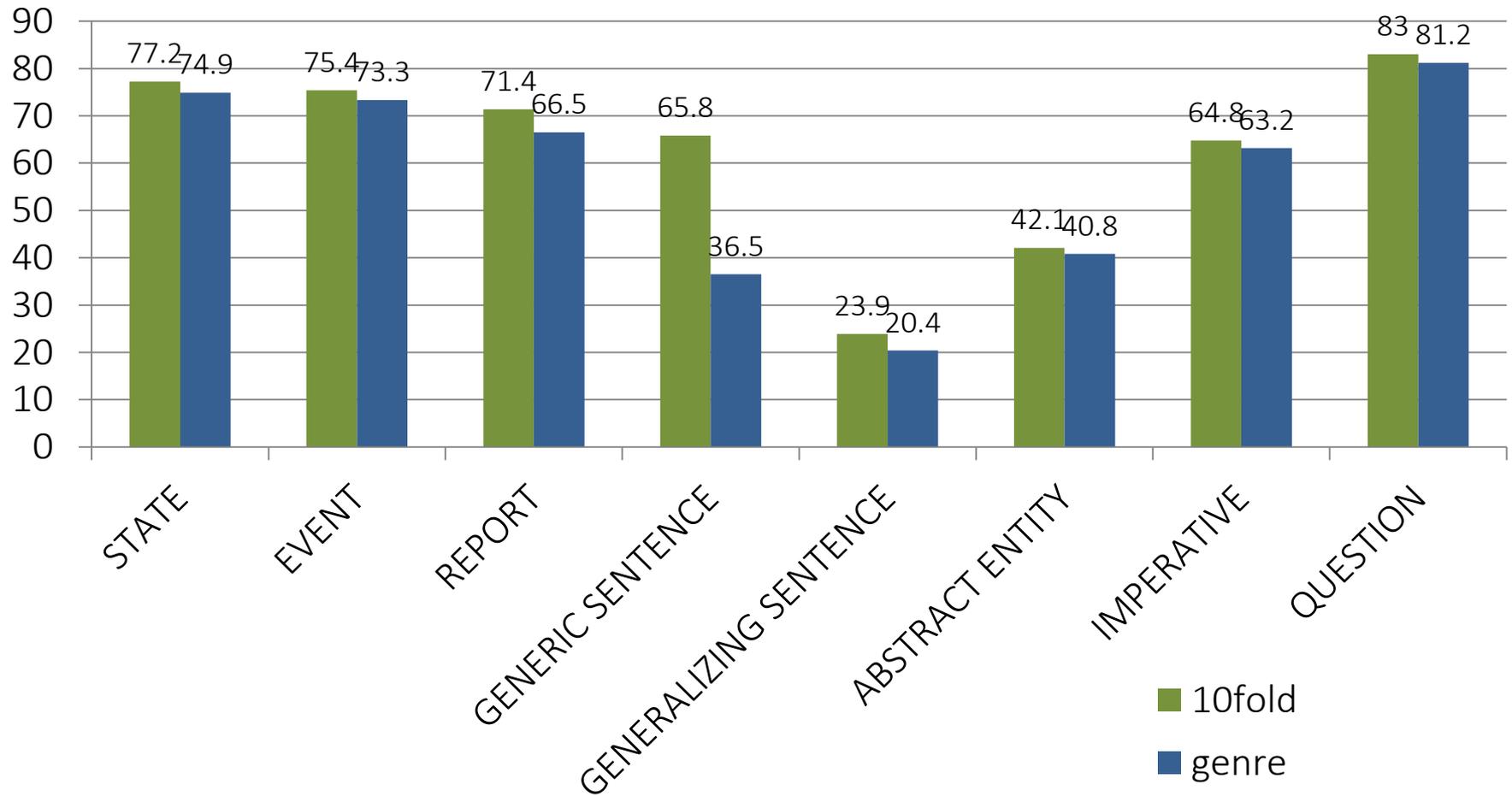
Conditional Random Field, selection of syntactic-semantic features



# Situation entity types (intermediate results)



development set, ~32550 clauses  
8-way classification task  
Conditional Random Field



# On-going / future work



- improving classification of **situation entity types**



## On-going / future work

- improving classification of **situation entity types**
- investigate interaction of prediction of **features** (main referent, clausal aspect) and **situation entity types**



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- create models for labeling situation entity types and **discourse modes**



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- other languages (extend work of Mavridou et al. 2015)

# Summary



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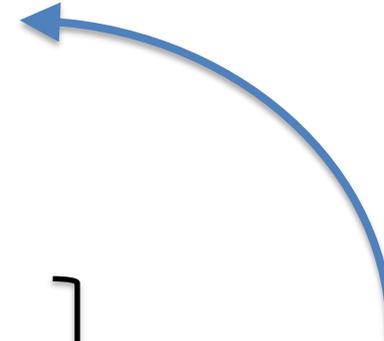
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} different types of clauses contribute differently to structure of discourse

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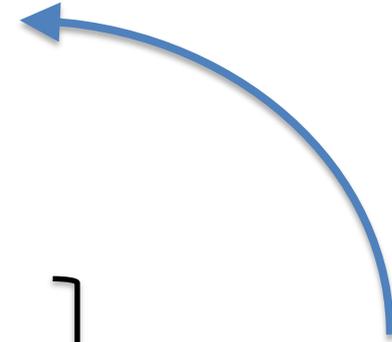


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*Thank you!*



# References



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# Computational model for genericity



Sugar maples also  
have a tendency to  
color unevenly in fall.

The recent year's  
growth twigs are green.

*sequence of clauses  
(entire document)*

barePlural=true : 1  
determinerType=def : 0  
tense=present : 1  
voice=active : 1  
...

barePlural=true : 0  
determinerType=def : 1  
tense=present : 1  
voice=active : 1  
...

***features:  
indicator functions***

CRF

GENERIC

GENERIC

*sequence of labels*

# Linear-chain Conditional Random Field

Probability of label  
sequence  $\vec{y}$  given  
observation sequence  $\vec{x}$

$$P(\vec{y}|\vec{x}) = \frac{1}{Z(\vec{x})} \exp \left\{ \sum_{j=1}^n \left[ \sum_i \lambda_i f_i(y_{j-1}, y_j) + \sum_k \lambda_k f_k(x_j, y_j) \right] \right\}$$

sum over observations in  $\vec{x}$

sum over feature functions

weights for feature functions

normalization over scores for all  
possible label sequences with  
length  $|\vec{x}|$

**Discriminative training**

(maximum likelihood, [CRF++](#) toolkit)

# Accuracy: ACE-2 and ACE-2005

