



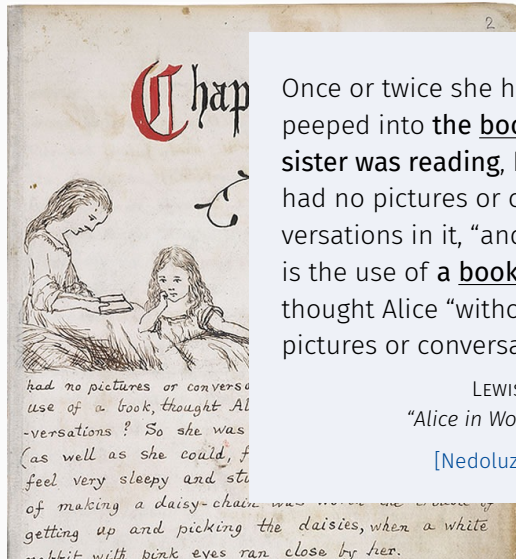
States, events, and generics: computational modeling of situation entity types

Annemarie Friedrich

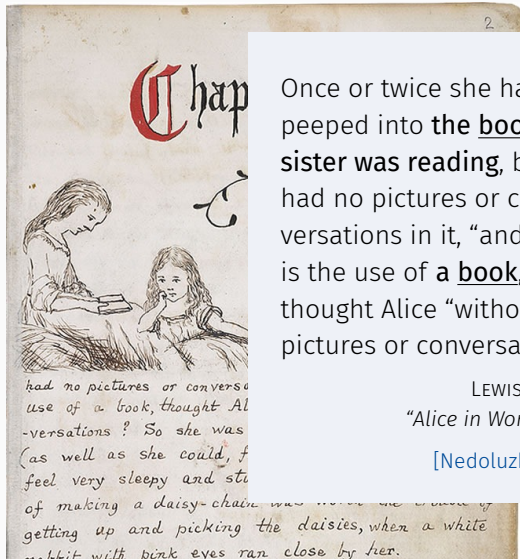
Disputation • 24. Februar 2017

Universität des Saarlandes, Computerlinguistik

Motivation: coreference resolution



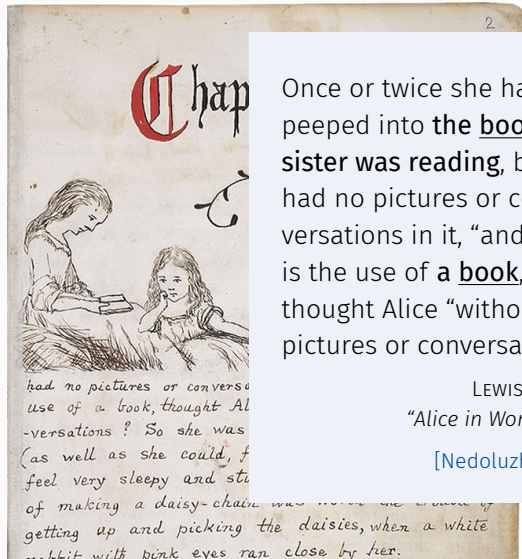
Motivation: coreference resolution



*particular
book*

kind

Motivation: coreference resolution



Once or twice she had peeped into **the book her sister was reading**, but it had no pictures or conversations in it, “and what is the use of **a book,**” thought Alice “without pictures or conversations?”

LEWIS CARROLL:
“Alice in Wonderland”

[Nedoluzhko, 2013]

*particular
book*

kind

generics

Motivation: temporal relation extraction

The rabbit took out his watch.

Alice started to her feet.



Motivation: temporal relation extraction

The rabbit took out his watch.
Alice started to her feet.



Motivation: temporal relation extraction

The rabbit took out his watch.

Alice started to her feet.

The rabbit was taking out his watch.

Alice started to her feet.



Motivation: temporal relation extraction

The rabbit took out his watch.

Alice started to her feet.



The rabbit was taking out his watch.

Alice started to her feet.



Motivation: temporal relation extraction

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The rabbit was taking out his watch.

Alice started to her feet.



aspect



Aspect



aka aktionsart

[Vendler, 1957]

[Bach, 1986]

Aspect



aka aktionsart

[Vendler, 1957]

[Bach, 1986]

The ship moved.

event



Aspect



aka aktionsart

[Vendler, 1957]

[Bach, 1986]

The ship moved.

event



Aspect



aka aktionsart

[Vendler, 1957]

[Bach, 1986]

The ship moved.

event



The ship was moving.

ongoing event / process



Aspect



aka aktionsart

[Vendler, 1957]

[Bach, 1986]

The ship moved.

event



The ship was moving.

ongoing event / process

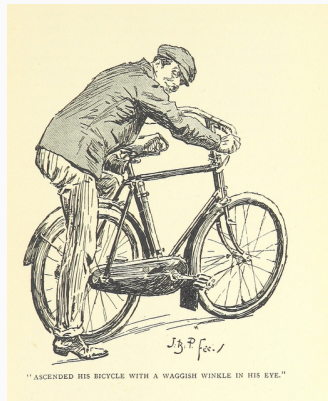


The ship was in motion.

state



Generics: habituals

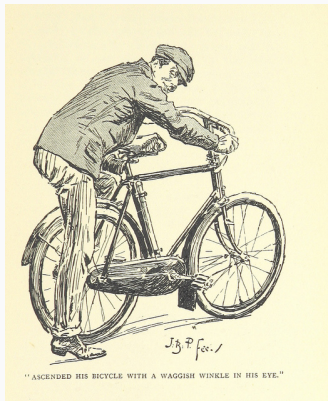


Mike cycled to work.

episodic event



Generics: habituals



generalization
over situations

[Krifka et al., 1995]

Mike cycled to work.

episodic event

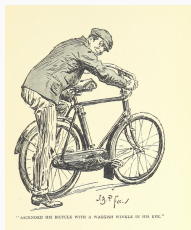


Mike cycles to work.

habitual



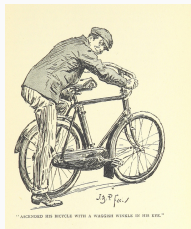
Generics: reference to kinds



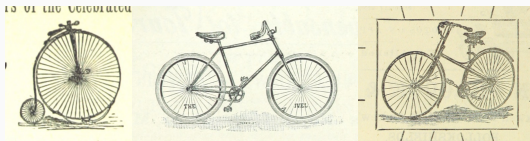
Mike's bike is blue.
particular bike

Generics: reference to kinds

[Krifka et al., 1995]



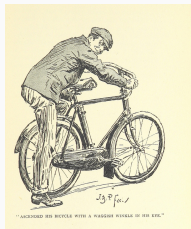
Mike's bike is blue.
particular bike



Bicycles have two wheels.
generalization over members of a kind

Generics: reference to kinds

[Krifka et al., 1995]



Mike's bike is blue.
particular bike

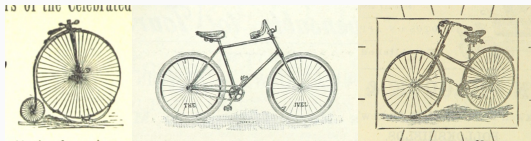
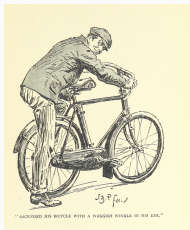


Bicycles have two wheels.
generalization over members of a kind

The bicycle was invented in the 19th century.
reference to kind

Generics: reference to kinds

[Krifka et al., 1995]



Mike's bike is blue.
particular bike

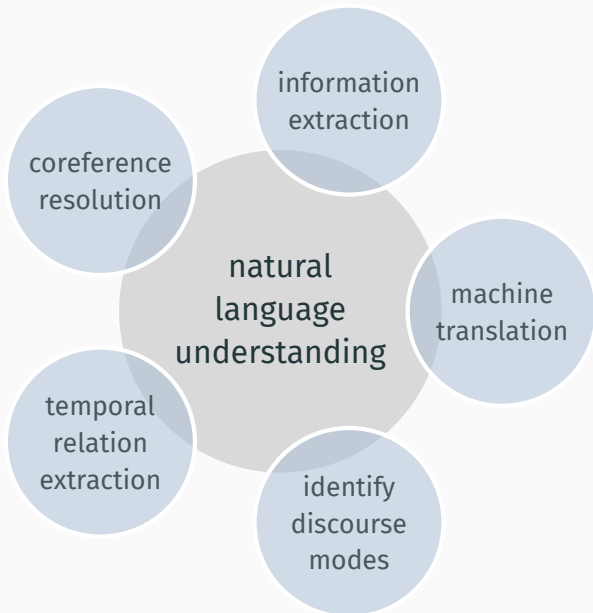
Bicycles have two wheels.

generalization over members of a kind

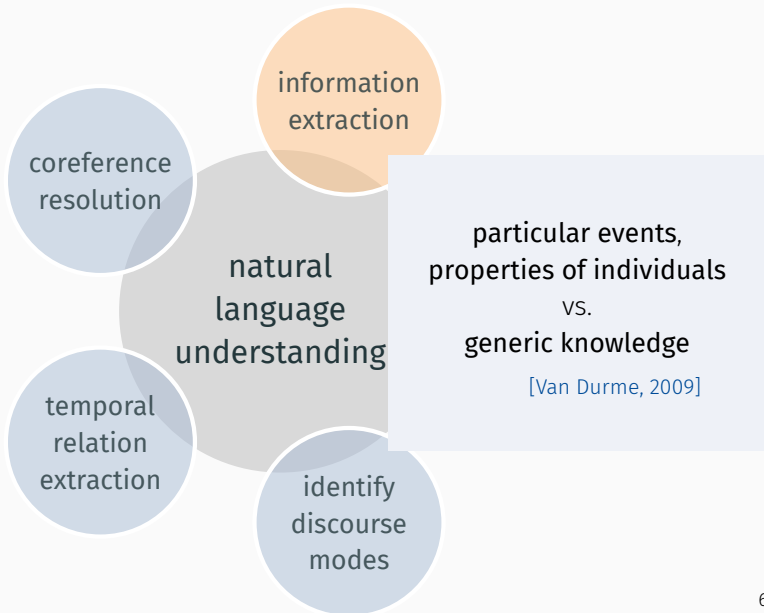
entailment

The bicycle was invented in the 19th century.
reference to kind

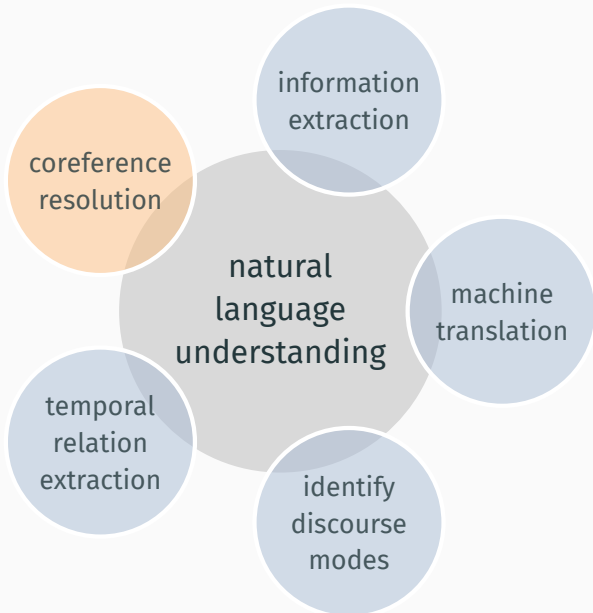
Why model these phenomena?



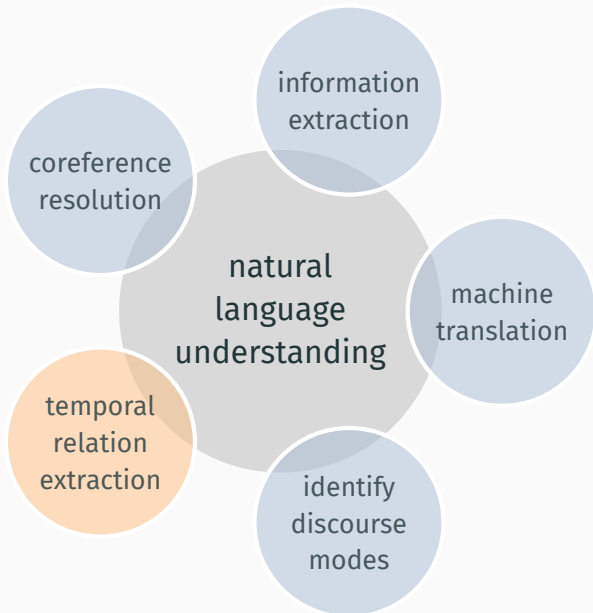
Why model these phenomena?



Why model these phenomena?



Why model these phenomena?



Why model these phenomena?

coreference

information
extraction

linguistic property of text passages [Smith, 2003]

Narrative mode has many STATES / EVENTS

Information mode has many GENERIC SENTENCES

...

→ temporal discourse understanding

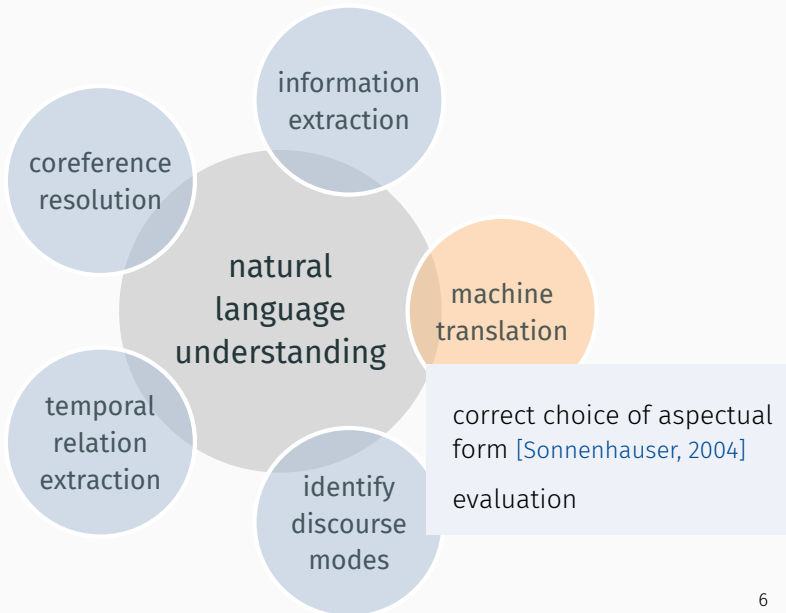
→ argumentation mining, summarization, ...

machine
translation

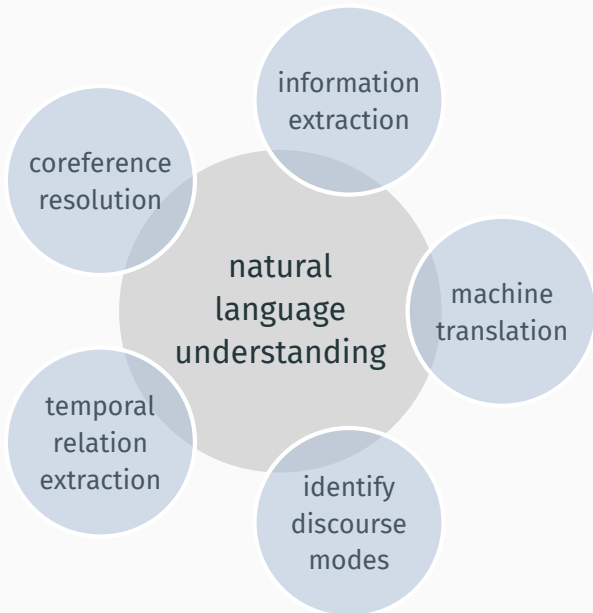
relation
extraction

identify
discourse
modes

Why model these phenomena?



Why model these phenomena?



generics

reference to kinds

[Friedrich & Pinkal, ACL 2015]

[Friedrich et al., LAW 2015]

lexical aspect

state vs. event

[Friedrich & Palmer, ACL 2014]

habituals

generalization

over situations

[Friedrich & Pinkal, EMNLP 2015]

Overview of thesis work

generics

reference to kinds

[Friedrich & Pinkal, ACL 2015]
[Friedrich et al., LAW 2015]

lexical aspect

state vs. event

[Friedrich & Palmer, ACL 2014]

habituals

generalization
over situations

[Friedrich & Pinkal, EMNLP 2015]

situation entity types [Smith, 2003]

[Friedrich et al., ACL 2016], [Friedrich & Palmer, LAW 2014],
[Mavridou et al., LSDSem 2015], [Palmer & Friedrich, 2014]

linguistic background / annotation scheme

generics

reference to kinds

[Friedrich & Pinkal, ACL 2015]
[Friedrich et al., LAW 2015]

lexical aspect

state vs. event

[Friedrich & Palmer, ACL 2014]

habituals

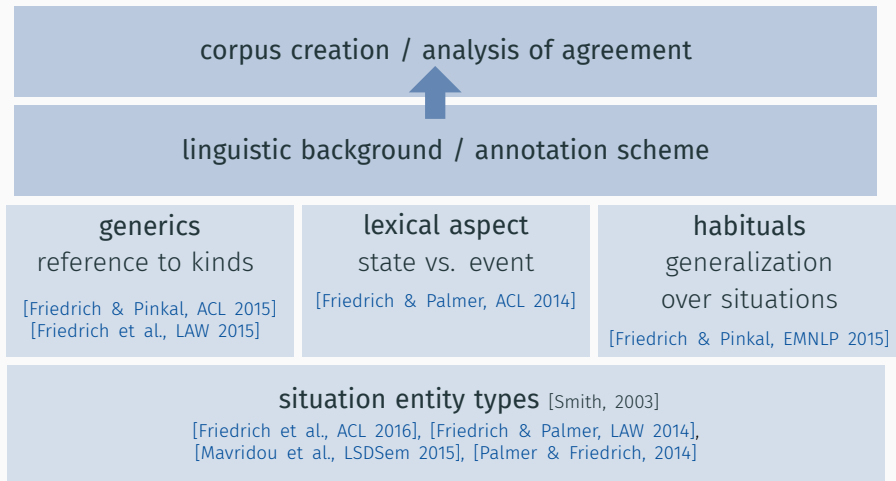
generalization
over situations

[Friedrich & Pinkal, EMNLP 2015]

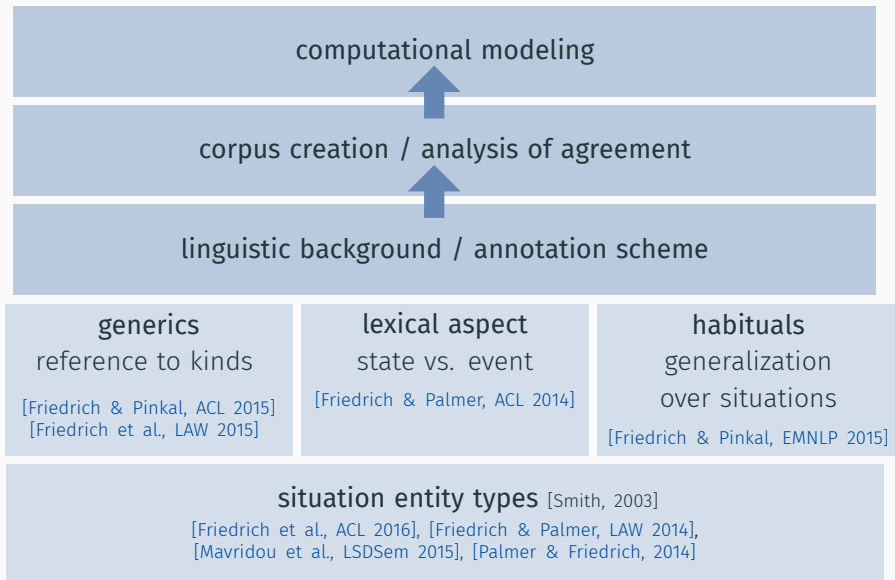
situation entity types [Smith, 2003]

[Friedrich et al., ACL 2016], [Friedrich & Palmer, LAW 2014],
[Mavridou et al., LSDSem 2015], [Palmer & Friedrich, 2014]

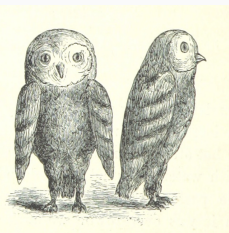
Overview of thesis work



Overview of thesis work



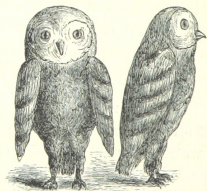
Situation entity types [Smith, 2003] [Palmer et al., 2007]



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

Julie likes Cooper.



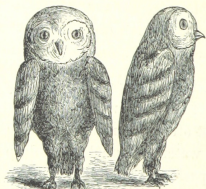
Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

Julie likes Cooper.

EVENT

Julie met Cooper two years ago.



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

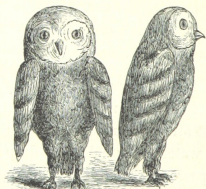
Julie likes Cooper.

EVENT

Julie met Cooper two years ago.

REPORT

..., said the zookeeper.



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

Julie likes Cooper.

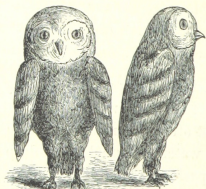
EVENT

Julie met Cooper two years ago.

REPORT

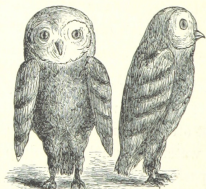
..., said the zookeeper.

GENERIC SENTENCE

Owls are nocturnal animals.

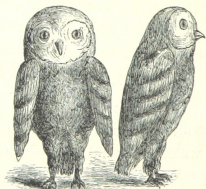
Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE	Julie likes Cooper.
EVENT	Julie met Cooper two years ago.
REPORT	..., said the zookeeper.
GENERIC SENTENCE	Owls are nocturnal animals.
GENERALIZING SENTENCE	Julie often teases Cooper.



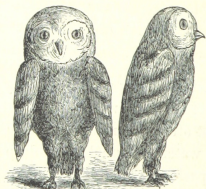
Situation entity types [Smith, 2003] [Palmer et al., 2007]

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REPORT	..., said the zookeeper.
GENERIC SENTENCE	Owls are nocturnal animals.
GENERALIZING SENTENCE	Julie often teases Cooper.
IMPERATIVE	Catch the mouse!



Situation entity types [Smith, 2003] [Palmer et al., 2007]

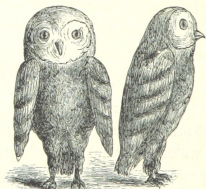
STATE	Julie likes Cooper.
EVENT	Julie met Cooper two years ago.
REPORT	..., said the zookeeper.
GENERIC SENTENCE	Owls are nocturnal animals.
GENERALIZING SENTENCE	Julie often teases Cooper.
IMPERATIVE	Catch the mouse!
QUESTION	Why are there owls on your slides?



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE	Julie likes Cooper. Julie did not kill the mouse.
EVENT	Julie met Cooper two years ago.
REPORT	Cooper.
GENERIC SENTENCE	all animals.
GENERALIZING SENTENCE	Julie often teases Cooper.
IMPERATIVE	Catch the mouse!
QUESTION	Why are there owls on your slides?

coercion to STATE:
negation, modality, future,
perfect, conditionality



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

Julia likes Cooper.

id **not** kill the mouse.

EV

net Cooper two years ago.

R

l the zoo keeper.

G

are nocturnal animals.

G

ften teases Cooper.

IM

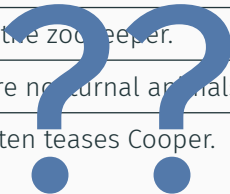
the mouse!

Q

re there owls on your slides?



Trying one sleepers after another.



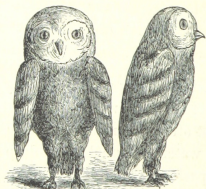
Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE	Julie likes Cooper. Julie did not k	lexical aspect: <i>dynamic</i> or <i>stative</i> ?
EVENT	Julie met Cooper two years ago.	
REPORT	..., said the zookeeper.	
GENERIC SENTENCE	Owls are nocturnal animals.	
GENERALIZING SENTENCE	Julie often teases Cooper.	
IMPERATIVE	Catch the mouse!	
QUESTION	Why are there owls on your slides?	



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE	Julie likes Cooper. Julie did not kill the mouse.
EVENT	Julie met Cooper two years ago.
REPORT	..., said the zookeeper.
GENERIC SENTENCE	Owls are nocturnal animals.
GENERALIZING SENTENCE	Julie often teases Cooper.
IMPERATIVE	Does something happen repeatedly?
QUESTION	<i>episodic or habitual?</i> ... your slides?



Situation entity types [Smith, 2003] [Palmer et al., 2007]

STATE

Julie likes Cooper.

Julie did **not** kill the mouse.

About kind/class or particular referent?

generic or *non-generic*?

... years ago.

REPORT

..., said the zookeeper.

GENERIC SENTENCE

Owls are nocturnal animals.

GENERALIZING
SENTENCE

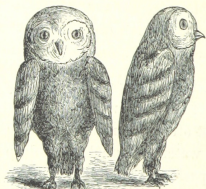
Julie often teases Cooper.

IMPERATIVE

Catch the mouse!

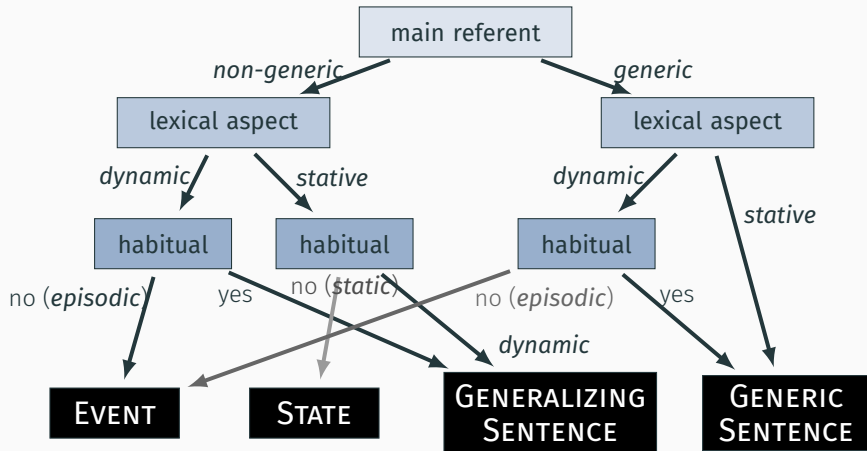
QUESTION

Why are there owls on your slides?



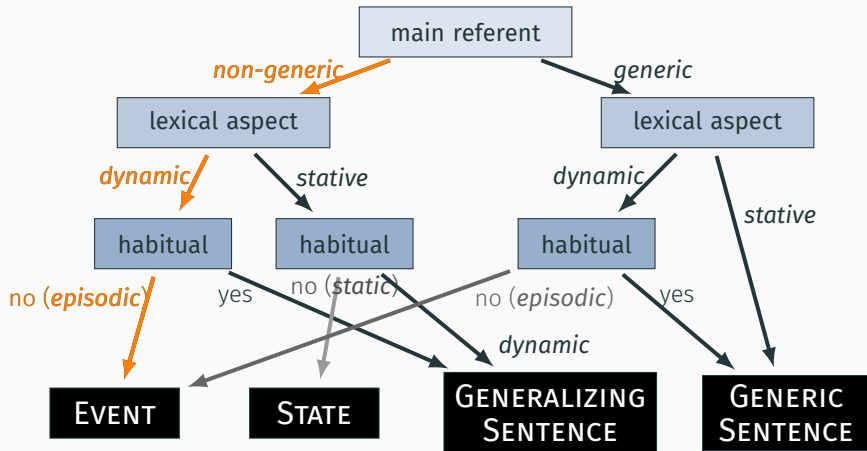
Annotation scheme

[Friedrich and Palmer, 2014] [Friedrich et al., 2015]



Annotation scheme

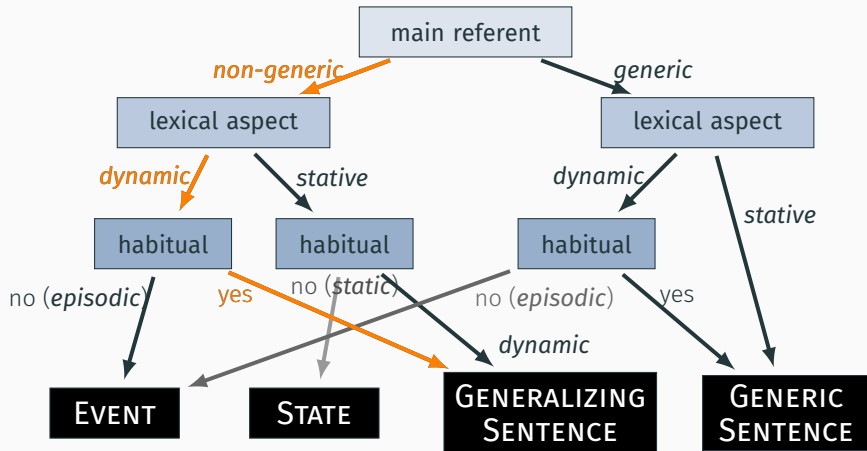
[Friedrich and Palmer, 2014] [Friedrich et al., 2015]



Mike cycled to work.

Annotation scheme

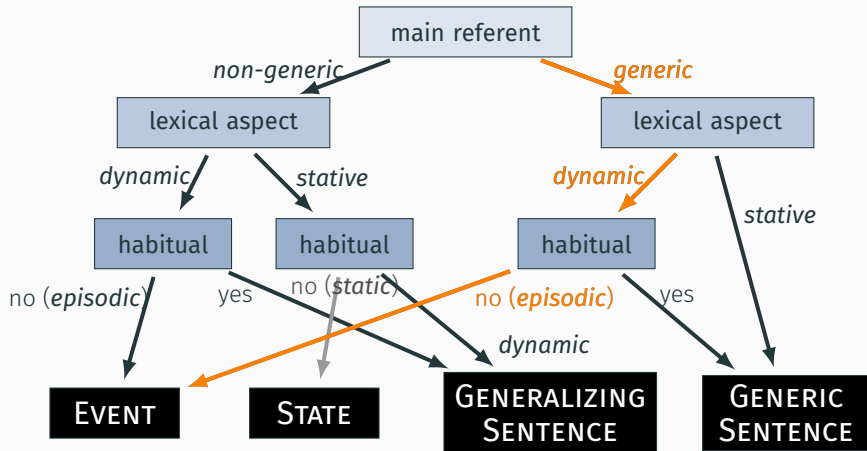
[Friedrich and Palmer, 2014] [Friedrich et al., 2015]



Mike cycles to work.

Annotation scheme

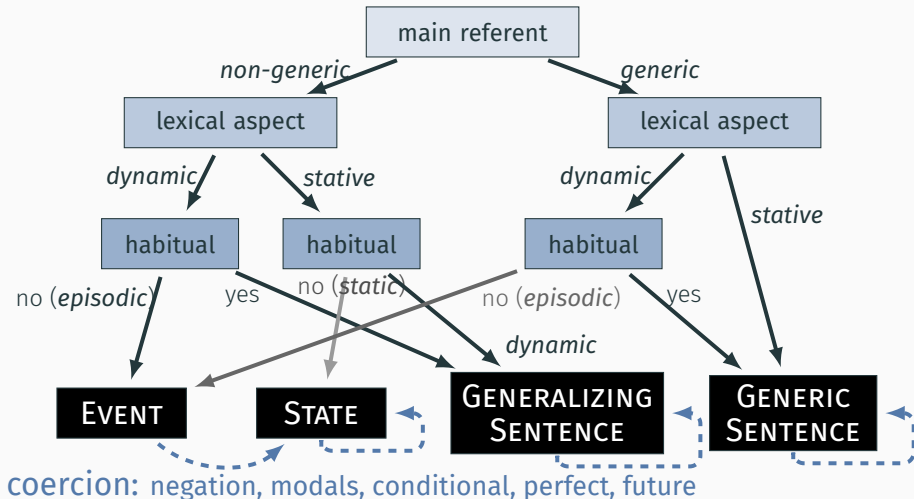
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The bicycle was invented in the 19th century.

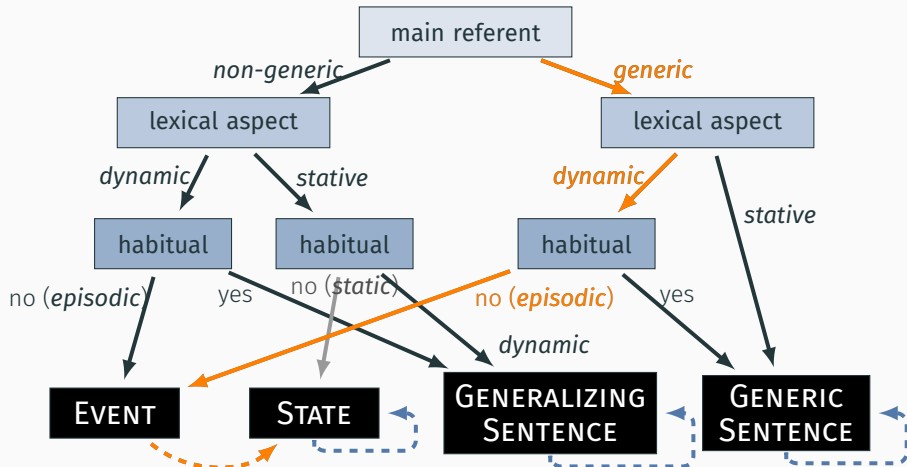
Annotation scheme

[Friedrich and Palmer, 2014] [Friedrich et al., 2015]



Annotation scheme

[Friedrich and Palmer, 2014] [Friedrich et al., 2015]



coercion: negation, modals, conditional, perfect, future

The bicycle had not yet been invented in the 18th century.

Data and annotation procedure



MASC

31,596 clauses

news, letters,
fiction, journal,
technical, travel, ...



Wikipedia

10,355 clauses

animals, science,
sports, ethnic
groups, ...

Data and annotation procedure



MASC

31,596 clauses

news, letters,
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Clause segmentation

SPADE [Soricut and Marcu, 2003]

+ heuristics

Data and annotation procedure



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Clause segmentation

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+ heuristics

manual annotation

training phase + written manual



Data and annotation procedure



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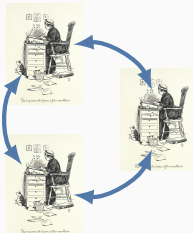
gold standard

majority vote over labels
of 3 annotators

Inter-annotator agreement

Fleiss' κ :

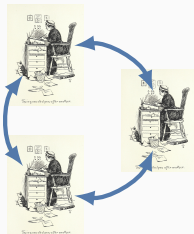
how much agreement
beyond chance?



Inter-annotator agreement

Fleiss' κ :

how much agreement
beyond chance?

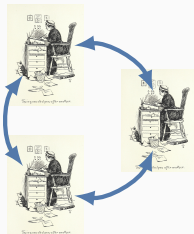


Annotation layer		MASC	Wiki
lexical aspect	<i>stative</i>	0.69	0.64
	<i>dynamic</i>		
	<i>both</i>		

Inter-annotator agreement

Fleiss' κ :

how much agreement
beyond chance?

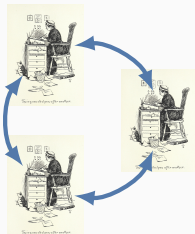


Annotation layer		MASC	Wiki
lexical aspect	<i>stative</i>	0.69	0.64
	<i>dynamic</i>		
	<i>both</i>		
main referent	<i>generic</i>	0.69	0.65
	<i>non-generic</i>		
	<i>cannot decide</i>		

Inter-annotator agreement

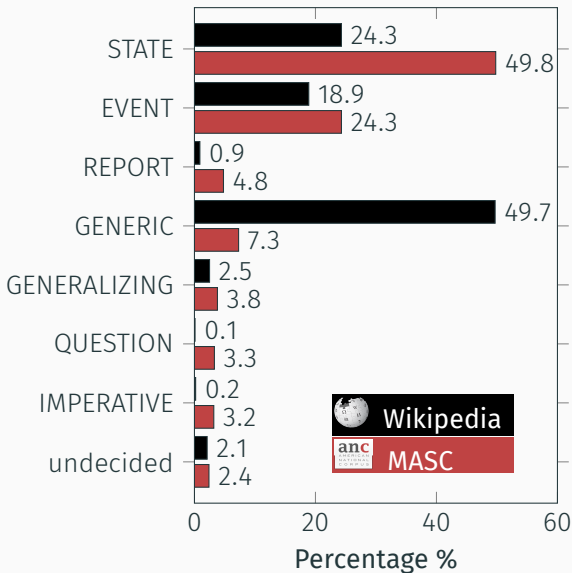
Fleiss' κ :

how much agreement
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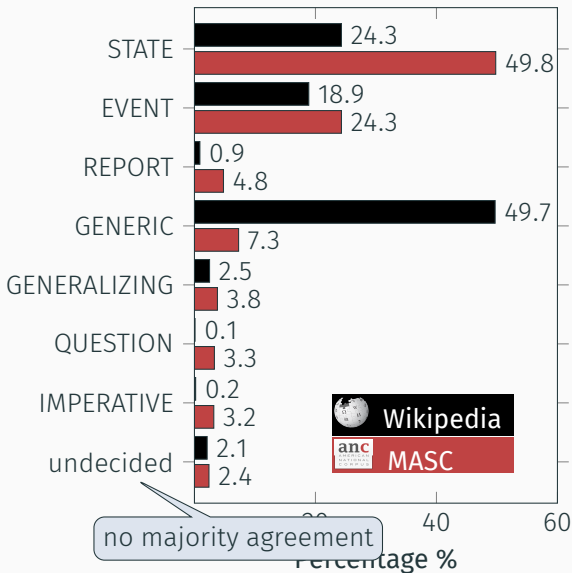


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	<i>dynamic</i>		
	<i>both</i>		
main referent	<i>generic</i>	0.69	0.65
	<i>non-generic</i>		
	<i>cannot decide</i>		
habituality	<i>episodic</i>	0.55	0.67
	<i>habitual</i>		
	<i>static</i>		
	<i>cannot decide</i>		

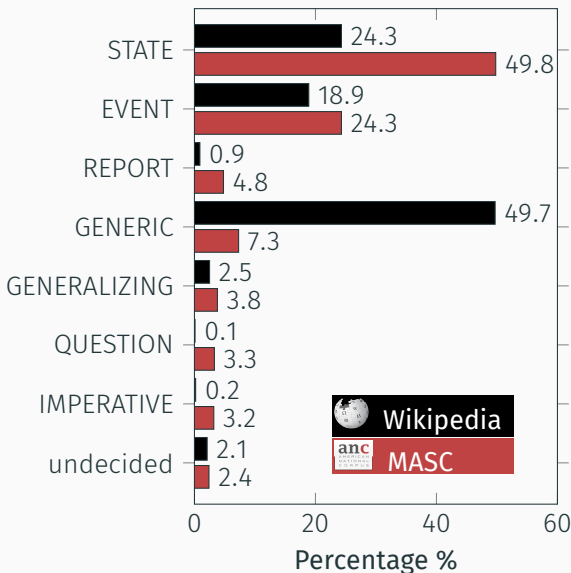
Situation entity types: distributions and agreement



Situation entity types: distributions and agreement



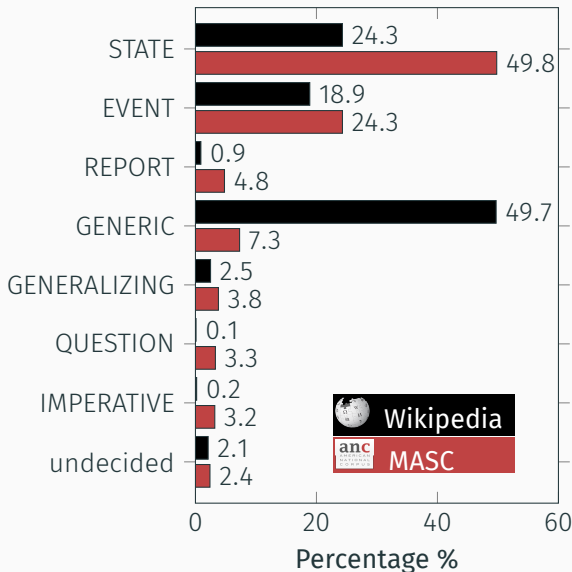
Situation entity types: distributions and agreement



Fleiss' κ [Krippendorff, 1980]

STATE	0.67
EVENT	0.74
REPORT	0.80
GENERIC	0.68
GENERALIZING	0.43
QUESTION	0.91
IMPERATIVE	0.94
undecided	

Situation entity types: distributions and agreement



Fleiss' κ [Krippendorff, 1980]

STATE	0.67
-------	------

EVENT	0.74
-------	------

REPORT	0.80
--------	------

GENERIC	0.43
---------	------

GENERALIZING	0.43
--------------	------

QUESTION	0.91
----------	------

IMPERATIVE	0.94
------------	------

undecided	
-----------	--

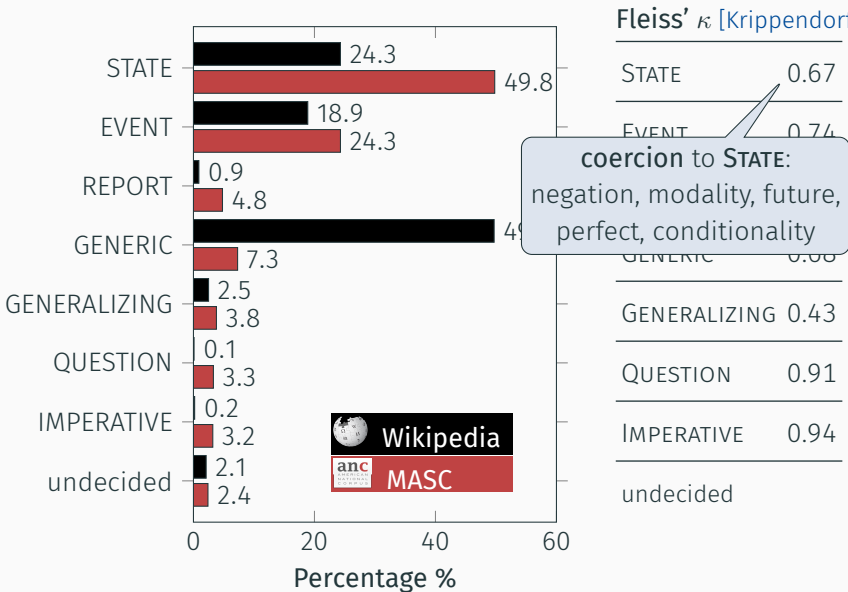
REPORT: easy

EVENT: easy

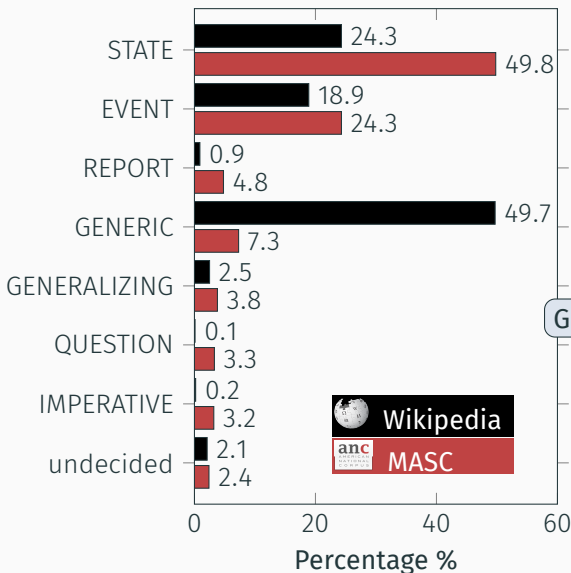
QUESTION: easy

IMPERATIVE: easy

Situation entity types: distributions and agreement



Situation entity types: distributions and agreement



Fleiss' κ [Krippendorff, 1980]

STATE	0.67
-------	------

EVENT	0.74
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REPORT	0.80
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GENERIC	0.68
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GENERALIZING	0.43
--------------	------

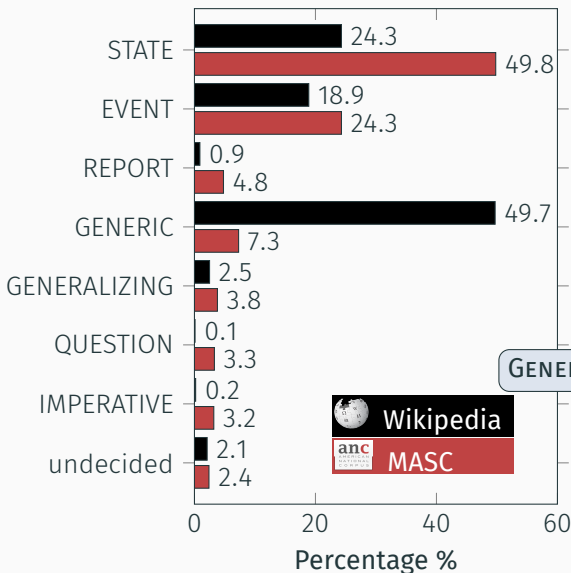
GENERIC SENTENCES: difficult

QUESTION	0.91
----------	------

IMPERATIVE	0.94
------------	------

undecided	
-----------	--

Situation entity types: distributions and agreement



Fleiss' κ [Krippendorff, 1980]

STATE	0.67
-------	------

EVENT	0.74
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REPORT	0.80
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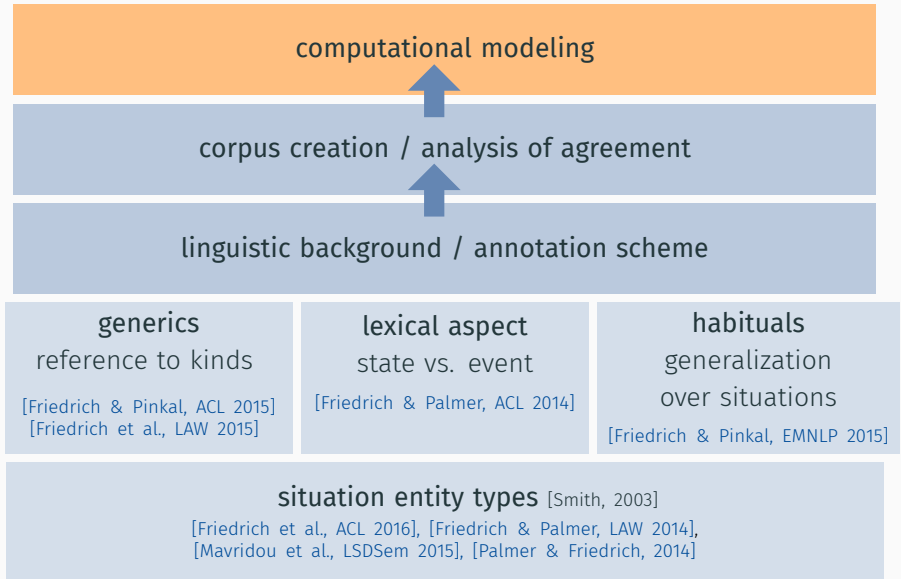
GENERALIZING	0.43
--------------	------

GENERALIZING SENTENCES: sparse

IMPERATIVE	0.94
------------	------

undecided	
-----------	--

Overview of thesis work



Related work in computational linguistics

- modeling of aspectual classes

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- modeling of aspectual classes
 - Vendler classes [Vendler, 1957]:
Italian [Zarcone and Lenci, 2008], German [Hermes et al., 2015]

Related work in computational linguistics

- modeling of aspectual classes
 - Vendler classes [Vendler, 1957]:
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 - *stative* vs. *dynamic* [Siegel and McKeown, 2000]

Related work in computational linguistics

- modeling of aspectual classes
 - Vendler classes [Vendler, 1957]:
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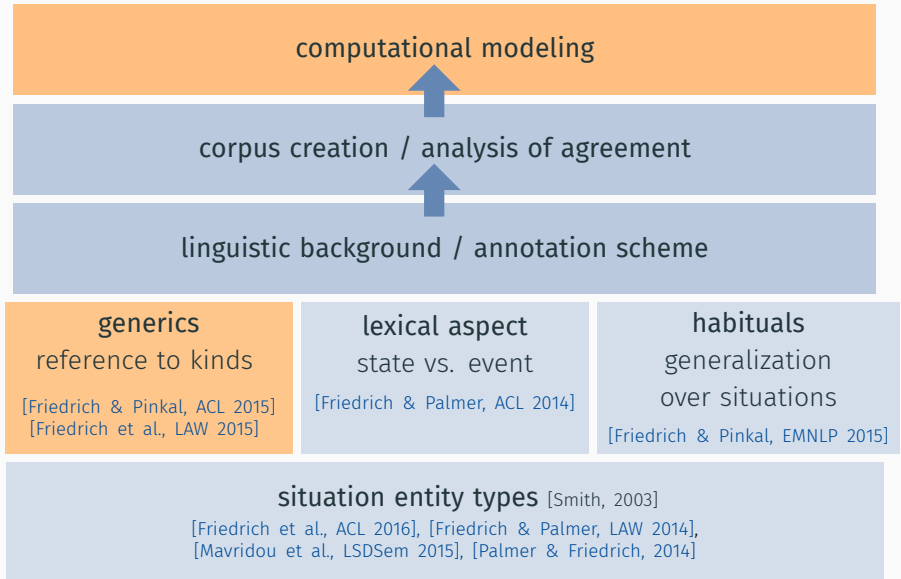
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 - data set: 20 texts / 4391 clauses from Brown corpus

Overview of thesis work



Discourse-sensitive identification of generic expressions

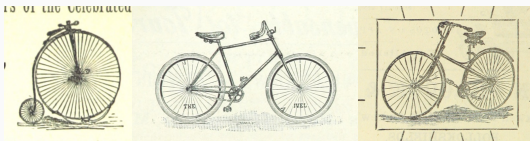
subject *non-generic* or *generic*?

[Friedrich & Pinkal, ACL 2015]



The bike is blue.

non-generic



The bike was invented in the 19th century.

generic

Discourse-sensitive identification of generic expressions

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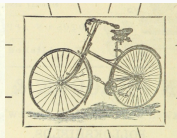
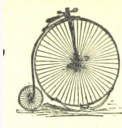
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non-generic

IS OF THE VELOCIPED



The bike was invented in the 19th century.

generic

→ form of NP not sufficient
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Discourse-sensitive identification of generic expressions

subject *non-generic* or *generic*?

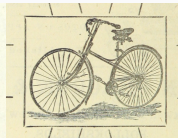
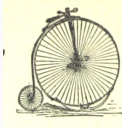
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IS OF THE VELOCIPED



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generic

➔ form of NP not sufficient
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Mike keeps fixing his bicycle.

The bicycle has undergone continual adaptation and improvement.

non-generic

Discourse-sensitive identification of generic expressions

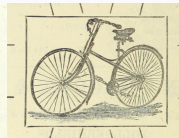
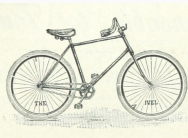
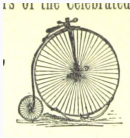
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Discourse-sensitive identification of generic expressions

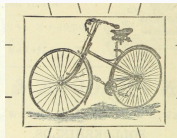
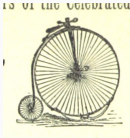
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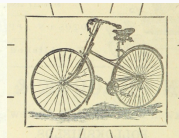
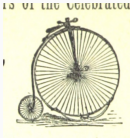
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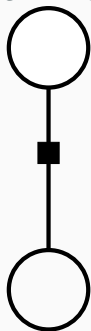
generic

[Reiter and Frank, 2010]

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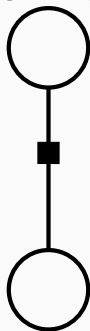
Discourse-sensitive identification of generic expressions

GENERIC



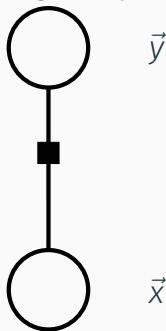
Bicycles were
introduced ...

GENERIC



The bicycle has
undergone ...

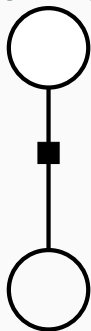
NON-GENERIC



These innovations
have continued ...

Discourse-sensitive identification of generic expressions

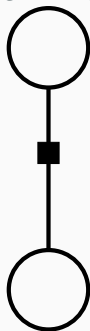
GENERIC



Bicycles were
introduced ...

barePlural = T
simplePast = T
...

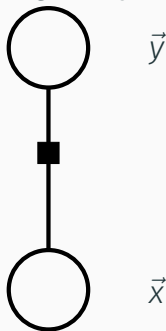
GENERIC



The bicycle has
undergone ...

barePlural = F
perfect = T
...

NON-GENERIC



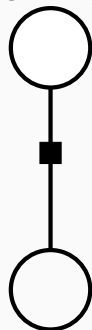
These innovations
have continued ...

barePlural = F
countable = Y
...

syntactic-
semantic
features

Discourse-sensitive identification of generic expressions

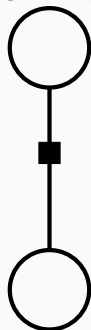
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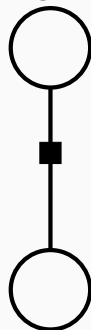
GENERIC



The bicycle has undergone ...

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NON-GENERIC



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\vec{y}

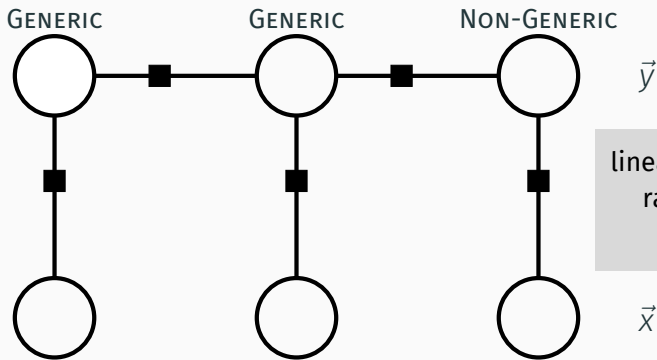
maximum entropy
model (MaxEnt)

$$P(y|x)$$

\vec{x}

syntactic-
semantic
features

Discourse-sensitive identification of generic expressions



linear chain conditional
random field (CRF)

$$P(\vec{y}|\vec{x})$$

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Publicly available:

<https://github.com/annefried/sitent>

Discourse-sensitive identification of generic expressions

subject *non-generic* or *generic*?

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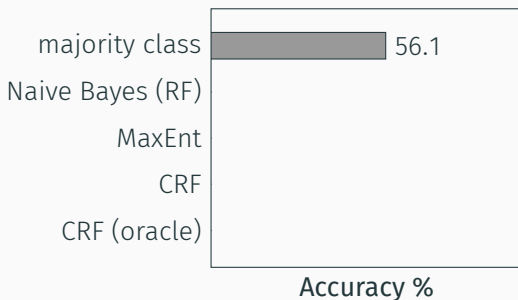
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Wikipedia

10,355 clauses

document-wise cross validation



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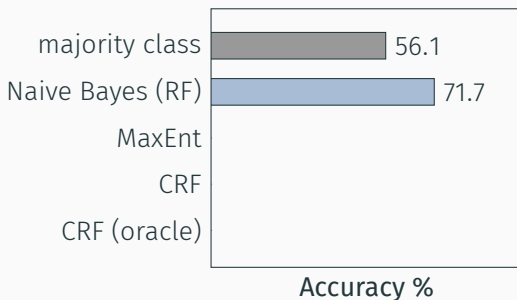
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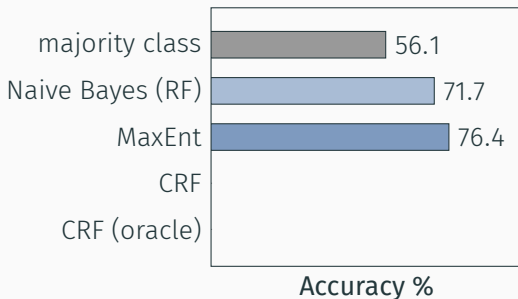
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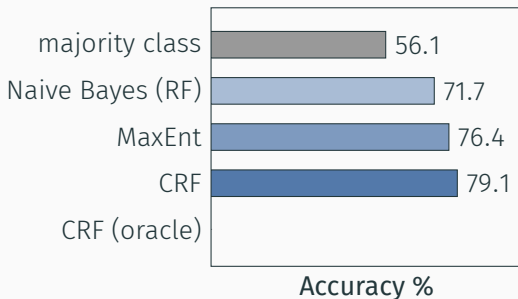
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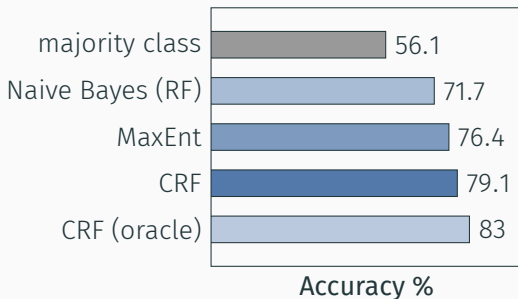
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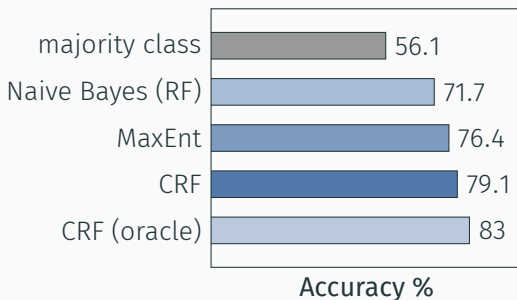
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Discourse-sensitive identification of generic expressions

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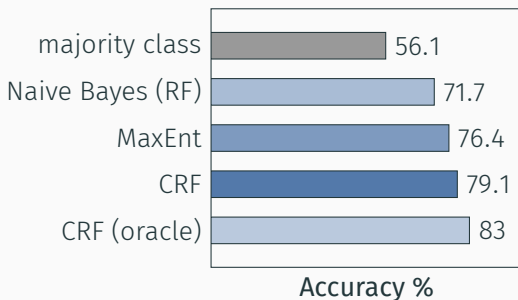
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Further findings

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Discourse-sensitive identification of generic expressions

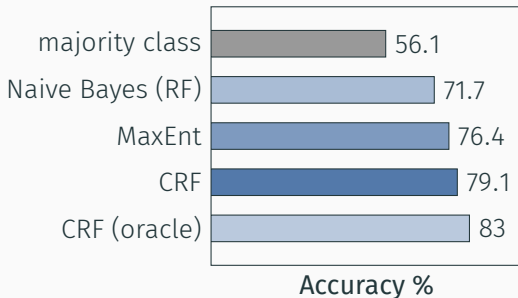
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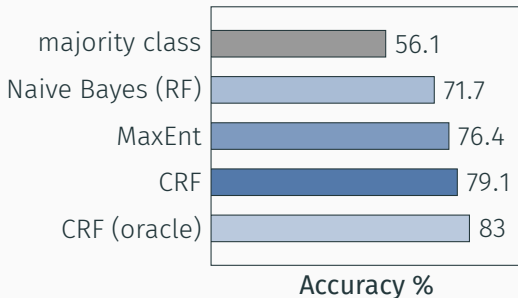
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Further findings

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Bikes have two wheels. (GENERIC)
The bike was invented in the 19th century. (EVENT)

Discourse-sensitive identification of generic expressions

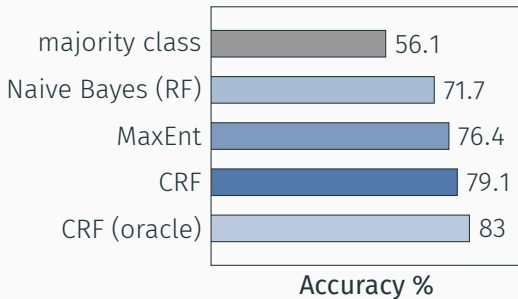
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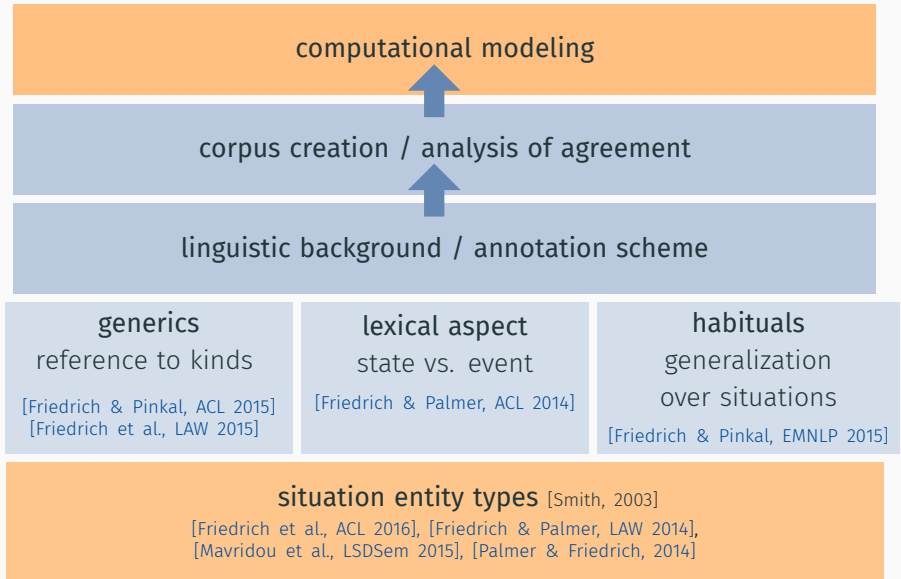
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- sequence model often yields improvements when **coreference information** would be useful

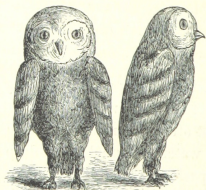
Overview of thesis work



Automatic classification of situation entity types

[Smith, 2003] [Palmer et al., 2007]

STATE	Julie likes Cooper. Julie did not kill the mouse.
EVENT	Julie met Cooper two years ago.
REPORT	..., said the zookeeper.
GENERIC SENTENCE	Owls are nocturnal animals.
GENERALIZING SENTENCE	Julie often teases Cooper.
IMPERATIVE	Catch the mouse!
QUESTION	Why are there owls on your slides?



Automatic classification of situation entity types

4391 clauses from Brown corpus [Francis and Kučera, 1979]
majority class STATE (35.3%), $\kappa = 0.52$ [Palmer et al., 2007]

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Features: words, pos tags, linguistic cues, grammatical cues

Accuracy (%)			
[Palmer et al., 2007]		[Friedrich et al., 2016]	
MaxEnt	LB	MaxEnt	CRF
50.6	53.1	55.8	60.0

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lookback features:
predicted labels for
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first true **sequence labeling** approach
for situation entity types

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Reason:

GENERIC SENTENCES
cluster together

Automatic classification of situation entity types

Features for clauses:

Automatic classification of situation entity types

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- **pos** = part of speech tags

Automatic classification of situation entity types

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Automatic classification of situation entity types


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describe main verb, main
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Automatic classification of situation entity types

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Automatic classification of situation entity types

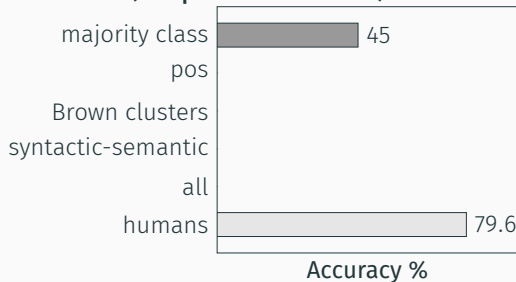
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7-way classification task
10-fold document-wise CV
dev set (80% of data)

CRF (sequence model)



Automatic classification of situation entity types

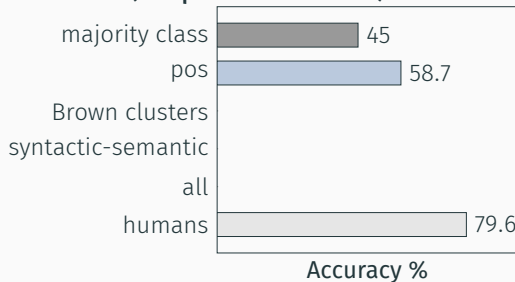
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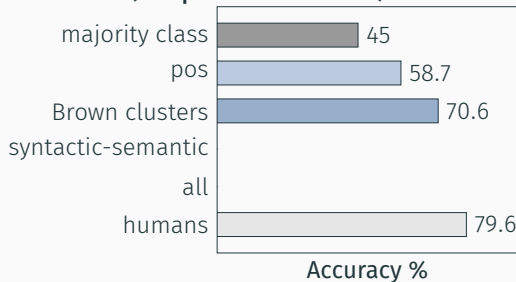
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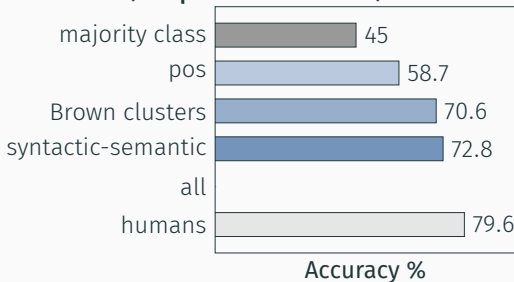
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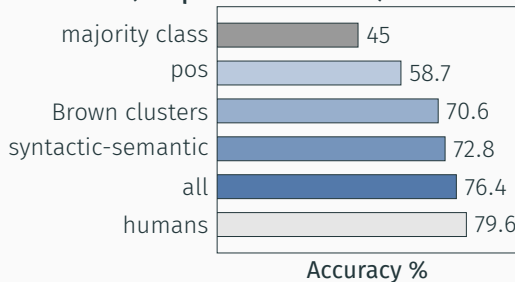
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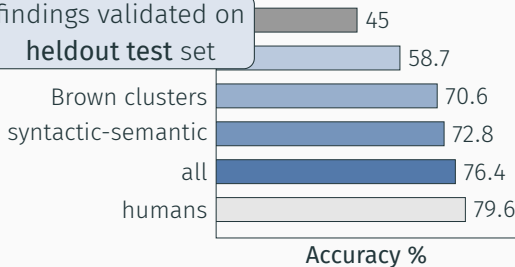
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findings validated on
heldout test set



Automatic classification of situation entity types

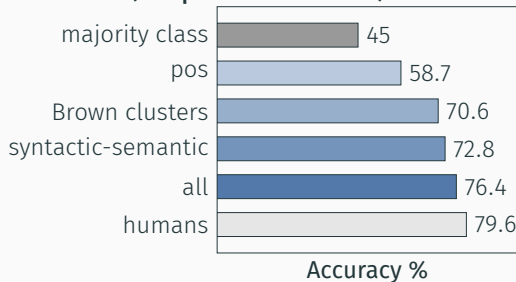
Features for clauses:

- **pos** = part of speech tags
- **Brown clusters**
[Brown et al., 1992],
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distributional information
- **syntactic-semantic** features
describe main verb, main
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7-way classification task
10-fold document-wise CV
dev set (80% of data)

CRF (sequence model)



Further findings:

Automatic classification of situation entity types

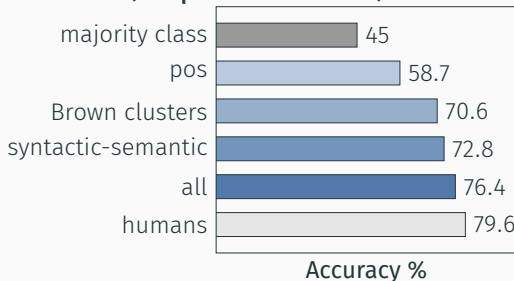
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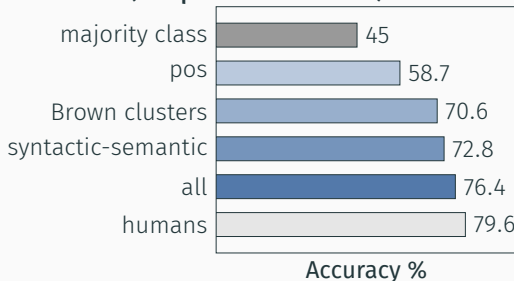


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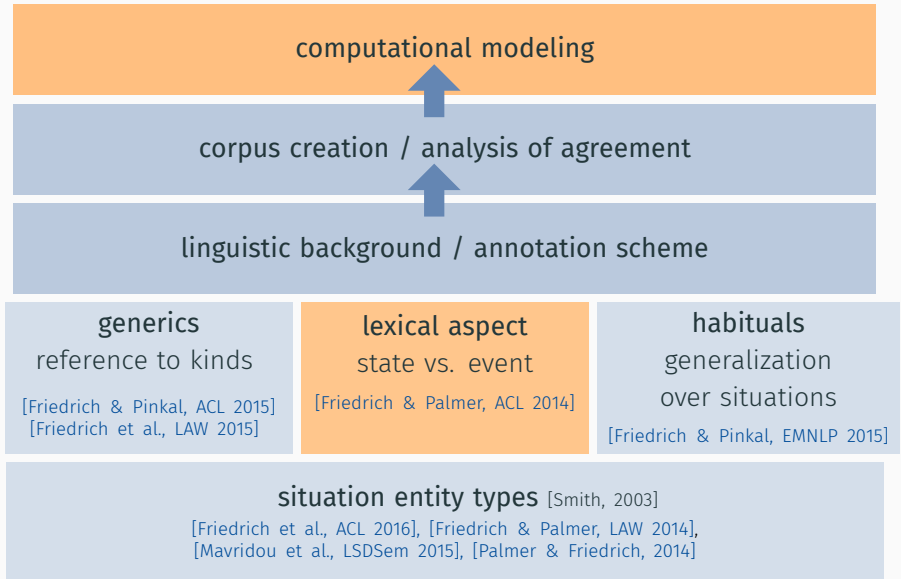
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Further findings:

- #1: model **trained directly on situation entity types** works better than pipelined model trained separately on the **subtasks**
- #2: good performance across **genres**
out-of-genre training data helps for infrequent types

Overview of thesis work



Automatic prediction of lexical aspectual class

She **filled** the glass with water. (*dynamic*)
The glass **is filled** with water. (*stative*)

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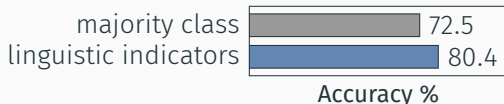
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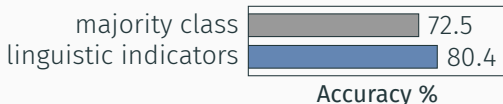
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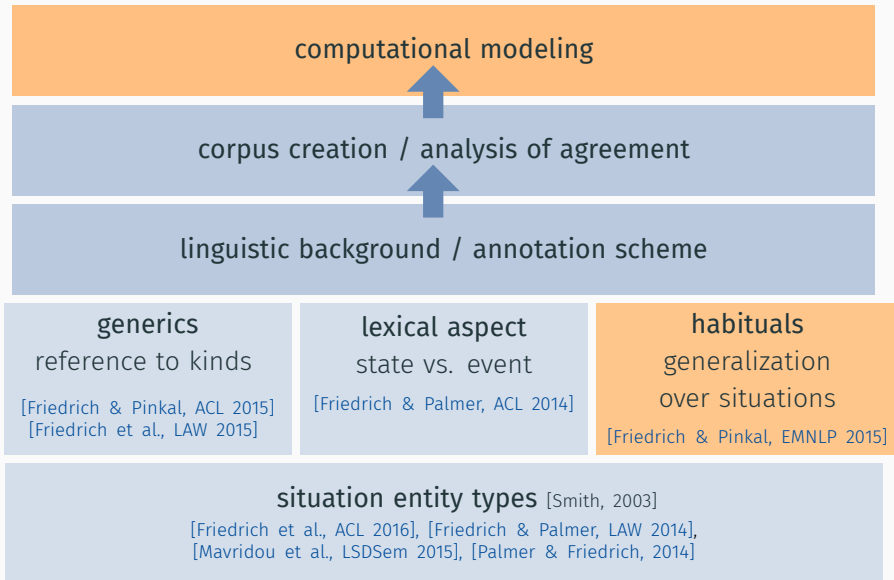
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Finding #2: contextual features help for ambiguous verb types

Overview of thesis work



Automatic recognition of habituals

John bought a bike. (*episodic*)

John cycles to work. (*habitual*)

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John bought a bike.	(<i>episodic</i>)	} [Mathew and Katz, 2009]
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} [Mathew and Katz, 2009]

John likes coffee.

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Automatic recognition of habituais

John bought a bike.	(<i>episodic</i>)	} [Mathew and Katz, 2009]
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Bill can cycle.

coercion to STATE:
negation, modality, future,
perfect, conditionality

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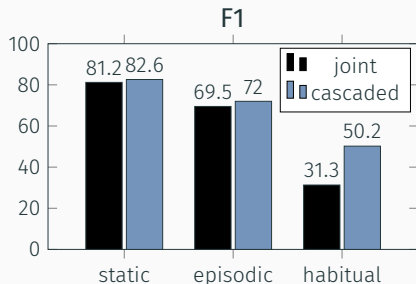
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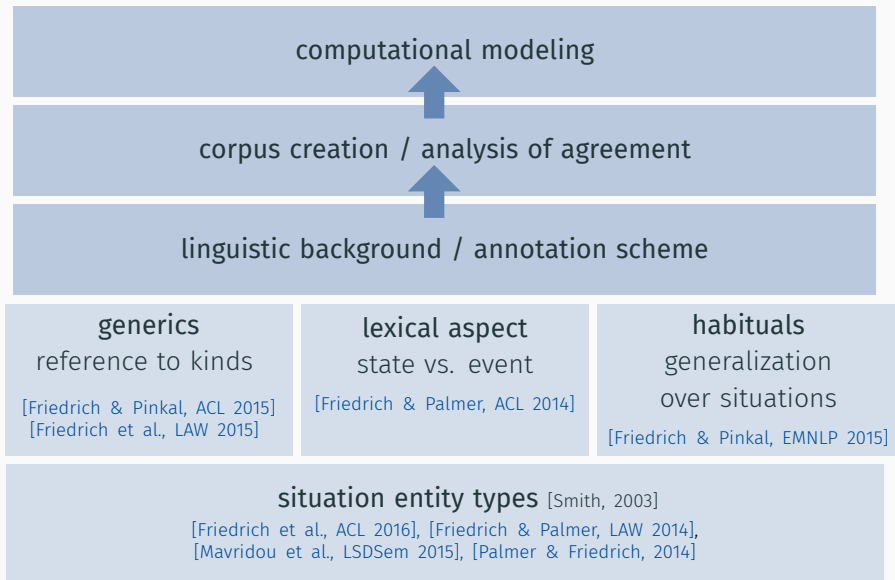
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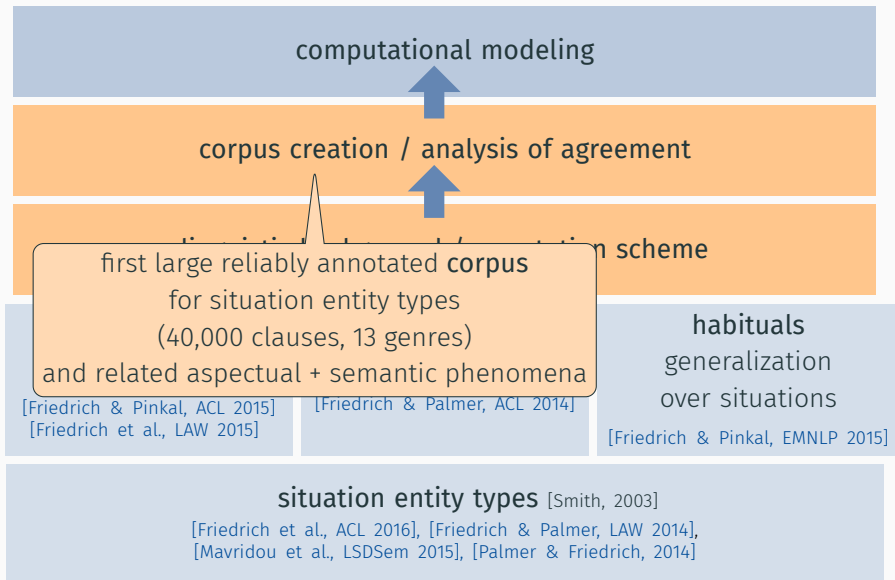
- #1: recognizing *habituals* in free text requires a **three-way distinction**
- #2: **contextual** and **verb type-based features** are complementary
- #3: filtering out *static* clauses first is beneficial (**cascaded** model)



Conclusion / contributions



Conclusion / contributions



computational modeling



computational models for aspectual distinctions
outperform prior approaches in each case;
implementation publicly available

generics

reference to kinds

[Friedrich & Pinkal, ACL 2015]
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lexical aspect

state vs. event

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Conclusion / contributions / directions for future work

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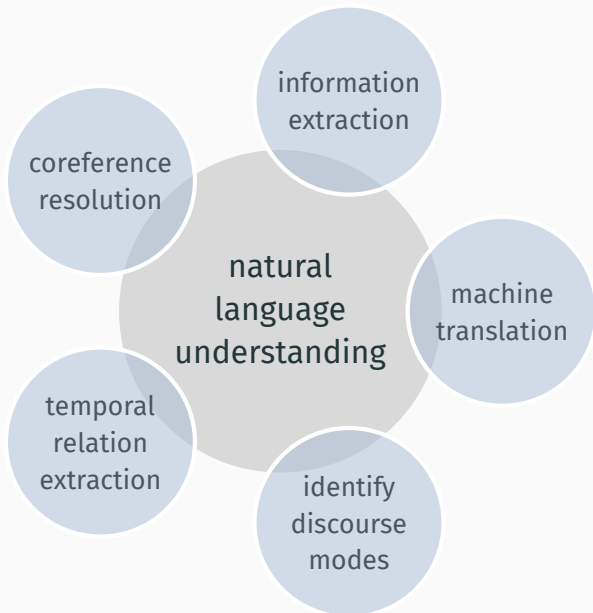
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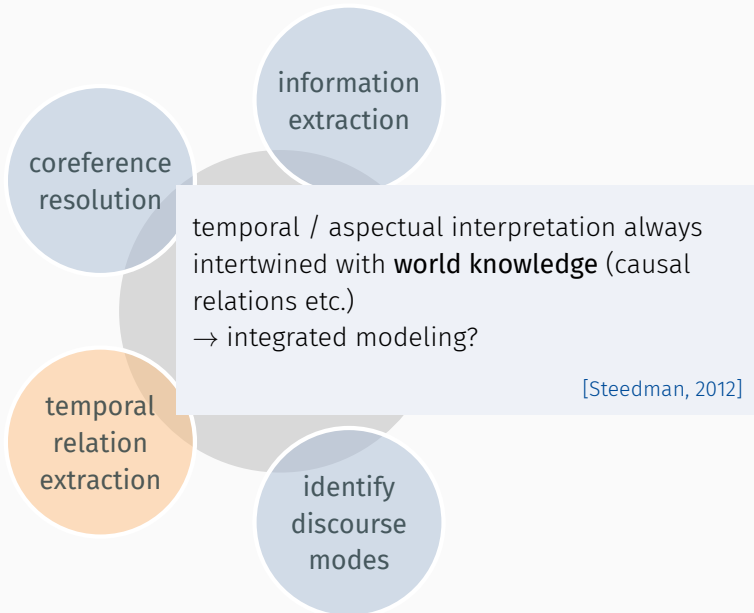
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 - **distinguish among the different types of STATES**
 - *John is tall* vs. *John is hungry* vs. *John can swim*

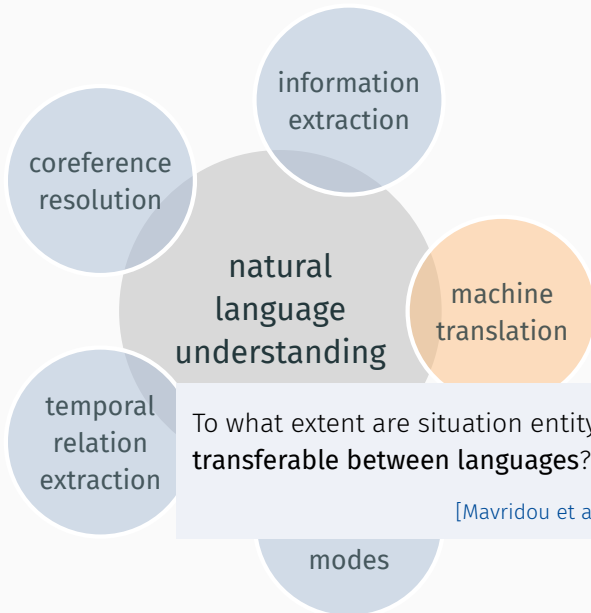
Directions for future work



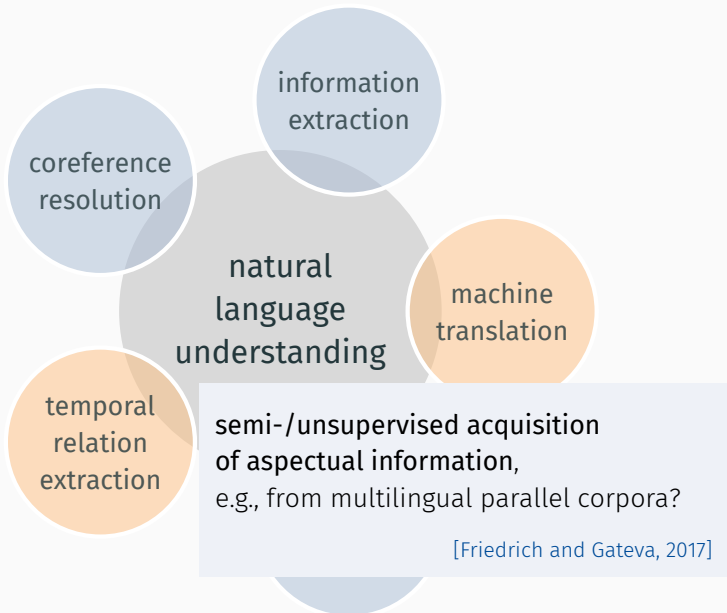
Directions for future work



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Directions for future work



States, events, and generics: computational modeling of situation entity types

computational modeling



corpus creation / analysis of agreement



linguistic background / annotation scheme

lexical aspect

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